

PATENT ASSIGNMENT

Electronic Version v1.1
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Joseph L. Smith	01/11/2010
David G. Eckard	01/11/2010
RECEIVING PARTY DATA	
Name:	Alcatel-Lucent USA Inc.
Street Address:	600-700 Mountain Avenue
Internal Address:	3D-201E
City:	Murray Hill
State/Country:	NEW JERSEY
Postal Code:	07972
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12649606
CORRESPONDENCE DATA	
Fax Number:	(972)477-9328
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	972-477-2403
Email:	joni.shields_mooneyham@alcatel-lucent.com
Correspondent Name:	Stephen J. Wyse
Address Line 1:	600-700 Mountain Avenue
Address Line 2:	3D-201E
Address Line 4:	Murray Hill, NEW JERSEY 07972
ATTORNEY DOCKET NUMBER:	805792-US-NP
NAME OF SUBMITTER:	Stephen J. Wyse

CH \$40.00 12649606

Total Attachments: 3
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**PATENT
 REEL: 023916 FRAME: 0438**

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ASSIGNMENT AND AGREEMENT

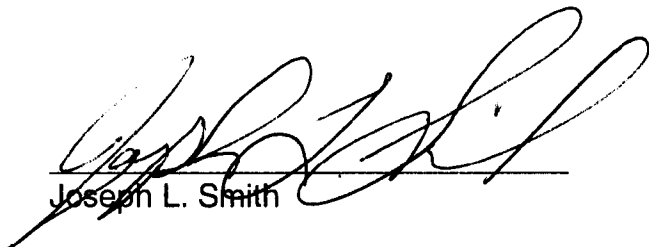
For value received, we, Joseph L. Smith, of Fuquay Varina in the County of Wake, and the State of North Carolina, and David G. Eckard, of Knightdale, in the County of Wake and the State of North Carolina, hereby sell, assign and transfer to Alcatel-Lucent USA Inc., and its successors, assigns and legal representatives, the entire right, title and interest, for the United States of America, in and to certain inventions related to **Method and Apparatus for Regulating Rogue Behavior in Optical Network Transmission Devices** described in an application for Letters Patent of the United States, executed by us of even date herewith, and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and we also concurrently hereby sell, assign and transfer to Alcatel-Lucent USA Inc. the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

We authorize Alcatel-Lucent USA Inc. to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from us, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of Alcatel-Lucent USA Inc. to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

We request that any and all patents for said inventions be issued to Alcatel-Lucent USA Inc. in the United States and in all countries foreign to the United States, or to such nominees as Alcatel-Lucent USA Inc. may designate.

We agree that, when requested, we shall, without charge to Alcatel-Lucent USA Inc. but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.


Joseph L. Smith

Date: 1/11/10

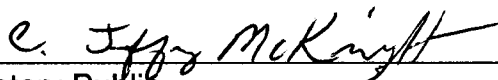
United States of America)

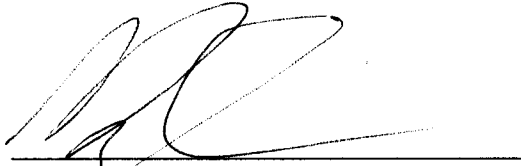
State of NC) ss.

County of Johnston)

On this 11 day of JANUARY, 2010, before me personally came, Joseph L. Smith, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

C. Jeffrey McKnight
Notary Public
Johnston County, N.C.
My Commission Expires 09-23-2012


Notary Public



David G. Eckard

Date: 1/11/10 ^{DGE}

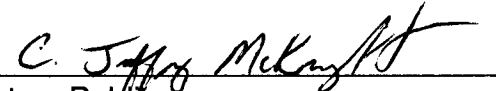
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C. Jeffrey McKnight
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Johnston County, N.C.
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