## Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
Shaw H. Chen	12/04/2009
Chunki Kim	12/07/2009

### RECEIVING PARTY DATA

Name:	The University of Rochester	
Street Address:	518 Hylan Building	
Internal Address:	Box 270140	
City:	Rochester	
State/Country:	NEW YORK	
Postal Code:	14670-0140	

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12558411

## **CORRESPONDENCE DATA**

Fax Number: (716)849-0349

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: (716) 848-1224

Email: afalkenb@hodgsonruss.com

Correspondent Name: Alicia Falkenbach

Address Line 1: Hodgson Russ LLP, The Guaranty Building

Address Line 2: 140 Pearl Street, Suite 100
Address Line 4: Buffalo, NEW YORK 14202-4040

ATTORNEY DOCKET NUMBER:	055652.00023
NAME OF SUBMITTER:	Alicia Falkenbach

Total Attachments: 5

source=Assignment 12558411#page1.tif

PATENT 501041968 REEL: 023657 FRAME: 0003

source=Assignment 12558411#page2.tif source=Assignment 12558411#page3.tif source=Assignment 12558411#page4.tif source=Assignment 12558411#page5.tif

> PATENT REEL: 023657 FRAME: 0004

## ASSIGNMENT

WHEREAS, we, SHAW H. CHEN, a citizen of the United States of America, residing at 7 Montpelier Circle, Rochester, New York 14618 USA and CHUNKI KIM, a citizen of South Korea, residing at 785 Camino Del Sur, Apt. #10, Santa Barbara, California 93117 USA, respectively, have invented new and useful improvements in "CHOSESTERIC GLASSY LIQUID CRYSTALS WITH HYBRID CHIRAL-NEMATIC MESOGENS," for which a United States provisional patent application was filed on September 11, 2008 and bears Application No. 61/096,044 and for which a United States non-provisional patent application was filed on September 11, 2009 and bears Application No. 12/558,411.

WHEREAS, THE UNIVERSITY OF ROCHESTER, a New York corporation, whose post office address is 518 Hylan Building, Box 270140, Rochester, New York 14670-0140, is desirous of acquiring the entire interest in the same;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, we, SHAW H. CHEN and CHUNKI KIM hereby do sell, assign and transfer unto said THE UNIVERSITY OF ROCHESTER the entire right, title and interest in and to said invention and said applications throughout the world, including, without limitation, any Letters Patent which may issue thereon, and any subsequent application(s) claiming priority to the above-identified applications, reissue, reexamination, divisional, continuation-in-part, extension or continuation thereof and all rights of priority under the Paris Convention arising from said application; the same for THE UNIVERSITY OF ROCHESTER's legal representatives and assigns, as fully and entirely as the same would have been held by us had this assignment and sale not been made;

PATENT REEL: 023657 FRAME: 0005

Attorney Docket No. 055652,00023 U.S. Patent Application No. 12/558,411

Page 2 of 4

AND, we hereby bind ourselves, our heirs, legal representatives, administrators and

assigns properly to execute without further consideration any and all applications, petitions,

oaths and assignments or other papers and instruments which may be necessary in order to carry

into full force and effect, the sale, assignment, transfer and conveyance hereby made or intended

to be made and generally do everything possible to aid THE UNIVERSITY OF

ROCHESTER, its legal representatives and assigns, to obtain and enforce proper protection for

said invention in all countries throughout the world.

[Remainder of Page Intentionally Left Blank]

PATENT

**REEL: 023657 FRAME: 0006** 

Attorney Docket No. 055652.00023 U.S. Patent Application No. 12/558,411 Page 3 of 4

IN WITNESS WHEREOF, I have executed this assign	nment this day of
De sen bl/, 2009.	/4)
SI	IAW H. CHEN
STATE OF NEWYOR	
COUNTY OF MONTOC	
On this day of De Combet, 2009, to SHAW H. CHEN, to me known and known to be the in the foregoing assignment, and he/she duly acknowledged	dividual described in and who executed
deed.	d the same to be misther free act and
Ourther Cacketo	
Notary Public  My Commission Expires: \( \text{UN} \) [5,20]	COURTNEY L. JACKETT NOTARY PUBLIC, STATE OF NEW YORK GENESEE COUNTY, REG# 01.JA6207370 COMMISSION EXPIRES JUNE 15, 20.

PATENT REEL: 023657 FRAME: 0007

Attorney Docket No. 055652.00023 U.S. Patent Application No. 12/558,411 Page 4 of 4

IN WITNESS WHEREOF, I have executed to	his assignment this $\mathcal{O}\mathcal{F}$ day of
Pecember, 2009.	duy 01
	Krichanker
	CHUNKI KIM
STATE OF <u>CAUFORNIA</u>	
COUNTY OF Santa Barbales	
On this Other day of December, 2009, CHUNKI KIM, to me known and known to be the incorregoing assignment, and he she duly acknowledged to	lian alian and a first and a second a second and a second a second and
Michelly Pena VanKirk Notary Public	MICHELLE RENA VAN KIRK P COMM. #1807175
My Commission Expires: 7-2-13012	NOTARY PUBLIC-CALIFORNIA SANTA BARBARA COUNTY My Comm. Expires July 21, 2012

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT State of California personally appeared \_ who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) (s/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument. I certify under PENALTY OF PERJURY under the laws MICHELLE RENA VAN KIRK COMM. #1807175 NOTARY PUBLIC-CALIFORNIA of the State of California that the foregoing paragraph is true and correct. SANTA BARBARA COUNTY My Comm. Expires July 21, 2012 WITNESS my hand and official seal. Place Notary Seal Above OPTIONAL Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document. **Description of Attached Document** Title or Type of Document: Number of Pages: Document Date: Signer(s) Other Than Named Above: Capacity(ies) Claimed by Signer(s) Signer's Name: Signer's Name:\_ ☐ Individual ☐ Individual ☐ Corporate Officer — Title(s): \_ ☐ Corporate Officer — Title(s): ☐ Partner — ☐ Limited ☐ General ☐ Partner — ☐ Limited ☐ General ☐ Attorney in Fact ☐ Attorney in Fact

© 2007 National Notary Association • 9350 De Soto Ave., P.O. Box 2402 • Chatsworth, CA 91313-2402 • www.NationalNotary.org Item #5907 Reorder: Call Toll-Frée 1-900-876-6827

☐ Trustee

☐ Guardian or Conservator

☐ Other:\_\_\_\_

Signer Is Representing:\_

☐ Trustee

☐ Other:\_\_

□ Guardian or Conservator

Signer Is Representing: \_

RECORDED: 12/15/2009

PATENT REEL: 023657 FRAME: 0009

Top of thumb here