

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Norm G. Ducharme	11/25/2009
RECEIVING PARTY DATA	
Name:	Cornell University
Street Address:	395 Pine Tree Road, Suite 310
Internal Address:	Cornell Center for Technology, Enterprise & Commercialization ("CCTEC")
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State/Country:	NEW YORK
Postal Code:	14850
PROPERTY NUMBERS Total: 2	
Property Type	Number
Application Number:	11962667
PCT Number:	US0788557
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ATTORNEY DOCKET NUMBER:	1941/A64
NAME OF SUBMITTER:	Jay Sandvos
Total Attachments: 2 source=1941_A64_AZ#page1.tif	

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PATENT
REEL: 023759 FRAME: 0554

Sole Assignment
1258_4146PRO
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1258_4146PCT

SOLE ASSIGNMENT

WHEREAS, I, **NORM G. DUCHARME**, a United States citizen, residing at 40 Horvath Drive, Ithaca, New York 14850, have invented with **WERNER LINDENTHALER** and **IRA SANDERS** certain new and useful improvements in:

EQUINE AIRWAY DISORDERS

and further identified by Attorney Docket Number **1258_4146PRO**.

- ☐ for which the undersigned has executed an application for patent in the United States of America on _____ and transmitted herewith.
- ☒ which application for patent was filed on **December 22, 2006** and has been assigned Serial No. **60/871,533** by the United States Patent and Trademark Office.

And

EQUINE AIRWAY DISORDERS

and further identified by Attorney Docket Number **1258_4146**.

- ☐ for which the undersigned has executed an application for patent in the United States of America on _____ and transmitted herewith.
- ☒ which application for patent was filed on **December 21, 2007** and has been assigned Serial No. **11/962,667** by the United States Patent and Trademark Office.

And

EQUINE AIRWAY DISORDERS

and further identified by Attorney Docket Number **1258_4146PCT**.

- ☐ for which the undersigned has executed an application for patent in the United States of America on _____ and transmitted herewith.
- ☒ which application for patent was filed on **December 21, 2007** and has been assigned Serial No. **PCT/US2007/088557** by the United States Patent and Trademark Office.

AND WHEREAS, **Cornell University**, with its principal place of business at **Cornell Center for Technology, Enterprise & Commercialization ("CCTEC")**, 395 Pine Tree Road, Suite 310, Ithaca, New York 14850 USA, is entitled to acquire the entire right, title and interest in and to the said improvements and the said Applications:

Sole Assignment
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NOW, THEREFORE, for good and valuable consideration, including salary or payment for the making of inventions, or employee benefits, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Cornell University**, its successors, legal representatives and assigns, the entire right, title and interest throughout the world in, to and under the said improvements, and the said applications and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Cornell University**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said **Cornell University**, its successors, legal representatives, and assigns, any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Cornell University**, its successors, legal representatives, and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

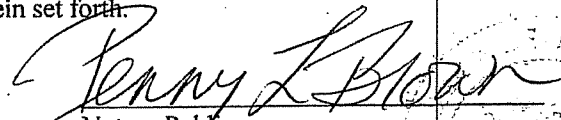
Each of the undersigned hereby grants to any duly empowered legal representative, including specifically all patent practitioners associated with the Customer No. 20874, the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office or with the rules of any other national or international Patent Office for recordation of this document or a true copy thereof.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 25th day of November, 2009.


NORM G. DUCHARME

STATE OF New York
COUNTY OF TompkinsSS:

This 25th day of November, 2009, before me personally came the above-named **Norm G. Ducharme**, to me personally known as the individual who executed the same of his own free will for the purposes therein set forth.


Notary Public
Commission expires **PENNY L. BROWN**

Notary Public, State Of New York
No. 4949205
Qualified In Tompkins County
Commission Expires April 3, 2011