

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Zhaolin LU	12/14/2009
Ruoxi YANG	12/14/2009
RECEIVING PARTY DATA	
Name:	Rochester Institute of Technology
Street Address:	5 Lomb Memorial Drive
City:	Rochester
State/Country:	NEW YORK
Postal Code:	14623-5603
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12613206
CORRESPONDENCE DATA	
Fax Number:	(866)947-0882
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	585-263-1000
Email:	jbowers@nixonpeabody.com
Correspondent Name:	NIXON PEABODY LLP - PATENT GROUP
Address Line 1:	1100 CLINTON SQUARE
Address Line 4:	ROCHESTER, NEW YORK 14604
ATTORNEY DOCKET NUMBER:	1819-101141
NAME OF SUBMITTER:	Janice Bowers, Paralegal
Total Attachments: 2 source=20100108_Assignment_1819_101141#page1.tif source=20100108_Assignment_1819_101141#page2.tif	

CH \$40.00 12613206

ASSIGNMENT


WHEREAS, We, **Zhaolin Lu**, a citizen of the **Peoples Republic of China**, residing at **256 East Squire Drive, Apt. 2, Rochester, New York 14623**, and **Ruoxi Yang**, a citizen of the **Peoples Republic of China**, residing at **1772 Crittenden Road, Apt. 2, Rochester, New York 14623**, have invented certain new and useful improvements in **METHODS FOR THREE-DIMENSIONAL NANOFOCUSING OF LIGHT AND SYSTEMS THEREOF** for which an application for Letters Patent in the United States was filed as U.S. Serial No. **12/613,206** on **November 5, 2009**;

AND WHEREAS, **Rochester Institute of Technology**, with its principal place of business at **5 Lomb Memorial Drive, Rochester, New York 14623-5603**, desires to acquire the entire right, title and interest in and to the said improvements and the said Application:

NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions or employee benefits and compensation to the above-named inventors pursuant to the Intellectual Property Policy set by **Rochester Institute of Technology** in effect on the date of execution of this Assignment, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Rochester Institute of Technology**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Rochester Institute of Technology**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said **Rochester Institute of Technology**, its successors, legal representatives and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Rochester Institute of Technology**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.


IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 14th day of December, 2009.



Zhaolin Lu

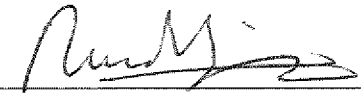
STATE OF New York
COUNTY OF Monroe SS:

This 14th day of December, 2009, before me personally came the above-named **Zhaolin Lu**, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.



Notary Public
Commission expires on _____
SARA J RENNA
Notary Public, State of New York
Qualified in Monroe County
Commission Expires 12-31-2009


IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 14th day of December, 2009.



Ruoxi Yang

STATE OF New York
COUNTY OF Monroe SS:

This 14th day of December, 2009, before me personally came the above-named **Ruoxi Yang**, to me personally known as the individual who executed the same of his/her own free will for the purposes therein set forth.



Notary Public **SARA J RENNA**
Commission expires on _____ **Notary Public, State of New York**
Qualified in Monroe County
Commission Expires 12-31-2009