

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

RAFAIL ZUBOK (10/17/2003), MICHAEL W.
DUDASIK (10/17/2003), AND JOSEPH P.
ERRICO (10/17/2003)

Execution Date(s): in parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: SPINECORE, INC.

Internal Address: _____

Street Address: _____

475 SPRINGFIELD AVE, 4TH FLOOR

City: SUMMIT

State: NEW JERSEY

Country: United States of America Zip: 07901

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

12/627,489

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Kevin M. Kocun
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: SPINE 3.0-455 CIP CONT III DIV

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: (908) 518-6383

Fax Number: (908) 654-0415

Email Address: kkocun@ldlkm.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 12-1095
Authorized User Name Kevin M. Kocun

9. Signature:


Signature

December 9, 2009

Date

Kevin M. Kocun - 54,230

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

F-299

Whereas, I/We, Rafail Zubok of Midland Park, NJ hereafter referred to as applicant, have invented certain new and useful improvements in Instrumentation and Methods for use in Implanting a Cervical Disc Replacement Device

☐ for which an application for a United States Patent was filed on _____
Application Number _____/_____

☒ for which an application for a United States Patent was executed on 10/17/2003 and

Whereas, SpineCore, Inc. of Summit, NJ herein referred to "assignee" whose mailing address is 447 Springfield Avenue, Summit, NJ 07931 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign, and transfer unto said assignee the full and exclusive right to the said invention and the entire right, title and interest in and to any and all Patents which may be granted therefor. I/We hereby authorize and request that said Patent be issued to said assignee, of the entire, right, title and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 17th day of October, 2003
at Midland Park, NJ

State of _____

County of _____ SS:

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 20____.

Seal

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☒ Total of 3 forms are submitted.

ASSIGNMENT OF APPLICATION	Docket Number (Optional) P-299
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Whereas, I/We, Michael W. Dudasik; of Nutley, NJ hereafter referred to as applicant, have invented certain new and useful Improvements in _____
Instrumentation and Methods for use in Implanting a Cervical Disc Replacement Device


☐ for which an application for a United States Patent was filed on _____
 Application Number _____ / _____

☒ for which an application for a United States Patent was executed on 10/17/2003, and

Whereas, SpineCore, Inc. of Summit, NJ herein referred to "assignee" whose mailing address is 447 Springfield Avenue, Summit, NJ 07931 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, In consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign, and transfer unto said assignee the full and exclusive right to the said Invention and the entire right, title and interest in and to any and all Patents which may be granted therefor. I/We hereby authorize and request that said Patent be issued to said assignee, of the entire, right, title and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 17th day of October, 20 03
 at Nutley, NJ


 (Signature)

State of _____)
 County of _____) SS:

Before me personally appeared said _____
 and acknowledged the foregoing Instrument to be his free act and deed this _____
 day of _____, 20 _____

(Notary Public)

Seal

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☒ Total of 3 forms are submitted.

ASSIGNMENT OF APPLICATION

Docket Number (Optional)

P-299

Whereas, I/We, Joseph P. Errico of Green Brook, NJ, hereafter referred to as applicant, have invented certain new and useful improvements in Instrumentation and Methods for use in Implanting a Cervical Disc Replacement Device

☐ for which an application for a United States Patent was filed on _____
Application Number _____ / _____

☒ for which an application for a United States Patent was executed on 10/17/2003, and

Whereas, SpineCore, Inc. of Summit, NJ herein referred to "assignee" whose mailing address is 447 Springfield Avenue, Summit, NJ 07931 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I/We, the applicant(s), by these presents do sell, assign, and transfer unto said assignee the full and exclusive right to the said invention and the entire right, title and interest in and to any and all Patents which may be granted therefor. I/We hereby authorize and request that said Patent be issued to said assignee, of the entire, right, title and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 17th day of October, 20 03
at Green Brook, NJ

State of _____

County of _____

SS:


(Signature)

Before me personally appeared said _____
and acknowledged the foregoing instrument to be his free act and deed this _____
day of _____, 20 _____.

Seal

(Notary Public)

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below.

* ☒ Total of 3 forms are submitted.