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Atty. Docket No.: 32860-001721/US Page 1 of 1

| RECORDATION FORM COVER SHEET  |  |  |  |  |
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| To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.                                     |  |  |  |  |
| Name of conveying party(ies):   | 2. Name and address of receiving party(ies)                        |  |  |  |
| Dirk HERTZ  | Name: SIEMENS AKTIENGESELLSCHAFT                                   |  |  |  |
| Diethard RUNGGALDIER  | Street Address: Wittelsbacherplatz 2  City: Munich                 |  |  |  |
| Stefan ZITZLER  |  |  |  |  |
| Additional name(s) of conveying party(ies) attached?  VES NO  |  |  |  |  |
| 3. Nature of conveyance:  | Country: GERMANY Postal Code: D-80333                              |  |  |  |
|   | ·  |  |  |  |
| Change of Name Other:   | Additional name(s) & address(es) attached?   YES   NO              |  |  |  |
| Execution Date: January 11, 2010; December 22, 2009; December 21, 2009  |  |  |  |  |
| 4. Application number(s) or patent number(s):<br>If this document is being filed together with a new application, the execution date of the application is: |  |  |  |  |
| A. Patent Application No(s).  | B. Patent No.(s).  |  |  |  |
| 12/675,847  |  |  |  |  |
| Additional numbers attack   | hed? 🔲 YES 🔯 NO  |  |  |  |
| Name and address of party to whom correspondence<br>concerning document should be mailed:   | 6. Total No. of applications/patents involved: One (1)             |  |  |  |
| Name: HARNESS, DICKEY & PIERCE, P.L.C.  | 7. Total fee (37 C.F.R. § 3.41): \$40.00                           |  |  |  |
| Street Address: P.O. BOX 8910   | ☐ Enclosed   |  |  |  |
| City: RESTON State: VA ZIP: 20195   | Authorized to be charged to deposit account, if no fee attached.   |  |  |  |
| Country: USA  | 8. Deposit account number: 08-0750                                 |  |  |  |
|   | (Attach triplicate copy of this page if paying by deposit account) |  |  |  |
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| 9. Statement and signature.   |  |  |  |  |
| To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.        |  |  |  |  |
| Donald J. Daley, Reg. No. 34,313  Name of Person Signing/Reg. No.   | Signature March 1, 2010 Date                                       |  |  |  |
| Total number of pages including cover sheet, attachments, and document: 8   |  |  |  |  |

DJD/wvw

PATENT REEL: 024009 FRAME: 0871

## ASSIGNMENT

For good and valuable consideration, I/we, the undersigned

Dirk Hertz

Diethard Runggaldier

residing at:

residing at:

Am Riedholz 9 92260 Fichtenhof Amselweg 5 96135 Stegaurach

**GERMANY** 

GERMANY

Stefan Zitzler

residing at:

residing at:

Prissather Weg 17 92421 Schwandorf

**GERMANY** 

residing at:

residing at:

residing at:

residing at:

hereby sell, assign, and transfer to

Siemens Aktiengesellschaft

a German corporation

organized and existing under the laws of

**GERMANY** 

having its (their) principal place of business

in München; GERMANY

herein the "Assignee(s)", its (their) successor(s), assigns and legal representatives the entire and exclusive right, title and interest in and for the United States and for any of the Contracting States bound by the PCT on the international filing date, in all and to any improvements in the

(Title:) Method for slowing-down control of an asynchronous machine

disclosed in the PCT international application for Letters Patent designating the United States and any of the Contracting States bound by the PCT on the international filing date, said application being identified in our records as

Applicants File No.

2007P11787WOUS

and filed as PCT-application

PCT/EP2008/060588

**PATENT** 

REEL: 024009 FRAME: 0872

IDNR: 4248 / 06.06.2007

HDP

and in and to said application and all divisional, continuing substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and any of the Contracting States bound by the PCT on the international filing date on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and any of the Contracting States bound by the PCT on the international filing date on said improvements;

hereby agree that said Assignee(s) may apply for and receive Letters Patent for said improvements in its (their) own name and that when requested without charge to but at the expense of said Assignee(s), its (their) successor(s), assigns, and legal representatives, to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee(s), its (their) successor(s), assigns and legal representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee(s), its (their) successor(s), assigns, or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements in said Assignee(s), its (their) successor(s), assigns, and legal representatives; and

hereby covenant with said Assignee(s), its (their) successor(s), assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed had been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

| Oate:            | Dirk Hertz  Dirk Hertz  Witnesses:   Valaum eff  (printed name: Johann Seitz) |
|------------------|---|
| Date: Witnesses: | Diethard Runggaldier  Witnesses:  |

P.005

Date: <u>21.42.03</u>

Witnesses: /

(printed name: MARCO

MARCO SCHOTTENS

Stefan Zitzler

Witnesses: \_\_\_

(printed name: \_\_//

Johann Jeitz

**PATENT** 

REEL: 024009 FRAME: 0874

## ASSIGNMENT

For good and valuable consideration, I/we, the undersigned

Dirk Hertz

Diethard Runggaldier

residing at:

residing at:

Am Riedholz 9 92260 Fichtenhof

Amselweg 5 96135 Stegaurach

**GERMANY** 

GERMANY

Stefan Zitzler

residing at:

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Prissather Weg 17 92421 Schwandorf

**GERMANY** 

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herein the "Assignee(s)", its (their) successor(s), assigns and legal representatives the entire and exclusive right, title and interest in and for the United States and for any of the Contracting States bound by the PCT on the international filing date, in all and to any improvements in the

(Title:) Method for slowing-down control of an asynchronous machine

disclosed in the PCT international application for Letters Patent designating the United States and any of the Contracting States bound by the PCT on the international filing date, said application being identified in our records as

Applicants File No.

2007P11787WOUS

and filed as PCT-application

PCT/EP2008/060588

PATENT REEL: 024009 FRAME: 0875

IDNR: 4248 / 06.06.2007

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and in and to said application and all divisional, continuing substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and any of the Contracting States bound by the PCT on the international filing date on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and any of the Contracting States bound by the PCT on the international filing date on said improvements;

hereby agree that said Assignee(s) may apply for and receive Letters Patent for said improvements in its (their) own name and that when requested without charge to but at the expense of said Assignee(s), its (their) successor(s), assigns, and legal representatives, to carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing substitute, renewal, reissue and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee(s), its (their) successor(s), assigns and legal representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee(s), its (their) successor(s), assigns, or legal representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements in said Assignee(s), its (their) successor(s), assigns, and legal representatives; and

hereby covenant with said Assignee(s), its (their) successor(s), assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed had been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

| Date:  | Dirk Hertz                              |  |
|--|---|--|
| Witnesses:   | Witnesses:                              |  |
| (printed name:)  | (printed name:)                         |  |
| Date: <u>22 :12 : 2003</u><br>Witnesses: <u>Lypr Muhal</u> | Diethard Runggaldier Witnesses: Jarg Ku |  |
| (printed name: Lager Michael                               | (printed name: JÜRGEN RUPP              |  |

Date:

Stefan Zitzler

Witnesses:

(printed name:

(printed name:

)

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RECORDED: 03/01/2010

## HARNESS, DICKEY & PIERCE, P.L.C.

Attorneys and Counselors P.O. Box 8910 Reston, Virginia 20195 Phone: 703-668-8000 Fax: 703-668-8200

Troy, MI • St. Louis, MO

| DATE:   | March 1, 2010                            | No. of Pages (Including This Page  | ): 8                         |  |  |
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| Fax No.:  | 571-273-0140                             | PHONE: 703-308-9723  | ☐ COURIER  ☑ WILL NOT FOLLOW |  |  |
| FROM: Donald J. Daley Reg. No. 34,313 Harness, Dickey & Pierce, P.L.C.  |  |  |                              |  |  |
| Please let us know by phone or fax if you do not receive any of these pages.  |  |  |                              |  |  |
| COMMENTS:   |  |  |                              |  |  |
| Appln. No.: 12/675,847 Filing Dt.: March 1, 2010 Attorney Ref.: 32860-001721/US                                     |  |  |                              |  |  |
| Please see the attached request for Assignment Recordation. Please return the recorded assignment via facsimile to: |  |  |                              |  |  |
| Donald Daley Harness, Dickey & Pierce, P.L.C. Fax No.: 703-668-8200   |  |  |                              |  |  |
| If you have any questions, please call Donald Daley at 703-668-8000.  |  |  |                              |  |  |
|   |  |  |                              |  |  |
|   |  |  |                              |  |  |
|   |  |  |                              |  |  |
|   |  |  |                              |  |  |
|   |  | M. Mariante Committee Comm |                              |  |  |

## \* \* \* NOTICE \* \* \* \*

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PATENT REEL: 024009 FRAME: 0878