

FORM PTO-1586 (Modified)
(Rev. 03-09)
OMB No. 0651-0027 (exp. 3/31/2009)
P08/REV08

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

To the Director of the United States Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Wesley D. STERMAN;
Lawrence C. SIEGEL;
Patricia E. CURTIS;
John H. STEVENS; and
Timothy R. Machold

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): 4-2-1993

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other

4. Application or patent numbers(s):

A. Patent Application No.(s)

2. Name and address of receiving party(ies):

Name: Stanford Surgical Technology, Inc.

Internal Address:

Street Address: 440 Chesapeake Drive

City: Redwood City

State: CA

Country: US ZIP: 94063

Additional name(s) & address(es) attached? ☐ Yes ☒ No

☐ This document is being filed together with a new application.

B. Patent No.(s)

5,961,481

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Edwards Lifesciences

Internal Address: Legal Department - Keith Newbury

Street Address: One Edwards Way

City: Irvine

State: CA ZIP: 92614

Phone Number: (949) 250-6803

Fax Number: (949) 250-6850

Email Address: Keith_newbury@edwards.com

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41): \$40.00

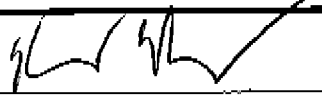
- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information:

Deposit Account Number 501225

Authorized User Name Keith Newbury

9. Signature:


Signature

12210

Date

Keith Newbury, Reg. No. 38,980

Name of Person Signing

Total number of pages including cover sheet, attachments, and document:

2

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Wesley D. Sterman, 2121 Sacramento Street, #604, San Francisco, CA 94109;
 Lawrence C. Siegel, 951 Baileyana Road, Hillsborough, California 94010;
 Patricia E. Curtis, 951 Baileyana Road, Hillsborough, California 94010;
 John H. Stevens, 727E Loma Verde Avenue, Palo Alto, California 94303; and
 Timothy R. Machold, 65 Bernal Avenue, Moss Beach, California 94038,

hereinafter referred to as "Assignors", are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **METHODS AND SYSTEMS FOR PERFORMING THORACOSCOPIC CARDIAC BYPASS AND OTHER PROCEDURES**

Date(s) of execution: April 2, 1993, respectively

Filing date: February 22, 1993

Serial No.: 07/Unassigned; and

WHEREAS, STANFORD SURGICAL TECHNOLOGY, INC., A California corporation, located at 440 Chesapeake Drive, Redwood City, California 94063, hereinafter referred to as "Assignee", is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and all future improvements thereon, and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Wesley D. Sterman
 Wesley D. Sterman

April 2, 1993

Lawrence C. Siegel
 Lawrence C. Siegel

April 2, 1993

Patricia E. Curtis
 Patricia E. Curtis

April 2, 1993

John H. Stevens
 John H. Stevens

April 2, 1993

Timothy R. Machold
 Timothy R. Machold

April 2, 1993

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