

Form PTO-1595 (Rev. 03-09)
OMB No. 0651-0027 (exp. 03/31/2009)

FEB 16 2010
02-17-2010

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office



Attorney Docket No.
17544 B (5809 DL)

To the Director of the U.S. Patent and

103588897

documents or the new address(es) below.

1. Name of conveying party(ies)

Lu Tsai HUANG

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) December 24, 2009

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: SENZ Technologies B. V.

Internal Address: Mijnbouwstraat 120, 2628RX, Delft,

The Netherlands

Street Address: Mijnbouwstraat 120, 2628RX, Delft,

The Netherlands

City: _____

State: _____

Country: The Netherlands

Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

12/070,694 filed February 20, 2008

B. Patent No.(s)

7,588,041 Issued September 15, 2009

Additional numbers attached? ☐ Yes ☐ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Charles E. Baxley

Internal Address: 90 John Street, Suite 309

New York, N.Y. 10038-3243 USA

Street Address: 90 John Street, Suite 309

New York, N.Y. 10038-3243 USA

City: New York

State: New York

Zip: 10038

Phone Number: 212-791-7200

Fax Number: 212-791-7276

Email Address: ceb@hartbaxley.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 02-1435

Authorized User Name Charles E. Baxley

02/17/2010 LMUELLER 00000011 12070694

01 FC:8021

February 9, 2010
Date

9. Signature:

Charles E. Baxley
Signature

Charles E. Baxley

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 48.00

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

Attorney Docket No. 17544 B (5809 DL)

PATENT
REEL: 023950 FRAME: 0647

ASSIGNMENT

Docket: 17544B(5809DL)

(1) Lu Tsai HUANG(1-5) Insert Name(s) of
Inventor(s).

(2) _____

(3) _____

(4) _____

(5) _____

In consideration of the sum of one dollar (\$1.00) and other good and valuable considerations paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(6) Insert name of Assignee

(6) SENZ Technologies B. V.

(7) Insert address of Assignee

(7) Mijnoustraat 120, 2628RX, Delft, The Netherlands

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(8) Insert Identification of
Invention, such as Title,
Case Number or Foreign
Application Number(8) CONTROL DEVICE FOR UMBRELLA

Foreign Application or Case No. _____

for which the undersigned has (have) executed an application for patent in the United States of America

(9) Insert Date of Signing of
Application(9) on December 24, 2009(10) Alternative identification
for filed applications(10) U.S. application Serial Number 12/070,694 filed: Feb. 20, 2008Pat. No. US 7,588,041 B2 Issued: Sep. 15, 2009

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional or reissue applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient or essential to its full protection and title in and to the invention hereby transferred.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division or re-issue thereof and cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

4) The undersigned agree(s) to communicate to the Assignee or representatives thereof any facts known to me (us) respecting the invention and improvements thereof, and will, upon request, but without expense to me (us), testify in any legal proceedings regarding the invention.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any division or divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) the firm of **H.B.D.H** the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent Office for recordation of this document.

7) This Assignment shall be binding upon my (our) heirs, executors, administrators, and/or assigns, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns of the Assignee.

In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).

(1) Date Dec. 24, 2009 Name of Inventor Huang Lu Tsai

(2) Date _____ Name of Inventor _____

(3) Date _____ Name of Inventor _____

(4) Date _____ Name of Inventor _____

(5) Date _____ Name of Inventor _____

Date _____ Witness _____

Date _____ Witness _____

PATENT

REEL: 023950 FRAME: 0648

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant or Patentee: SENZ Technologies B. V. Attorney's 17544B
Serial or Patent No.: Pat. No US 7,588,041 B2 Docket No: (5809DL)
Filed or Issued: Issued: Sep. 15, 2009
For: CONTROL DEVICE FOR UMBRELLA

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) – SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN SENZ Technologies B. V.
ADDRESS OF CONCERN Mijnbouwstraat 120, 2628RX, Delft, The Netherlands

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under § 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year; and concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above entitled invention described in

- ☐ the specification filed herewith
☐ application serial no. _____, filed _____
☒ patent no. US 7,588,041 B2, issued Sep. 15, 2009

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed in the ☐ Appendix hereto, and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING G. M. Kool
TITLE OF PERSON OTHER THAN OWNER president
ADDRESS OF PERSON SIGNING Mijnbouwstraat 120, 2628RX, Delft, The Netherlands

SIGNATURE  DATE Dec. 24, 2009

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Assignor : Lu Tsai HUANG
Assignee : Senz Technologies B.V.
Patent No. : 7,588,041
Issued : September 15, 2009
Serial No. : 12/070,694
Filed : February 20, 2008
Docket No. : 17544 B (5809 DL)

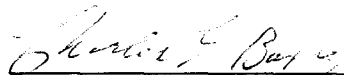
Transmitted herewith is/are the following:

- x RECORDATION FORM COVER SHEET (PATENTS ONLY);
- x ASSIGNMENT DOCUMENT;
- x Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(c)) – Small Business Concern;
- x Check in the amount of \$40.00; and
- x Other Acknowledgment Postcard

-
- x The Commissioner is authorized to charge any additional fees required, or to credit any overpayment to Account No. 02-1435. A duplicate copy of this sheet is enclosed.
 - x A check in the amount of \$ 40.00 is enclosed herewith.
 - x I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: ATTENTION: MAIL STOP - ASSIGNMENT RECORDATION SERVICES, Director of United States Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on February 9, 2010.

Respectfully,

Dated: February 9, 2010
CHARLES E. BAXLEY, ESQUIRE
Hart, Baxley, Daniels & Holton
90 John Street, Suite 309
New York, New York 10038-3243



CHARLES E. BAXLEY
Attorney of Record
USPTO REG. 20,149

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CEB:lp/17544 B (5809 DL)

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Assignor : Lu Tsai HUANG
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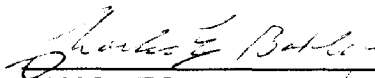
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