

Form PTO-1595 (Rev. 09/04)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

HANSEN YUAN (05/22/2000), DAVID
NICHOLS (05/17/2000), AND ERIC FINLEY
(05/30/2000)

Execution Date(s): in parentheses after inventor name

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: SURGICAL DYNAMICS, INC.

Internal Address: _____

Street Address: _____

150 GLOVER AVENUE

City: NORWALK

State: CONNECTICUT

Country: United States of America Zip: 06856

Additional name(s) & address(es) attached: ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

11/216,849;
11/415,902;
10/091,708

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Kevin M. Kocun
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: SPINE 3.0-2153 FAMILY

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: (908) 518-6383

Fax Number: (908) 654-0415

Email Address: kkocun@ldlkm.com

6. Total number of applications and patents involved:

3

7. Total fee (37 CFR 1.41(h) & 3.41) \$ 120.00

☐ Authorized to be charged by credit card

☒ Authorized to be charged to deposit account

☐ Enclosed

☐ None required (government interest not affecting title)

8. Payment Information:

a. Credit Card List 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 12-1095

Authorized User Name Kevin M. Kocun

9. Signature:



Signature

February 24, 2010

Date

Kevin M. Kocun - 54,230

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Docket No. 2153 CIP

ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNOR:

Hansen Yuan
David Nichols
Eric Finley

(If assignment is by person or entity to whom invention was previously assigned and this was recorded in PTO add the following)

Recorded on
Reel
Frame

hereby sells, assigns and transfers to

ASSIGNEE:

Surgical Dynamics, Inc.
150 Glover Avenue
Norwalk, CT 06856
USA

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest, including the right to sue for present, past and future infringement, in the United States, its territorial possessions, and in all foreign countries, including all treaty and convention rights in and to the invention and any and all improvements entitled:

DEVICE FOR SECURING SPINAL RODS

which is described in

- (a) ☐ U.S. patent application executed on even date herewith
- (b) ☐ U.S. patent application executed on _____
- (c) ☒ U.S. application serial no. 09/487,942 filed on January 19, 2000.
- (d) ☐ U.S. patent no. _____ issued _____
(also check (e) if foreign application(s) is also being assigned)
- (e) ☒ and any legal equivalent thereof in a foreign country, and, in and to, all United States and foreign Letters Patent, for the full term thereof, to be obtained for said invention by the above application or any continuation, division, renewal, extension or substitute thereof, and as to letters patent any re-issue or re-examination thereof;

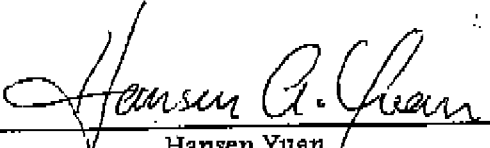
ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue all such Letters Patent to ASSIGNEE:

ASSIGNOR further covenants to promptly provide all pertinent facts and documents known and accessible to ASSIGNOR relating to said invention and said Letters Patent and legal equivalents; to testify as to the same in any interference, litigation or proceeding related thereto; to execute and deliver any and all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patents which may be granted therefor in said ASSIGNEE, its successors, assigns or other legal representatives; to execute any additional or divisional applications for patents for said invention, or any part or parts thereof, and for the reissue of any Letters Patents to be granted therefor; and to make all rightful oaths and do all lawful acts requisite for procuring the same or for aiding therein, all without further compensation, but at the sole expense of ASSIGNEE, its successors, assigns, or other legal representatives.

ASSIGNOR hereby grants ASSIGNEE and Assignee's attorneys the power to insert the Serial No. and/or filing date of the above-described application(s) after such information becomes known to them.

IN WITNESS WHEREOF, I have hereunto set hand and seal.



Hansen Yuan

Dated: 5-22-00



David Nichols

Dated: 5-17-00



Eric Finley

Dated: 5/30/00

New York
State of ~~Connecticut~~)
Onondaga) SS
County of ~~Fairfield~~)

Before me this 22nd day of May, appeared Hansen Yuan to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that ~~he~~^{she} executed the same of his own free will for the purposes therein set forth.

Roberta S. Dungey

Notary Public
Notary Public State of New York
Qualified in the County No. 01094683711
My Commission Expires August 31, 2009
REFLEX SEAL

State of Connecticut)
County of Fairfield) ss

Before me this 18 day of May, 2000 appeared David Nichols to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he/she executed the same of his own free will for the purposes therein set forth.

Vanessa Mast

Notary Public

VANESSA MASTRI
NOTARY PUBLIC
MY COMMISSION EXPIRES FEB. 28, 2005

State of ~~Connecticut~~ ^{California})
County of ~~Fairfield~~ ^{San Diego}) ss

Before me this 31 day of May, 2000, appeared Eric Finley to me personally known to be the person described in and who executed the above instrument, and acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Michael J. Lerner

Notary Public



AFFIX SEAL



600 SOUTH AVENUE WEST • WESTFIELD, NEW JERSEY 07090
908.654.5000 • FAX 908.654.7866 • WWW.LDLKM.COM

PATENTS, TRADEMARKS, COPYRIGHTS & UNFAIR COMPETITION

Facsimile Transmittal

To:	Fax Number	From
USPTO Assignment Division	571-273-0140	Phyllis Kelly 908-518-6456

Date: February 24, 2010

No. Pages: 6

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SPINE 3.0-2153 CIP CONT CONT
SPINE 3.0-2153 CIP DIV

Client/Matter No: SPINE/4063
SPINE/4414
SPINE/2725

Attorney/Secretary: KMK/kj

MESSAGE:

Please record the attached assignment and fax the Notice of Recordation to Phyllis Kelly at 908-654-7866.

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RECORDED: 02/24/2010

PATENT
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