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OMB No. 0651-0027 (exp. 6/30/2008)

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<b>1. Name of conveying party(ies)</b> California Institute of Technology  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>2. Name and address of receiving party(ies)</b> Name: <u>United States Department of Energy</u> Internal Address: _____  Street Address: <u>1000 Independence Ave. S.W.</u>  City: <u>Washington</u> State: <u>D.C.</u> Country: <u>USA</u> Zip: <u>20585</u>  Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
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<b>5. Name and address to whom correspondence concerning document should be mailed:</b> Name: <u>Mark P. Dvorscak</u>  Internal Address: <u>U. S. Dept. of Energy, IPLD</u>  Street Address: <u>9800 S. Cass Ave</u>  City: <u>Argonne</u> State: <u>IL</u> Zip: <u>60439</u>  Phone Number: <u>630-252-2393</u> Fax Number: <u>630-252-2779</u> Email Address: _____	<b>6. Total number of applications and patents involved:</b> <u>1</u>  <b>7. Total fee (37 CFR 1.21(h) &amp; 3.41) \$</b> _____ <input type="checkbox"/> Authorized to be charged by credit card <input type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> None required (government interest not affecting title)	
<b>8. Payment Information</b> a. Credit Card Last 4 Numbers _____ Expiration Date _____  b. Deposit Account Number _____ Authorized User Name _____		
<b>9. Signature:</b> <u>Michael J. Dobbs</u> <u>12/4/2009</u> <div style="display: flex; justify-content: space-between;"> <span>Signature</span> <span>Date</span> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <span>Michael J. Dobbs #58,093 Name of Person Signing</span> <div style="text-align: right;">             Total number of pages including cover sheet, attachments, and documents: <span style="border: 1px solid black; padding: 2px 10px; font-weight: bold;">2</span> </div> </div>		

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## License to the United States Government

Invention Title: A Battery State of Health Assessment System

Inventors: Yvan Reynier; Rachid Yazami; Brent T. Fultz; Joe McMenamin

Patent Application Serial No: 12/537,712

US Filing/Issue Date: 8/7/2009

Grant/Contract Identification No: DE-FG03-00ER15035 & DE-FG02-03ER15425 (T-103380) & DE-FG03-00ER15035 and DE-FG02-03ER15425

Foreign Applications filed/intended in (countries):

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California Institute of Technology  
1200 E. California Boulevard  
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Dated: September 15, 2009

  
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Frederic Farina, Assistant Vice-President for  
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CIT File No: 4437-CIP