# PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: CORRECTIVE ASSIGNMENT	
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Receiving Party Data previously recorded on Reel 023783 Frame 0602. Assignor(s) hereby confirms the Wisconsin Alumni Research Foundation needs to be deleted as Receiving Party

## **CONVEYING PARTY DATA**

Name	Execution Date
Edwin R. Chapman	08/11/2008

# **RECEIVING PARTY DATA**

Name:	Howard Hughes Medical Institute	
Street Address:	4000 Jones Bridge Rd.	
City:	Chevy Chase	
State/Country:	MARYLAND	
Postal Code:	20815	

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12569905

# CORRESPONDENCE DATA

Fax Number: (608)263-1064

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 608-263-2828
Email: krice@warf.org
Correspondent Name: Katie Rice

Address Line 1: 614 Walnut Street

Address Line 2: 13th Floor

Address Line 4: Madison, WISCONSIN 53726

ATTORNEY DOCKET NUMBER:	P08369US02 CHAPMAN-HHMI	
NAME OF SUBMITTER:	Katie Rice	

**Total Attachments: 7** 

source=P08369US Corrected Chapman Assignment-Rec#page1.tif source=P08369US Corrected Chapman Assignment-Rec#page2.tif

PATENT REEL: 023799 FRAME: 0130

501066817

source=P08369US Corrected Chapman Assignment-Rec#page3.tif source=P08369US Corrected Chapman Assignment-Rec#page4.tif source=P08369US Corrected Chapman Assignment-Rec#page5.tif source=P08369US Corrected Chapman Assignment-Rec#page6.tif source=P08369US Corrected Chapman Assignment-Rec#page7.tif

PATENT REEL: 023799 FRAME: 0131 USPTO 1/14/2010 6:46:00 PM PAGE 4/005 Fax Server

TO: KATIE RICE COMPANY: 614 WALNUT STREET

# PATENT ASSIGNMENT

Electronic Version v1.1 01/14/2010 501065646 Stylesheet Version v1.1

SUBMISSION TYPE: **NEW ASSIGNMENT** 

NATURE OF CONVEYANCE: **ASSIGNMENT** 

#### **CONVEYING PARTY DATA**

Name	Execution Date
Edwin R. Chapman	08/11/2008

#### RECEIVING PARTY DATA

Name:	Wisconsin Alumni Research Foundation	
Street Address:	614 Walnut Street	
City:	Madison	
State/Country:	WISCONSIN	
Postal Code:	53726	

Name:	Howard Hughes Medical Institute	
Street Address:	4000 Jones Bridge Rd.	
City:	Chevy Chase	
State/Country:	MARYLAND	
Postal Code:	20815	

# PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12569905

## **CORRESPONDENCE DATA**

(608) 263 - 1064 Fax Number:

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 608-263-2828 Email: krice@warf.org Correspondent Name: Katie Rice

614 Walnut Street Address Line 1:

Address Line 2: 13th Floor

Address Line 4: Madison, WISCONSIN 53726

ATTORNEY DOCKET NUMBER: P08369US02 CHAPMAN

**PATENT** 

**REEL: 023799 FRAME: 0132** 

USPTO 1/14/2010 6:46:00 PM PAGE 5/005 Fax Server

TO: KATIE RICE COMPANY: 614 WALNUT STREET

NAME OF SUBMITTER:

Katie Rice

Total Attachments: 3
source=P08369US02 Assignment Chapman HHMI#page1.tif
source=P08369US02 Assignment Chapman HHMI#page2.tif
source=P08369US02 Assignment Chapman HHMI#page3.tif

PATENT REEL: 023799 FRAME: 0133

	<u>AS</u>	SSIGNMENT
Assignment made	8/11	, 20 <u>08</u> , by Edwin R. Chapman, PhD
"Inventor") to the Howard Hughes	Medica	l Institute, a Delaware corporation ("HHMI").

# Recitals

WHEREAS, Inventor is an employee of HHMI and, as a condition of his employment, has signed the Agreement appended to HHMI's Policy on Intellectual Property (the "Intellectual Property Agreement");

WHEREAS, pursuant to the Intellectual Property Agreement, Inventor has agreed (a) to assign to HHMI all rights he may acquire in any invention, discovery, improvement, or other intellectual property, whether or not patentable or copyrightable, developed directly or indirectly as a result of a program of research financed by HHMI funds or funds under the control of HHMI (each a "Subject Property") and (b) to execute any agreements that may be desired by HHMI in connection with such assignment;

WHEREAS, Inventor has, alone or with others invented "Botulinum Neurotoxin E Receptor and the Use Thereof' which may be the subject of a patent application (the "Invention"), and the Invention is a Subject Property; and

WHEREAS, Inventor seeks to make a formal assignment of his interest in the Invention to HHMI, and HHMI desires the execution of a formal assignment of all of Inventor's right, title, and interest in the Invention.

NOW, THEREFORE, in consideration of the promises contained in and the acts performed and to be performed pursuant to the Intellectual Property Agreement and of other good and valuable consideration, the receipt of which is hereby acknowledged by Inventor, Inventor agrees as follows:

- 1. Assignment. Inventor hereby assigns to HHMI his entire right, title, and interest in the Invention; any United State patent applications and all corresponding foreign patent applications which are directed to the Invention and any and all patents issued therefrom; all United States or foreign division and continuation applications based on any of the foregoing, and any and all patents issued therefrom; and all claims which are directed to the Invention and which may be contained in continuation-in-part applications or in patents which issue therefrom.
- 2. <u>Cooperation.</u> Inventor agrees to execute upon request such further assignments, documents, and other instruments as may be necessary or desirable to assign Inventor's entire right, title and interest in the Invention to HHMI and to assist HHMI (or others at the direction of HHMI) in applying for, obtaining, and enforcing patents, copyrights, or other rights in the United States and in any foreign country with respect to the Invention.

PATENT REEL: 023799 FRAME: 0134

3. <u>Parties</u> . The terms and provisions of the Assignment shall inure to the benefit of HHMI and its successors and assigns and shall be binding on the Inventor and his heirs, personal representatives and assigns.
4. Warranty. Inventor warrants and represents that he has not entered into any assignment, contract, or understanding in conflict herewith, and that there is no other person or entity whose consent is required in order for the Inventor to make the assignment contained herein.  Inventor:  Edwin R. Chapman, PhD
State of Wisconsin
County of Dane
Then personally appeared before me the above-named Edwin R. Chapman, PhD and acknowledged that he executed the foregoing instrument in his authorized capacity this // day of

P08369

My Commission Expires: May 10, 200 9

27540401

**RECORDED: 01/15/2010** 

HHMI 03965