

12-29-2009

07-1091-US-DIV

To the Director of the U.S. Patent and

103584811

cuments or the new address(es) below.

**1. Name of conveying party(ies)**

SRU Biosystems, Inc.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) DECEMBER 271, 2009

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS

Internal Address: \_\_\_\_\_

Street Address: 506 SOUTH WRIGHT STREET

City: URBANA

State: ILLINOIS

Country: U.S.A. Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

11/983,109

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: THOMAS A. FAIRHALL

Internal Address: \_\_\_\_\_

McDONNELL BOEHNEN HULBERT & BERGHOFF LLP

Street Address: 300 SOUTH WACKER DR.

City: CHICAGO

State: ILLINOIS Zip: 60606-6709

Phone Number: 360 379 6514

Fax Number: 360 379 2434

Email Address: FAIRHALL@MBHB.COM

**6. Total number of applications and patents involved: 1**

**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number 13-2490

Authorized User Name THOMAS A. FAIRHALL

**9. Signature:**

Thomas A. Fairhall  
Signature

12-22-2009

Date

THOMAS A. FAIRHALL

Name of Person Signing

Total number of pages including cover sheet and documents: 1983109

Documents to be recorded (including cover sheet) should be faxed to (541) 273-0829, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

**Assignment for Joint Ownership**

University of Illinois Docket No. TF 06034-DIV  
MBHB Case no. 07-1091-US-DIV  
Serial No. 11/983,109

**Whereas**, SRU Biosystems, Inc., 14-A Gill Street, Woburn, MA 01801 is the assignee of U.S. Patent application serial no. 11/983,109 filed November 6, 2007 entitled "Photonic Crystal Sensors With Integrated Fluid Containment Structure", Brian T. Cunningham, inventor, hereafter "the Patent Application."

**Whereas**, SRU Biosystems, Inc., 14-A Gill Street, Woburn, MA 01801, has provided funding to the Nano Sensors Group at the Department of Electrical and Computer Engineering of the University of Illinois (hereafter "University") in connection with the research activities of Brian T. Cunningham, Associate Professor at the University, including funding of research activity related to the making of inventions of Cunningham and Charles Choi, related to the invention described in the Patent Application, including inventions described in U.S. Patent application 12/011,093 filed January 23, 2008, and U.S. Patent 7,531,786, both of which are currently assigned to the University.

**Whereas**, the University and SRU Biosystems, Inc. are desirous in jointly owning and sharing equally the ownership of the Patent Application and any patents and patent applications, U.S. or Foreign, which may result from the Patent Application or any counterpart thereof, including the related application serial no. 12/011,093 and U.S. Patent 7,531,876.

**Therefore**, in consideration of the University's assignment of a one-half undivided interest to SRU Biosystems, Inc. to application serial no. 12/011,093 and U.S. Patent 7,531,876, and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, SRU Biosystems, Inc. hereby assigns to:

**The Board of Trustees of the University of Illinois**  
506 South Wright Street  
Urbana Illinois 61801

its successors and assigns, a **one-half undivided interest** of the entire right, title and interest in the invention or improvements disclosed in the Patent Application and any and all other applications, both United States and foreign, which the undersigned or the Inventors may file, either solely or jointly with others, on said invention or improvements and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee a one-half undivided interest in the priority right provided by the International Convention.

WITNESS my hand and seal this 21 day of December 2009.

SRU Biosystems, Inc.

By: BA CBR  
Brant Binder  
Title: Chief Financial Officer

State of \_\_\_\_\_

County of \_\_\_\_\_

The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_\_, 2009.

\_\_\_\_\_  
NOTARY PUBLIC