

Form PTO-1595 (Rev. 01-09)  
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U.S. DEPARTMENT OF COMMERCE  
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### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Joe Scarpelli  
Sridhar Sodem  
Ronald Alexander LeFaive

Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) January 6, 2010

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: BMC Software, Inc.

Internal Address: \_\_\_\_\_

Street Address: 2101 City West Boulevard

City: Houston

State: TX

Country: US Zip: 77042

Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**

A. Patent Application No.(s)

12/608,601 (Attorney Docket No. BMC1200-1)

This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Sprinkle IP Law Group (Cust. No. 44654)

Internal Address: \_\_\_\_\_

Street Address: 1301 W. 25th Street, Suite 408

City: Austin

State: Texas Zip: \_\_\_\_\_

Phone Number: 512-637-9220

Fax Number: 512-371-9088

Email Address: \_\_\_\_\_

**6. Total number of applications and patents involved: 1****7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00**

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number: 503183

Authorized User Name: Katharina W. Schuster

**9. Signature:**

Katharina W. Schuster  
Signature

Jan. 8, 2010  
Date

Katharina W. Schuster  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: **3**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 503183 12608601

**ATTORNEY DOCKET  
BMC1200-1**

**PATENT APPLICATION  
Application No. 12/608,601**

- 1 of 2 -

**ASSIGNMENT**

This Assignment is made by **Joe Scarpelli, of Mountain View, CA; Sridhar Sodem of Cupertino, CA; and Ronald Alexander LeFaive of Sunnyvale, CA** ("Assignors").

WHEREAS, Assignors have invented a new and useful invention entitled **"SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR OPTIMIZED ROOT CAUSE ANALYSIS"**, for which an application for United States Letters Patent was made on **October 29, 2009**, bearing an application number of **12/608,601**; and

WHEREAS, Assignors believe themselves to be the original inventors of the invention including any and all improvements disclosed in said application ("Invention"); and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said Invention, said application, on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application for protection ("Applications"), and any patents, invention registrations or other forms of protection ("Patents") that may be granted for said invention from any Applications in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the Invention and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to **BMC Software, Inc.** having a principal place of business at **2101 City West Boulevard, Houston, TX 77042** (hereinafter referred to as "Assignee"), the entire right, title, and interest in and to said Invention, said application and any Patents that may be granted for said Invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and/or their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing the Patents in the United States and throughout the world for said Invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said Invention, said application, and any Patents granted for said Invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

**PATENT  
REEL: 023756 FRAME: 0985**

ATTORNEY DOCKET  
BMC1200-1

PATENT APPLICATION  
Application No. 12/608,601

- 2 of 2 -

Assignors authorize and request the Commissioner for Patents of the United States and of all foreign countries to issue any Patents granted for said Invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application, to Assignee, its successors and assigns, as the assignee of the entire interest in said Invention.


IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates provided below.

Name of Sole/First Inventor:

Joe Scarpelli

Signature:

Date:

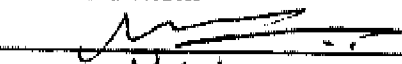
  
1/6/2010

Name of Additional Inventor:

Sridhar Sodem

Signature:

Date:


  
1/6/2010

Name of Additional Inventor:

Ronald Alexander Lafalva

Signature:

Date:

  
1/6/2010