PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

CONVEYING PARTY DATA

Name	Execution Date
Kosuke Tanaka	01/07/2010
Daisuke Miyauchi	01/07/2010
Susumu Aoki	01/07/2010
Tetsuya Roppongi	01/07/2010
Tsutomu Chou	01/07/2010
Takahiko Izawa	01/07/2010
Katsuki Kurihara	01/07/2010

RECEIVING PARTY DATA

Name:	TDK Corporation	
Street Address:	1-13-1, Nihonbashi, Chuo-ku	
City:	Tokyo	
State/Country:	JAPAN	
Postal Code:	103-8272	

PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12688367

CORRESPONDENCE DATA

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ATTORNEY DOCKET NUMBER: 335001-2115

PATENT

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NAME OF SUBMITTER:	Jeanmarie Spradlin	
Total Attachments: 3 source=assignment#page1.tif source=assignment#page2.tif source=assignment#page3.tif		

PATENT REEL: 023816 FRAME: 0092

FLH Ref. No.: 335001-2115 RECORDATION FORM COVER SHEET U.S. DEPARTMENT OF COMMERCE PATENTS ONLY Patent and Trademark Office To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof. 1. Name of conveying party(ies) Name and address of receiving party(ies) Kosuke TANAKA Name: **TDK Corporation** Daisuke MIYAUCHI Internal Address: Susumu AOKI Street Address: 1-13-1, Nihonbashi, Chuo-ku Tetsuya ROPPONGI City, State, Zip: Tokyo, Japan 103-8272 Tsutomu CHOU Takahiko IZAWA Katsuki KURIHARA Additional name(s) of conveying party(ies) attached? ☐ Yes ⊠ No Nature of conveyance: Assignment Security Agreement Merger Change of Name Other Execution Date(s): January 7, 2010 Application number(s) or patent number(s): 12/688,367 If this document is being filed together with a new application, the execution date of the application is: Additional numbers attached? ☐Yes ☒ No Total number of applications and patents involved Name and address of party to whom correspondence concerning document should be mailed: Name: Ellen Marcie Emas 7. Total fee (37 CFR 3.41) \$ <u>40.00</u> Enclosed Internal Address: FROMMER LAWRENCE & HAUG LLP Authorized to be charged to deposit account 50-0320 Street Address: 745 FIFTH AVENUE Deposit account number: 50-0320 City: (Attach duplicate copy of this page if paying by deposit account) NEW YORK State: N.Y. Zip: 10151 Do not use this space, Statement and signature. To the best of my knowledge and belief, the foregoing information is true and dorrect and any attached copy is a true copy of the original document. January 20, 2010 Ellen Marcie Emas Name of Person Signing Date Total number of pages including cover sheet, attachments, and document 3 Do not detach this portion

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PATENT REEL: 023816 FRAME: 0093

Frommer Lawrence & Haug LLP File No.:	
Serial No.:	
Filing Date:	

ASSIGNMENT

(JOINT)

THIS ASSIGNMENT, by Kosuke TANAKA, Daisuke MIYAUCHI, Susumu AOKI, Tetsuya ROPPONGI, Tsutomu CHOU, Takahiko IZAWA and Katsuki KURIHARA, all residing at c/o TDK Corporation of 1-13-1, Nihonbashi, Chuo-ku, Tokyo 103-8272, Japan (hereinafter referred to as "the Assignors"), respectively, witnesseth:

WHEREAS, the Assignors have invented certain new and useful improvements in
THERMALLY-ASSISTED MAGNETIC RECORDING HEAD HAVING NEAR-FIELD OPTICAL DEVICE
WITH PROPAGATION EDGE

set forth in an application for Letters Patent of the United States, which is a

(1)		□ provisional application			
	(a)		bearing Application No.	, and filed on	
	(b)		to be filed herewith; or		
(2) 🗵 non-provisional applic		ovisional application			
	(a)		bearing Application No.	, and filed on	· · · · · · · · · · · · · · · · · · ·
(b) ⊠	X	having an oath or declaration executed on even date herewith prior to filing of application;			
(c) [having an oath or declaration Assignment; and	executed on a different date than this	;

WHEREAS, <u>TDK Corporation</u>, a corporation duly organized under and pursuant to the laws of <u>Japan</u> and having a principal place of business at <u>1-13-1</u>, <u>Nihonbashi</u>, <u>Chuo-ku</u>, <u>Tokyo 103-8272</u>, <u>Japan</u> (hereinafter referred to as "the Assignee"), is desirous of acquiring the entire right, title, and interest in and to said inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications, including provisional applications for Letters Patent of the United States or other countries claiming priority to said application, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefore and thereon.

NOW, THEREFORE, for good and sufficient consideration, the receipt of which is hereby acknowledged, the Assignors have sold, assigned, transferred, and set over, and by these presents do sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the above-mentioned inventions, the right to file applications on said inventions and the entire right, title and interest in and to any applications for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that may be granted therefore and thereon, and in and to any and all applications claiming priority to said applications, divisions, continuations, and continuations-in-part of said applications, and reissues and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behoof and the use and behoof of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignors had this sale and assignment not been made;

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Application No. Attorney Docket No.

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of execution and delivery of these presents, the Assignors are the sole and lawful owners of the entire right, title, and interest in and to the inventions set forth in said applications and said applications, including provisional applications, above-mentioned, and that the same are unencumbered, and that the Assignors have good and full right and lawful authority to sell and convey the same in the manner herein set forth;

AND for the same consideration, the Assignors hereby covenant and agree to and with the Assignee, its successors, legal representatives, and assigns that the Assignors will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

AND the Assignors hereby authorize and request the attorneys of Frommer Lawrence & Haug LLP to insert in the spaces provided above the title of the invention, filing date, application number, and attorney's docket number of said application when known.

AND the Assignors hereby request the Commissioner of Patents to issue any and all said Letters Patent of the United States to the Assignee as the Assignee of said inventions, the Letters Patent to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns.

DATE	January 7, 2010	Kosuke Tanaka
DATE.	January 7, 2010	Daisake Miyandin
DATE	January 7, 2010	Susumu Aoki
DATE	January 7, 2010	Tetsuya Roppongi
DATE	January 7, 2010	Tsatomy Chou
DATE	January 7, 2010	Takahik J
DATE	January 7, 2010	Katsuki Kurihara

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