# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

## **CONVEYING PARTY DATA**

Name	Execution Date
Mark C. Brister	11/06/2009
Nelson Quintana	10/28/2009
Kaushik A. Patel	10/30/2009
Neil R. Drake	10/30/2009
Antonio C. Llevares	10/30/2009
Dubravka Markovic	10/30/2009
Andrew P. Rasdal	10/30/2009
Amy D.L. VandenBerg	10/30/2009

#### **RECEIVING PARTY DATA**

Name:	Obalon Therapeutics, Inc.
Street Address:	5421 Avenida Encinas, Suite F
City:	Carlsbad
State/Country:	CALIFORNIA
Postal Code:	92008

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12709344

## **CORRESPONDENCE DATA**

(619)235-0176 Fax Number:

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

619-525-8301 Phone: Email: efiling@kmob.com Correspondent Name: Rose M. Thiessen

Address Line 1: 2040 Main Street, 14th Floor Address Line 4: Irvine, CALIFORNIA 92614

OBALON.004C1 ATTORNEY DOCKET NUMBER:

PATENT 501099690 **REEL: 023975 FRAME: 0645** 

NAME OF SUBMITTER:	Rose M. Thiessen
Total Attachments: 10	
source=20100223090312#page1.tif	
source=20100223090312#page2.tif	
source=20100223090312#page3.tif	
source=20100223090312#page4.tif	
source=20100223090312#page5.tif	
source=20100223090312#page6.tif	
source=20100223090312#page7.tif	
source=20100223090312#page8.tif	
source=20100223090312#page9.tif	
source=20100223090312#page10.tif	

PATENT REEL: 023975 FRAME: 0646

Client Code: OBALON.004A Page 1

#### **ASSIGNMENT**

WHEREAS, We, Mark C. Brister, a citizen of the United States, residing at 1055 Valley Side Lane, Encinitas, CA 92024; Nelson Quintana, a citizen of the United States, residing at 11062 Ivy Hill Drive, San Diego, CA 92131; Kaushik A. Patel, a citizen of the United States, residing at 12671 Footman Lane, Poway, CA 92064; Neil R. Drake, a citizen of Canada, residing at 10880 Ivy Hill Drive, #7, San Diego, CA 92131; Antonio C. Lievares, a citizen of the United States, residing at 1003 Plaza Gardenia, Chula Vista, CA 91910; Dubravka Markovic, a citizen of the United States, residing at 8465 Regents Road, #319, San Diego, CA 92122; Andrew P. Rasdal, a citizen of the United States, residing at 4736 Finchley Terrace, San Diego, CA 92130 and Amy D.L. Vandenberg, a citizen of the United States, residing at 15577 Bristol Ridge Terrace, San Diego, CA 92127, have invented certain new and useful improvements in a INTRAGASTRIC DEVICE for which we have executed an application for Letters Patent in the United States, Application No. 12/580,132, Filed on October 15, 2009;

AND WHEREAS, Obalon Therapeutics, Inc. (hereinafter "ASSIGNEE"), with its principal place of business at 5421 Avenida Encinas, Suite F, Carlsbad, CA 92008, desires to acquire the entire right, title, and interest in and to said improvements and said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I, said inventor, do hereby acknowledge that I have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under said improvements, and said application including all provisional applications relating thereto (including but not limited to U.S. Provisional Application No. 61/105,932, filed October 16, 2008), and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and I hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE DO HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND WE HEREBY covenant and agree that we will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible

PATENT REEL: 023975 FRAME: 0647

PATENT Client Code: OBALON.004A

Page 2

to aid said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

**PATENT** 

PATENT Client Code: OBALON 004A

Page 3

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this Lib day of

Mark C. Brister

STATE OF

COUNTY OF

JSS.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

Corresision of 1402271
Hotory Public - Collored
Son Diago County
by County, Sylvanous Dec 13, seen

Notary Signature

**PATENT** 

PATENT Client Code: OBALON.004A

Page 4

IN TESTIMONY WHEREOF, I	hereunto set my band and seal this 28 day of
<u>OCTOZER</u> , 2009.	~ 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
	Monthly
	Nelson Quintana
STATE OF Ca (ifanya)	<i>/                                    </i>

COUNTY OF San Diego } ss.

On 10/08/09 , before me, Abe Albana , notary public, personally appeared Nelson Quintana who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

**PATENT** 

PATENT Client Code: OBALON.004A

Page 5

IN TESTIMONY WHEREOF,	hereunto set my hand	and seal this $36^{14}$ day of
October , 2009.	Yalld a	

COUNTY OF SAN DESCO!

SS

On 10-30-09, before me, 10-30-10-20, notary public, personally appeared Kaushik A. Patel who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

Notary Signature

**PATENT** 

**PATENT** 

Application No.: 12/580,132 Client Code: OBALON.004A Filing Date: October 15, 2009

Page 6

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 30 day of October, 2009

STATE OF

On 10-30-09 before me, UNEC notary public, personally appeared Neil R. Drake who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

**PATENT** 

PATENT Client Code: OBALON.004A

Page 7

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 30 day of \_\_\_\_\_\_, 20.09

Antonio C. Llevares

COUNTY OF SAN DIESO

SS

On 10-30-05, before me, 10-30-06, notary public, personally appeared Antonio C. Llevares who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

Notary Signature

**PATENT** 

PATENT Client Code: OBALON.004A

Page 8

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 30 day of 0.2000 2009

Dubravka Markovic

COUNTY OF GALL NIGGE

SŞ.

certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

Visite Notifie
Convenient d 1462271
Hotay Public - Catheria
Son Diego County
My Control Egites Day 13, 2000

Notary Signature

**PATENT** 

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the

WITNESS my hand and official seal.

[SEAL]

instrument.

VARGINIA HALLINER
Commission # 1622271
Molany Public - Collomia
San Diego County
My Correst Explose Dec 13, 2009

Notary Signature

PATENT

PATENT Client Code: OBALON.004A

Page 10

Och Person 20 %	o set my	hand and	seal this	s <u>30                                    </u>	day	of
<u>Verior</u> , 20 00		/	$\sim$	0		

Amy D.L. Vandenberg

COUNTY OF SAN DIESO

SS.

On 0-30-09 before me, 1001NIA ALLOW, notary public, personally appeared Amy D.L. Vandenberg who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

[SEAL]

Conventation # 1422271

Hotory Public - Colfornia
Son Diego County

My Corren, Septins Dec 13, 2004

Notary Signature

**7**975485:JSJ 101909

PATENT

REEL: 023975 FRAME: 0656

**RECORDED: 02/23/2010**