

12-23-2009



103584303

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

12/18/09

1. Name of conveying party(ies)
AMPLE MEDICAL, INC.
Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)
Name: Venture Lending & Leasing IV, Inc. and
Internal Address: Venture Lending & Leasing V, Inc.

3. Nature of conveyance/Execution Date(s):
Execution Date(s) 11/30/09
 Assignment Merger
 Security Agreement Change of Name
 Joint Research Agreement
 Government Interest Assignment
 Executive Order 9424, Confirmatory License
Patent Transfer Statement (Under
 Other Uniform Commercial Code Section 9-619

Street Address: 2010 North First Street
City: San Jose
State: California
Country: US Zip: 95131
Additional name(s) & address(es) attached? Yes No

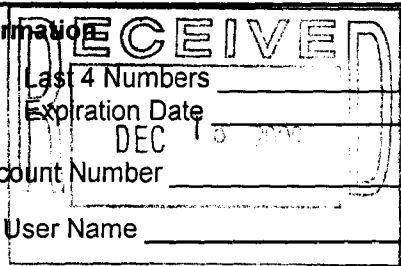
4. Application or patent number(s): This document is being filed together with a new application.
A. Patent Application No.(s)
11/104253; 11/997493; 10/676729; 60/429462; 10/846850;
11/980838; 10/894433; 10/677104; 60/429444; 11/981025;
60/429709; 11/664545; 60/616139; 11/089940; 11/389819;
11/089939; 11/903472; 11/089949; 11/255529; 11/255663
11/903407; 12/284199

B. Patent No.(s)
6,893459; 7,291168; 7,381220
Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:
Name: Jeffrey T. Klugman, Esq.
Internal Address: _____
Street Address: Four Embarcadero Center, Suite 4000
City: San Francisco
State: California Zip: 94111
Phone Number: 415-981-1400
Fax Number: 415-777-4961
Email Address: _____

6. Total number of applications and patents involved: 25
7. Total fee (37 CFR 1.21(h) & 3.41) \$ 1,000.00
 Authorized to be charged by credit card
 Authorized to be charged to deposit account
 Enclosed
 None required (government interest not affecting title)

8. Payment Information
a. Credit Card Last 4 Numbers _____
Expiration Date DEC 3 2009
b. Deposit Account Number _____
Authorized User Name _____



9. Signature: [Signature]
Signature
Jeffrey T. Klugman
Name of Person Signing

12/23/2009 DBYRNE 00000014 11104253
01 FC:0021 Date 12/17/09 1000.00
Total number of pages including cover sheet, attachments, and documents: 9

PATENT TRANSFER STATEMENT
(under Uniform Commercial Code Section 9-619)

WHEREAS, Ample Medical, Inc., a Delaware corporation ("**AMI**"), is the registered owner of certain patents registered and patents applications pending in the United States Patent and Trademark Office, (hereinafter collectively referred to as the "**Patents**" and "**Applications**"), all of which are set forth on the Schedule attached hereto;

WHEREAS, AMI previously granted to each of Venture Lending & Leasing IV, Inc. ("**VLL4**") and Venture Lending & Leasing V, Inc. ("**VLL5**" and sometimes being referred to herein together with VLL4 as "**Secured Parties**" and individually as a "**Secured Party**") security interests in all of AMI's right, title and interest in and to all general intangibles and other personal property owned by AMI, including the Patents and Applications, as security for certain loans which are in default;

WHEREAS, AMI has defaulted in connection with its secured obligations to Secured Parties;

WHEREAS, each Secured Party has exercised its post-default rights of strict foreclosure of its security interests in and to the Patents and Applications; and

WHEREAS, by reason of the exercise of such strict foreclosure, all rights of AMI in and to the Patents and Applications have been acquired by Secured Parties.

NOW, THEREFORE, in accordance with Section 9619(b) of the California Commercial Code, each Secured Party, in its capacity as a transferee of the Patents and Applications (Secured Parties, in such capacities, referred to herein together as "**Transferees**" and individually as a "**Transferee**") is entitled to a transfer of record of all rights of AMI in the Patents and Applications, and request is hereby made that the Commissioner of Patents and Trademarks and the United States Patent and Trademark Office accept the foregoing transfer statement and promptly amend its records to reflect the aforesaid transfer to Transferees.

The mailing address of AMI, Secured Parties and Transferees are as follows:

AMI:

Ample Medical, Inc.
c/o Vincent Kim
Aberdare Ventures
One Embarcadero Center, Suite 4000
San Francisco, CA 94111

Secured Parties:

Venture Lending & Leasing IV, Inc.
2010 North First Street, Suite 310
San Jose, CA 95131
Attn: Chief Financial Officer

Venture Lending & Leasing V, Inc.
2010 North First Street, Suite 310
San Jose, CA 95131
Attn: Chief Financial Officer

Transferees:

Venture Lending & Leasing IV, Inc.
2010 North First Street, Suite 310
San Jose, CA 95131
Attn: Chief Financial Officer

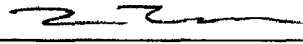
Venture Lending & Leasing V, Inc.
2010 North First Street, Suite 310
San Jose, CA 95131
Attn: Chief Financial Officer

This instrument may be executed in counterparts, and each counterpart shall have the same force and effect as an original and shall constitute an effective, binding agreement on the part of each of the undersigned. This instrument may be executed by facsimile signature, and such signature shall be treated as a fully enforceable signature hereto.

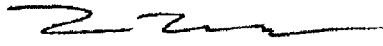
[Signature pages follow]

IN WITNESS WHEREOF, each Secured Party and Transferee has caused its name to be signed by a duly authorized representative this 30th day of November, 2009.

VENTURE LENDING & LEASING IV, INC., in
its capacity as Secured Party and Transferee

By: 
Name: Maurice Werdegar
Title: President

VENTURE LENDING & LEASING V, INC., in its
capacity as Secured Party and Transferee

By: 
Name: Maurice Werdegar
Title: President

Schedule of Patents and Applications

See attachment

Ample Medical, Inc.
Patent Portfolio
March 3, 2009

Docket #	City	Full Title	Serial #	Filed	Status	Issue #	Issued
9542.18424	US	Methods and Devices for Heart Valve Treatments	09/666,617	9/20/2000	Issued	6,893,459	5/17/2005
9542.18424-DIV	US	Heart Valve Annulus Device and Method of Using Same	11/104,253	4/12/2005	Pending		
9542.18426-CON	US	Methods and Devices for Heart Valve Treatments	10/695,433	10/29/2003	Issued	7,291,168	11/6/2007
9542.18426-CON DIV	US	Methods and Devices for Heart Valve Treatments	11/977,493	10/25/2007	Pending		
9542.18426-PCT	PCT	Methods and Devices for Heart Valve Treatments	PCT/US02/31376	10/1/2002	National Phase		
9542.18426-PCT AU	AU	Methods and Devices for Heart Valve Treatments	2002362442	10/1/2002	Issued	2002362442	11/21/2008
9542.18426-PCT CA	CA	Methods and Devices for Heart Valve Treatments	2455444	10/1/2002	Pending		
9542.18426-PCT CN	CN	Methods and Devices for Heart Valve Treatments	2819475.6	10/1/2002	Issued	ZL02819475	8/29/2007
9542.18426-PCT CN DIV	CN	Methods and Devices for Heart Valve Treatments	200710127154.7	7/4/2007	Pending		
9542.18426-PCT CN HK	HK	Methods and Devices for Heart Valve Treatments	5107461	8/25/2005	Issued	HK1073423	11/2/2007
9542.18426-PCT EP	EP	Methods and Devices for Heart Valve Treatments	2800430.7	10/1/2002	Pending		
9542.18426-PCT JP	JP	Methods and Devices for Heart Valve Treatments	2003-531900	10/1/2002	Pending		
9542.18427-FOR	US	Devices, Systems, and Methods for Retaining a Native Heart Valve Leaflet	10/676,729	10/1/2003	Allowed		
9542.18427-PCT	PCT	Device and Method for Repairing a Native Heart Valve Leaflet	PCT/US03/030833	10/1/2003	Abandoned		
9542.18427-PROV	US	Heart Valve Leaflet Retaining Devices	60429,462	11/26/2002	Expired		
9542.18428-CIP 1	US	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	10/846,850	5/14/2004	Pending		
9542.18428-CIP 1 DIV	US	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	11/980,838	10/31/2007	Pending		
9542.18428-CIP 1 PCT	PCT	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	PCT/US2005/014898	4/29/2005	National Phase		
9542.18428-CIP 1 PCT AU	AU	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	2005244782	4/29/2005	Pending		
9542.18428-CIP 1 PCT CA	CA	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	2563049	4/29/2005	Pending		
9542.18428-CIP 1 PCT CN	CN	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	200580015508.8	4/29/2005	Pending		

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REEL: 023699 FRAME: 0677

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Docket #	City	Full Title	Serial #	Filed	Status	Issue #	Issued
9542.18428-CIP 1 PCT EP	EP	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	5742354.3	4/29/2005	Pending		
9542.18428-CIP 1 PCT EP HK	HK	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	7108558	8/6/2007	Pending		
9542.18428-CIP 1 PCT JP	JP	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	2007-513186	4/28/2005	Pending		
9542.18428-CIP 2	US	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	101894,433	7/19/2004	Pending		
9542.18428-CIP 2 PCT	PCT	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	PCT/US2005/021914	6/20/2005	National Phase		
9542.18428-CIP 2 PCT AU	AU	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	2005275509	6/20/2005	Pending		
9542.18428-CIP 2 PCT CA	CA	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	2573756	6/20/2005	Pending		
9542.18428-CIP 2 PCT CN	CN	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	200580024329.0	6/20/2005	Pending		
9542.18428-CIP 2 PCT EP	EP	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	5766840.2	6/20/2005	Pending		
9542.18428-CIP 2 PCT EP HK	HK	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	7113093.2	3/7/2008	Pending		
9542.18428-CIP 2 PCT JP	JP	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	2007-522510	6/20/2005	Pending		
9542.18428-FOR	US	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	101677,104	10/1/2003	Pending		
9542.18428-PCT	PCT	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	PCT/US03/030831	10/1/2003	National Phase		
9542.18428-PCT AU	AU	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	2003277116	10/1/2003	Pending		
9542.18428-PCT CA	CA	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	2498030	10/1/2003	Pending		
9542.18428-PCT CN	CN	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	200380100833.5	10/1/2003	Pending		
9542.18428-PCT EP	EP	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	3799343.3	10/1/2003	Issued	1562522	12/31/2008
9542.18428-PCT EP-HK	HK	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	6102076.7	2/17/2006	Pending		
9542.18428-PCT JP	JP	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	2004-541902	10/1/2003	Pending		
9542.18428-PROV	US	Heart Valve Remodeling Devices	60/429,444	11/26/2002	Expired		

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Docket #	Cty	Full Title	Serial #	Filed	Status	Issue #	Issued
9542.18429-FOR	US	Devices, Systems, and Methods for Supplementing, Repairing, or Replacing a Native Heart Valve Leaflet	10/676,815	10/1/2003	Issued	7,381,220	6/3/2008
9542.18429-FOR DIV	US	Devices, Systems, and Methods for Supplementing, Repairing, or Replacing a Native Heart Valve Leaflet	11/981,025	10/31/2007	Pending		
9542.18429-PCT	PCT	Devices, Systems, and Methods for Supplementing, Repairing, or Replacing a Native Heart Valve Leaflet	PCT/US03/030830	10/1/2003	Abandoned		
9542.18429-PROV	US	Neo-Leaflet Medical Devices	60/429,709	11/26/2002	Expired		
9542.18685-PCT	PCT	Atroventricular Valve Annulus Repair Systems and Methods Including Retro-Chordal Anchors	PCT/US2005/035750	10/5/2005	National Phase		
9542.18685-PCT US	US	Atroventricular Valve Annulus Repair Systems and Methods including Retro-Chordal Anchors	11/664,545	3/25/2008	Pending		
9542.18685-PROV	US	Atroventricular Valve Annulus Intra-Retro Leaflet and Suspension Systems and Methods	60/616,139	10/5/2004	Expired		
9542.18725-A CIP	US	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	11/089,940	3/25/2005	Pending		
9542.18725-ABC CIP (2)	US	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	11/389,819	3/27/2006	Pending		
9542.18725-ABC CIP (2) PCT	PCT	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	PCT/US2006/011086	3/27/2006	National Phase		
9542.18725-ABC CIP (2) PCT AU	AU	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	2006230087	3/27/2006	Pending		
9542.18725-ABC CIP (2) PCT CA	CA	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	2602942	3/27/2006	Pending		
9542.18725-ABC CIP (2) PCT CN	CN	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	200680018389.6	3/27/2006	Pending		
9542.18725-ABC CIP (2) PCT EP	EP	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	6748729.8	3/27/2006	Pending		
9542.18725-ABC CIP (2) PCT EP HK	HK	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	8106825	6/18/2008	Pending		
9542.18725-ABC CIP (2) PCT JP	JP	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	2008-503280	3/27/2006	Pending		
9542.18725-B CIP	US	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of Magnetic Tools	11/089,939	3/25/2005	Pending		

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Docket #	City	Full Title	Serial #	Filed	Status	Issue #	Issued
9542.18725-B CIP 2 PCT	PCT	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of Magnetic Tools	PCT/US2008/010827	9/17/2008	Pending		
9542.18725-B CIP CIP	US	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of Magnetic Tools	11/903,472	9/21/2007	Pending		
9542.18725-C CIP	US	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of a Bridge Implant	11/089,949	3/25/2005	Pending		
9542.18725-C CIP 3 PCT	PCT	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of an Adjustable Bridge Implant Having an Adjustable Bridge Stop	PCT/US2008/010826	9/17/2008	Pending		
9542.18725-C CIP CIP A	US	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of an Adjustable Bridge Implant System	11/255,529	10/21/2005	Pending		
9542.18725-C CIP AB PCT	PCT	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of an Adjustable Bridge Implant System	PCT/US2006/011085	3/27/2006	National Phase		
9542.18725-C CIP AB PCT AU	AU	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of an Adjustable Bridge Implant System	2006230086	3/27/2006	Pending		
9542.18725-C CIP AB PCT CA	CA	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of an Adjustable Bridge Implant System	2601818	3/27/2006	Pending		
9542.18725-C CIP AB PCT CN	CN	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of an Adjustable Bridge Implant System	200680018390.9	3/27/2006	Pending		
9542.18725-C CIP AB PCT EP	EP	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of an Adjustable Bridge Implant System	6748728	3/27/2006	Pending		

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Docket #	City	Full Title	Serial #	Filed	Status	Issue #	Issued
9542.18725-C CIP AB PCT EP HK	HK	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of an Adjustable Bridge Implant System	8106800	6/19/2008	Pending		
9542.18725-C CIP AB PCT JP	JP	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of an Adjustable Bridge Implant System	2008-503279	3/27/2006	Pending		
9542.18725-C CIP CIP B	US	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of a Bridge Implant Having an Adjustable Bridge Stop	11/255,663	10/21/2005	Pending		
9542.18725-C CIP CIP CIP	US	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus, Including the Use of an Adjustable Bridge Implant Having an Adjustable Bridge Stop	11/903,407	9/21/2007	Pending		
9542.20377	US	Devices, Systems, and Methods for Reshaping a Heart Valve Annulus	12/284,199	9/19/2008	Pending		