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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Pfizer Inc

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 2. Name and address of receiving party(ies)

Name: NICOX S.A.

Internal Address: \_\_\_\_\_

Street Address: 1681 Routes des Dolines - BP 313

City: 06906 Sophia Antipolis Cedex

State: \_\_\_\_\_

Country: France Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) August 5, 2009

☐ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Joint Research Agreement

☐ Government Interest Assignment

☐ Executive Order 9424, Confirmatory License

☒ Other Confirmation of Termination Agreement

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

US Patent 7273946

US Patent 7449469

US Patent 7629345

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Keith D. Hutchinson

Internal Address: Pfizer Inc, Patent Department

Street Address: 10555 Science Center Drive

City: San Diego

State: CA Zip: 92121

Phone Number: 858-526-4608

Fax Number: 858-678-8233

Email Address: keith.hutchinson@pfizer.com

### 6. Total number of applications and patents involved: 3

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$120.00

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number 16-1445

Authorized User Name Keith D. Hutchinson

### 9. Signature:

Keith D. Hutchinson  
Signature

December 11, 2009  
Date

Keith D. Hutchinson

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$120.00 161445 7273946

**CONFIRMATION OF EXCLUSIVE PATENT LICENSE TERMINATION**

This Confirmation of Exclusive Patent License Termination ("Confirmation") is given by Pfizer Inc, a Delaware corporation, located at 235 East 42<sup>nd</sup> Street, New York, New York 10017 ("Pfizer").

1. NicOx S.A., a corporation organized under the laws of France, with offices located at 1681 Routes des Dolines – BP 313, 06906 Sophia Antipolis Cedex, France ("NicOx"), and Pfizer have executed the Termination Agreement, effective as of August 5, 2009 (the "Termination Agreement"). Pursuant to the Termination Agreement, Pfizer assigned to NicOx the patent rights in patents as set forth on Attachment A.

2. This Confirmation is being delivered by Pfizer for the purpose of recording the Termination Agreement with the U.S. Patent and Trademark Office ("PTO") and providing third parties (including subsequent purchasers or mortgagees) with notice of Pfizer's and NicOx's rights under the Termination Agreement. For confidentiality reasons, Pfizer does not wish to record the Termination Agreement in its entirety.

3. This Confirmation does not modify any of the terms of the Termination Agreement and is expressly subject to its terms and conditions. The Termination Agreement shall remain in full force and effect in accordance with its terms.

**ATTACHMENT A**

<b>US Patent Application No.</b>	<b>Filing Date</b>	<b>US Patent No.</b>
USSN 11/029698	January 5, 2005	7273946
USSN 11/841628	August 20, 2007	7449469
USSN 12/210975	September 15, 2008	7629345