



12-17-2009

FORM PTO-1595

U.S. DEPARTMENT OF COMMERCE



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To the Director of the U.S. Patent and Tr

ment.

5024121

1. Name of conveying party(ies):
Lei LI
Zhenning TAO
Hisao NAKASHIMA

2. Name and Address of receiving party(ies):

FUJITSU LIMITED
1-1, Kamikodanaka 4-chome
Nakahara-ku, Kawasaki-shi
Kanagawa 211-8588, Japan

3. Nature of conveyance:
 Assignment Merger
 Security Agreement Change of Name
 Other: _____
Execution Date(s): December 11, 2009

4. Application number(s) or patent number(s):
 This document is being filed together with a new application.

OR

This document is being filed after filing of the application:
(a) Patent Application No(s). 12/567,245, filed September 25, 2009; or
(b) Patent No(s). , issued .

5. Name and address of party to whom correspondence concerning document should be mailed:
STAAS & HALSEY LLP Our Docket: 1804.1029
Attention: J. Randall Beckers
1201 New York Ave., N.W., 7th Floor
Washington, D.C. 20005

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)..... (\$ 40.00 per Patent or Application in Assignment)
 Enclosed
 Authorized to be charged to deposit account.

8. Deposit Account No.: 19-3935 (Any underpayment is authorized to be charged to this Deposit Account)
(Attach duplicate copy of this page if paying by deposit account)

J. Randall Beckers, Reg. No. 30,358
Name of Person Signing

Signature

12/14/09
Date

Total number of pages including cover sheet: 3

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12/16/2009 DBYRNE 00000023 193935 12567245

01 FC:8021 Revised JAN 08, 2005

PATENT
REEL: 023670 FRAME: 0037

U.S. ASSIGNMENT

5.8.97 1/00

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter, "ASSIGNOR") by

(Insert Name(s) & Address(es) of ASSIGNEE(S))

FUJITSU LIMITED
1-1, Kamikodanaka 4-chome
Nakahara-ku, Kawasaki-shi
Kanagawa 211-8588, Japan

(hereinafter, "ASSIGNEE"), the receipt of which is hereby acknowledged, the undersigned ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to the invention entitled
(Title of Invention)

OPTICAL COHERENT RECEIVER, APPARATUS FOR AND METHOD OF MONITORING PERFORMANCE THEREOF

relating to International Patent Application PCT/JP _____ / _____ and/or for which application for Letters Patent of the United States was executed on even date herewith or, if not so executed, was:

(a) executed on : _____ (Insert date of execution of application, if not concurrent)

(b) filed on September 25, 2009.

Serial No. 12/567,245.

Any registered attorney of STAAS & HALSEY LLP, 1201 New York Avenue, N.W., Suite 700 Washington, D.C. 20005 (202/434-1500) is hereby authorized to insert in (b) the specified data, when known.

and to said application and all Letters Patent(s) of the United States granted on said application and any continuation, division, renewal, substitute, reissue or reexamination application based thereon, for the full term or terms for which the said Letters Patent(s) may be granted and including any extensions thereof (collectively, hereinafter, "said application(s) and Letters Patent(s)").

The ASSIGNOR agree(s), when requested by said ASSIGNEE and without charge to but at the expense of said ASSIGNEE, to do all acts which the ASSIGNEE may deem necessary, desirable or expedient, for securing, maintaining and enforcing protection for said invention, including in the preparation and prosecution of said application(s) and the issuance of said Letters Patent(s), in any interference, reissue, reexamination, or public use proceeding, and in any litigation or other legal proceeding which may arise or be declared in relation to same, such acts to include but not be limited to executing all papers, including separate assignments and declarations, taking all rightful oaths, providing sworn testimony, and obtaining and producing evidence.

IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Typed Name & Signature of Inventor(s))

(Date)

(Typed Name & Signature of Witness(es))

| | | |
|--|-------------------|--------------------------------------|
| 1) <u>Lei Li</u> Lei LI | <u>2009/12/11</u> | <u>Huijian Zhang / Huijian Zhang</u> |
| 2) <u>Zhenning TAO</u> Zhenning TAO | <u>2009/12/11</u> | <u>Huijian Zhang / Huijian Zhang</u> |
| 3) _____ Hisao NAKASHIMA | _____ | _____ |
| 4) _____ | _____ | _____ |
| 5) _____ | _____ | _____ |
| 6) _____ | _____ | _____ |
| 7) _____ | _____ | _____ |

U.S. ASSIGNMENT

5421 1A/0

IN CONSIDERATION of the sum of One Dollar (\$1.00), and of other good and valuable consideration paid to the undersigned inventor(s) (hereinafter, "ASSIGNOR") by

(Insert Name(s) & Address(es) of ASSIGNEE(S))

FUJITSU LIMITED
1-1, Kamikodanaka 4-chome
Nakahara-ku, Kawasaki-shi
Kanagawa 211-8588, Japan

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(a) executed on ; (Insert date of execution of application, if not concurrent)

(b) filed on September 25, 2009.

Serial No. 12/667,245;

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and to said application and all Letters Patent(s) of the United States granted on said application and any continuation, division, renewal, substitute, reissue or reexamination application based thereon, for the full term or terms for which the said Letters Patent(s) may be granted and including any extensions thereof (collectively, hereinafter, "said application(s) and Letters Patent(s)").

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IN WITNESS WHEREOF, the undersigned inventor(s) has (have) affixed his/her/their signature(s).

(Typed Name & Signature of Inventor(s))

(Date)

(Typed Name & Signature of Witness(es))

| | | | |
|----|---|---------------------|--|
| 1) | Lei LI | | |
| 2) | Zhenning TAO | | |
| 3) | <i>Hisao Nakashima</i> Hisao NAKASHIMA | <u>Dec 11, 2009</u> | |
| 4) | | | |
| 5) | | | |
| 6) | | | |
| 7) | | | |

PATENT

RECORDED: 12/14/2009

REEL: 023670 FRAME: 0039