

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

SUBMISSION TYPE:

NEW ASSIGNMENT

NATURE OF CONVEYANCE:

ASSIGNMENT

CONVEYING PARTY DATA

| Name | Execution Date |
|------------------|----------------|
| Rachid Yazami | 11/18/2009 |
| Joseph McMenamin | 11/18/2009 |
| Yvan Reynier | 11/20/2009 |
| Brent T. Fultz | 11/30/2009 |

RECEIVING PARTY DATA

| | |
|-----------------|--------------------------------------|
| Name: | California Institute of Technology |
| Street Address: | 1200 East California Blvd, MC 201-85 |
| City: | Pasadena |
| State/Country: | CALIFORNIA |
| Postal Code: | 91125 |

| | |
|-------------------|--|
| Name: | Centre National de la Recherche Scientifique |
| Street Address: | 3 rue Michel-Ange |
| Internal Address: | Cedex 16 |
| City: | Paris |
| State/Country: | FRANCE |
| Postal Code: | 75794 |

PROPERTY NUMBERS Total: 2

| Property Type | Number |
|---------------------|----------|
| Application Number: | 12537712 |
| Application Number: | 61159727 |

CORRESPONDENCE DATA

Fax Number: (303)499-8089

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 303-499-8080

501048334

PATENT
REEL: 023687 FRAME: 0926

CH \$80.00 12537712

Email: usptomail@greenwin.com
Correspondent Name: Greenlee, Winner and Sullivan, P.C.
Address Line 1: 4875 Pearl East Circle
Address Line 2: Suite 200
Address Line 4: Boulder, COLORADO 80301

ATTORNEY DOCKET NUMBER:

65-06A

NAME OF SUBMITTER:

Adam J. Gianola

Total Attachments: 8

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ASSIGNMENT

WHEREAS, I, **Rachid Yazami**, residing at 3943 Veselich Ave., #266, Los Angeles, CA, 90039, United States of America; I, **Joseph McMenamin**, 3754 Montrose Ave., Glendale, CA, 91214, United States of America; I, **Yvan Reynier**, residing at 29 Avenue du Gresivaudan, Meylan, 38240, France; I, **Brent T. Fultz**, residing at 269 S. Berkeley Ave., Pasadena, CA, 91107, United States of America; (each herein called "ASSIGNOR"), am an inventor of the invention entitled "A Battery State of Health Assessment System," for which I have executed the following Patent Applications:

| Item No. | Country | App. Serial No. | Filing Date | GWS Ref. No. |
|----------|---------|-----------------|----------------|--------------|
| 1 | US | 12/537,712 | August 7, 2009 | 65-06A |
| 2 | US | 61/159,727 | March 12, 2009 | --- |

AND WHEREAS, **California Institute of Technology**, an entity organized and existing under the laws of the State of California, having a principal place of business at **1200 East California Boulevard, MC 201-85, Pasadena, CA 91125**; and **Centre National de la Recherche Scientifique**, having a principal place of business at **3 rue Michel-Ange – 75794 PARIS Cedex 16 France**; (herein called "ASSIGNEES") are desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application(s);

NOW, THEREFORE, in consideration of my contractual and other legal obligations, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, a said ASSIGNOR, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEES, their successors, legal representatives and assigns, my entire right, title and interest in, to and under the said invention, and the said United States Application and all divisions, renewals, continuations and subsequent applications thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States; together with the right to file such applications and the right to claim for the same the priority of said patent applications listed herein and applications thereof and therefrom under The International Union for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application and applications thereof and therefrom is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

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Assignment

AND I HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEES, their successors, legal representatives and assigns, in accordance with the terms of this instrument;

AND I HEREBY covenant and agree that I have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith;

AND I HEREBY authorize the above-mentioned ASSIGNEES or their legal representative to insert in this instrument the filing date and serial number of said application(s) or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document;

AND I HEREBY further covenant and agree that I will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all continuing and subsequent applications, including divisional, reissue and foreign applications, make all rightful oaths, and generally cooperate and do everything possible to aid the said ASSIGNEES, their successors, legal representatives and assigns, to obtain, maintain, and enforce proper protection for said invention in any and all countries;

IN TESTIMONY WHEREOF, I hereunto set my hand and seal (if applicable) the day and year set opposite my signature.

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Assignment

11/18/2009
Date


RACHID YAZAMI

STATE OF CALIFORNIA)
COUNTY OF LOS ANGELES) ss.

BEFORE ME, the undersigned authority, on this 18th day of NOVEMBER
(month), 2009 (year), personally appeared the above person, known to me
to be the person whose name is subscribed to this instrument and who
acknowledged to me that s/he executed the same of his/her own free will for the
purposes and consideration herein expressed.

Date: _____

Notary Public

My Commission expires: _____

Date

JOSEPH MCMENAMIN

STATE OF CALIFORNIA)
COUNTY OF _____) ss.

BEFORE ME, the undersigned authority, on this ____ day of _____
(month), _____ (year), personally appeared the above person, known to me
to be the person whose name is subscribed to this instrument and who
acknowledged to me that s/he executed the same of his/her own free will for the
purposes and consideration herein expressed.

Date: _____

Notary Public

My Commission expires: _____

Additional Assignors on additional sheets: Yes

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

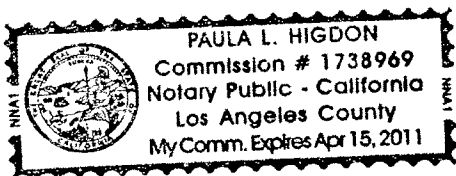
County of Los Angeles

On 11/19/2009 before me, PAULA L. HIGDON, NOTARY PUBLIC

personally appeared RACHID YAZAMI

☐ personally known to me

☒ (or proved to me on the basis of satisfactory evidence)



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature

Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document:

PATENT ASSIGNMENT

Document Date: _____ Number of Pages: _____

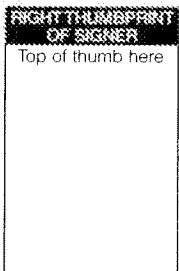
Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

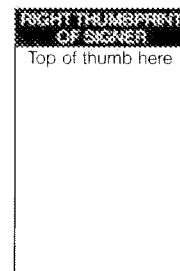
Signer Is Representing: _____



Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____



GWS Ref. 65-06A
Assignment

Date

RACHID YAZAMI

STATE OF CALIFORNIA)

) ss.

COUNTY OF _____)

BEFORE ME, the undersigned authority, on this _____ day of _____ (month), _____ (year), personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that s/he executed the same of his/her own free will for the purposes and consideration herein expressed.

Date: _____

Notary Public

My Commission expires: _____

11/18/09
Date

JOSEPH MCMENAMIN

STATE OF CALIFORNIA)

) ss.

COUNTY OF Los Angeles)

BEFORE ME, the undersigned authority, on this 18th day of November (month), 2009 (year), personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that ~~s~~he executed the same of his/~~her~~ own free will for the purposes and consideration herein expressed.

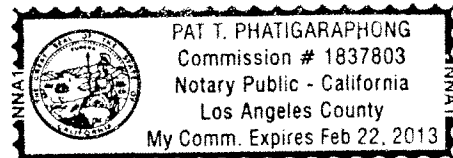
Date: 11/18/09

Notary Public

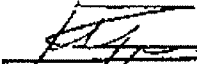
My Commission expires: 2/22/13

I certify under penalty of perjury under the laws of the State of California that the foregoing paragraph is true and correct.

Additional Assignors on additional sheets: Yes



Date: 20 november 2009


YVAN REYNIER


Certificate of Witness

I acknowledge that I was personally present and did see the above person, who is personally known to me, duly execute the above assignment on the date therein set forth.

Signature of Witness

Printed Name of Witness

Address of Witness


REYNIER CECILE
20 rue d'Alambert
38000 GRENOBLE

Date

BRENT T. FULTZ

STATE OF CALIFORNIA

)

) ss.

COUNTY OF

)

BEFORE ME, the undersigned authority, on this ____ day of _____ (month), _____ (year), personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that s/he executed the same of his/her own free will for the purposes and consideration herein expressed.

Date: _____

Notary Public

My Commission expires: _____

Additional Assignors on additional sheets: No

GWS Ref. 65-06A
Assignment

Date: _____

YVAN REYNIER

Certificate of Witness

I acknowledge that I was personally present and did see the above person, who is personally known to me, duly execute the above assignment on the date therein set forth.

Signature of Witness _____

Printed Name of Witness _____

Address of Witness _____

Nov 30, 2009
Date

Brent T. Fultz
BRENT T. FULTZ

STATE OF CALIFORNIA)
) ss.
COUNTY OF _____)

BEFORE ME, the undersigned authority, on this ____ day of _____ (month), _____ (year), personally appeared the above person, known to me to be the person whose name is subscribed to this instrument and who acknowledged to me that s/he executed the same of his/her own free will for the purposes and consideration herein expressed.

Date: _____

Notary Public

My Commission expires: _____

Additional Assignors on additional sheets: No

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

County of LOS ANGELES

On 11/30/2009 before me, PAULA L. HIGDON, NOTARY PUBLIC

personally appeared BRENT T. FULTZ

☐ personally known to me

☒ (or proved to me on the basis of satisfactory evidence)



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that ~~he/she/they~~ executed the same in ~~his/her/their~~ authorized capacity(ies), and that by ~~his/her/their~~ signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature Paula L. Higdon, Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

Title or Type of Document: PATENT ASSIGNMENT

Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer(s)

Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____



Signer's Name: _____

- ☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____

Signer Is Representing: _____

