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RECORDATION FORM COVER SHEET

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**PATENTS ONLY**

To the Director of the United States Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies):**  
**John H. STEVENS;**  
**William S. PETERS;**  
**Wesley D. STERMAN;**  
**Hanson S. GIFFORD, III;**  
**Philip C. EVARD; and Timothy R. MACHOLD**

Additional names(s) of conveying party(ies) attached?  Yes  No

**2. Name and address of receiving party(ies):**  
Name: STANFORD SURGICAL TECHNOLOGIES, INC.  
Internal Address: \_\_\_\_\_  
\_\_\_\_\_  
Street Address: 440 Chesapeake Drive  
\_\_\_\_\_  
City: Redwood City  
State: California  
Country: USA ZIP: 94063  
Additional name(s) & address(es) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**  
Execution Date(s): 7/13; 7/14; 7/15/1994

Assignment  Merger  
 Security Agreement  Change of Name  
 Joint Research Agreement  
 Government Interest Assignment  
 Executive Order 9424, Confirmatory License  
 Other \_\_\_\_\_

**4. Application or patent numbers(s):**  
A. Patent Application No.(s)  
\_\_\_\_\_  
\_\_\_\_\_  
Additional numbers attached?  Yes  No

This document is being filed together with a new application.  
B. Patent No.(s)  
5,868,702


**5. Name and address to whom correspondence concerning document should be mailed:**  
Name: Edwards Lifesciences  
Internal Address: Legal Department - Keith Newburry  
\_\_\_\_\_  
Street Address: One Edwards Way  
\_\_\_\_\_  
City: Irvine  
State: CA ZIP: 92614  
Phone Number: (949) 250-6803  
Fax Number: (949) 250-6850  
Email Address: Keith.Newburry@edwards.com

**6. Total number of applications and patents involved:** 1

**7. Total fee (37 CFR 1.21(h) & 3.41):** \$40.00

Authorized to be charged to deposit account  
 Enclosed  
 None required (government interest not affecting title)

**8. Payment Information:**  
Deposit Account Number 501225  
Authorized User Name Keith Newburry

**9. Signature:**  1/22/10  
Signature Date  
Keith Newburry, Reg. No. 38,980  
Name of Person Signing

Total number of pages including cover sheet, attachments, and document: **5**

Documents to be recorded (including cover sheet) should be faxed to (571)273-0140, or mailed to **PATENT** Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1460, Alexandria, VA 22313-1460

GH \$40.00 501225 5868702

TTKC Docket No. 14635-24/SST No. 92001-2

**ASSIGNMENT OF PATENT APPLICATION****JOINT**

**WHEREAS**, **John H. Stevens** of 727E Loma Verde Ave., Palo Alto, CA 94303;  
**William S. Peters** of 130 Farm Rd., Woodside, CA 94062;  
**Wesley D. Sterman** of 2121 Sacramento St. #604, San Francisco, CA 94109;  
**Hanson S. Gifford, III** of 3180 Woodside Road, Woodside, CA 94062;  
**Philip C. Evard** of 3192 Bryant St., Palo Alto, CA 94306; and  
**Timothy R. Machold** of 65 Bernal Ave., Moss Beach, CA 94038;

hereinafter referred to as "Assignors", are the inventors of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention: **SYSTEM FOR CARDIAC PROCEDURES**

Date(s) of Execution: 7/13; 7/14; 7/15/94

Filing date: Herewith Serial No.: Not yet assigned; and

**WHEREAS**, **STANFORD SURGICAL TECHNOLOGIES, INC.**, a California Corporation, located at 440 Chesapeake Drive, Redwood City, California 94063, hereinafter referred to as "Assignee", is desirous of acquiring an interest in the invention and application and in any Letters Patent and Registrations which may be granted on the same:

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and all future improvements thereon, and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interests to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of US. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.



*Wesley D. Sterman*  
\_\_\_\_\_  
WESLEY D. STERMAN

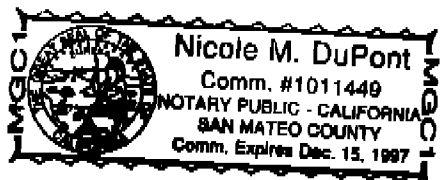
Date: 7/15/94

STATE OF CALIFORNIA )  
  )  
COUNTY OF SAN MATEO )

On July 15, 1994 before me Nicole M. DuPont, Notary Public, personally appeared Wesley D. Sterman, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument, the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

*Nicole M. DuPont*  
\_\_\_\_\_  
Nicole M. DuPont, Notary Public



*Hanson S. Gifford, III*  
\_\_\_\_\_  
HANSON S. GIFFORD, III

Date: 7/15/94

STATE OF CALIFORNIA )  
  )  
COUNTY OF SAN MATEO )

On July 15, 1994 before me Nicole M. DuPont, Notary Public, personally appeared Hanson S. Gifford, III, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument, the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

*Nicole M. DuPont*  
\_\_\_\_\_  
Nicole M. DuPont, Notary Public



Philip C. Evard  
 PHILIP C. EVARD

Date: 7/14/1994

STATE OF CALIFORNIA )  
 )  
 COUNTY OF SAN MATEO )

On July 14, 1994 before me Nicole M. DuPont, Notary Public, personally appeared Philip C. Evard, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument, the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Nicole M. DuPont  
 Nicole M. DuPont, Notary Public



Timothy R. Machold  
 TIMOTHY R. MACHOLD

Date: 7/13/94

STATE OF CALIFORNIA )  
 )  
 COUNTY OF SAN MATEO )

On July 13, 1994 before me Nicole M. DuPont, Notary Public, personally appeared Timothy R. Machold personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument, the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Nicole M. DuPont  
 Nicole M. DuPont, Notary Public

