

Attorney Docket No. 37866-505001US/SD2007-103

Form PTO-1595 (Rev. 08/05)  
OMB No. 0651-0027 (exp. 7/31/2008)U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Mark Frank

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No**3. Nature of conveyance/Execution Date(s):**Execution Date(s) December 15, 2009

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**The Research Foundation Of State  
Name: University of New York

Internal Address: \_\_\_\_\_

Street Address: 1576 Sweet Home Road

Suite 111

City: AmherstState: New YorkCountry: USA Zip: 14228Additional name(s) & address(es) attached? ☐ Yes ☒ No**4. Application or patent number(s):**☐ This document is being filed together with a new application.

A. Patent Application No.(s)

12/548,294

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No**5. Name and address to whom correspondence concerning document should be mailed:**Name: Michael D. Van LoyInternal Address: Mintz, Levin, Cohn, Ferris,Glovsky and Popeo, P.C.Street Address: 3580 Carmel Mountain Road

Suite 300

City: San DiegoState: CA Zip: 92130Phone Number: (858) 314-1500Fax Number: (858) 314-1501Email Address: mdvanloy@mintz.com**6. Total number of applications and patents involved:**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_b. Deposit Account Number 50-0311Authorized User Name Michael D. Van Loy**9. Signature:**  
Signature

January 15, 2010

Date

Michael D. Van Loy (Reg. No. 52,315)

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 500311 12548294

700427217

PATENT  
REEL: 023805 FRAME: 0086

PATENT  
UCSD Ref. No. SD2007-103

### ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS

**Mark Frank**

hereby sell, assign and transfer to ASSIGNEE, The Research Foundation of State University of New York, a New York corporation, having its statewide administrative offices located at 1576 Sweet Home Road, Suite 111, Amherst, New York 14228 and the successors, assigns and legal representatives of the ASSIGNEE all of their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to any and all improvements which are disclosed in the invention entitled

#### **Automated Facial Action Coding System**

and which is found in U.S. Application Serial No. 12/548,294 filed on **August 26, 2009**, entitled as above and listing the above named persons as inventors, and any legal equivalent thereof in a foreign country, including the right to claim priority and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, divisional, continuation-in-part, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNORS further covenant that ASSIGNEE will, upon request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE, or its legal representative, any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

PATENT  
UCSD Ref. No. SD2007-103

AND the ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Executed this 15 day of DECEMBER 2009



Mark Frank

Residence Address:

41 SUNBURST CIRCLE  
Address

EAST AMHERST, NY 14051  
City, State and Zip

Citizenship: USA