

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Name</th> <th style="width: 30%;">Execution Date</th> </tr> </thead> <tbody> <tr> <td>Malcolm Howard WILKINSON</td> <td>11/02/2005</td> </tr> <tr> <td>Clive Andrew RAMSDEN</td> <td>11/02/2005</td> </tr> <tr> <td>Philip John BERGER</td> <td>10/28/2005</td> </tr> </tbody> </table>		Name	Execution Date	Malcolm Howard WILKINSON	11/02/2005	Clive Andrew RAMSDEN	11/02/2005	Philip John BERGER	10/28/2005		
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RECEIVING PARTY DATA											
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 20%;">Name:</td> <td>PULMOSONIX PTY LTD</td> </tr> <tr> <td>Street Address:</td> <td>SUITE 2, 1233 HIGH STREET</td> </tr> <tr> <td>City:</td> <td>ARMADALE VICTORIA</td> </tr> <tr> <td>State/Country:</td> <td>AUSTRALIA</td> </tr> <tr> <td>Postal Code:</td> <td>3143</td> </tr> </table>		Name:	PULMOSONIX PTY LTD	Street Address:	SUITE 2, 1233 HIGH STREET	City:	ARMADALE VICTORIA	State/Country:	AUSTRALIA	Postal Code:	3143
Name:	PULMOSONIX PTY LTD										
Street Address:	SUITE 2, 1233 HIGH STREET										
City:	ARMADALE VICTORIA										
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PROPERTY NUMBERS Total: 1											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Property Type</th> <th style="width: 70%;">Number</th> </tr> </thead> <tbody> <tr> <td>Application Number:</td> <td>11107999</td> </tr> </tbody> </table>		Property Type	Number	Application Number:	11107999						
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Application Number:	11107999										
CORRESPONDENCE DATA											
<p>Fax Number: (212)246-8959 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 2127081800 Email: ostanford@ladas.com Correspondent Name: Ladas & Parry LLP Address Line 1: 26 West 61 Street Address Line 4: New York, NEW YORK 10023</p>											
ATTORNEY DOCKET NUMBER:	U 015734-4										
NAME OF SUBMITTER:	Raymond A. DiPerna										
<p>Total Attachments: 5 source=4FL-IR5570-Exchange-03032010-130233#page1.tif source=4FL-IR5570-Exchange-03032010-130233#page2.tif</p>											

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PATENT
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Director of the United States Patent and Trademark Office
Mail Stop Assignments
P. O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office to **(571) 273-8300**

EFS-WEB

- ☒ transmitted electronically

Date: March 3, 2010

Signature

Raymond A. DiPerna

(type or print name of person certifying)

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of Patents and Trademarks: Please record the attached document copy
(with signed English translation if needed).

1. Name of conveying party(ies):

1. Malcolm Howard WILKINSON
2. Clive Andrew RAMSDEN
3. Philip John BERGER

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party (ies):

Name: PULMOSONIX PTY LTD

Address: SUITE 2, 1233 HIGH STREET,
ARMADALE
VICTORIA 3143, AUSTRALIA

3. Nature of conveyance:

- | | |
|---|--|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Joint Research Agreement | <input type="checkbox"/> Change of Address * |
| <input type="checkbox"/> Government Interest Assignment | (old address above) |
| <input type="checkbox"/> Executive Order 9424, Confirmatory License | * may be by attorney |
| <input type="checkbox"/> Other _____ | |

Execution Date(s): 1. November 2, 2005
2. November 2, 2005
3. October 28, 2005

Additional name(s) & addresses(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s)

☐ Being filed together with a new application.☒ Patent Application No.(s): 11/107,999☐ Patent No.(s):Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Raymond A. DiPerna

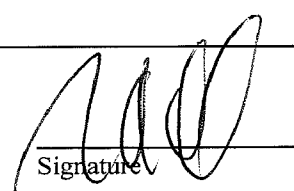
Address: Ladas & Parry LLP
26 West 61st Street
New York, N.Y. 10023
(fax) (212) 246-8959

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41) 1 @ \$40.00 each☐ Check Enclosed☒ Authorized to be charged in whole or in part to:8. Deposit account number: 12-0425

9. Signature:

Raymond A. DiPerna
Name of Person Signing


Signature

March 3, 2010
Date

Total number of pages including coversheet, attachments, and documents:

5

For: ☐ U.S. and/or ☐ Foreign Rights
For: ☒ U.S. Application or
 ☐ U.S. Provisional Application
For: ☐ U.S. Patent
For: ☐ PCT Application
By: Multiple Inventors

ASSIGNMENT OF INVENTION (MULTIPLE INVENTORS)

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

ASSIGNORS (Inventors):

Malcolm Howard WILKINSON*(type or print name of inventors)*72 Raleigh Street, Forest Hill, Victoria, 3131,Address AustraliaAUSTRALIAN

Nationality

Clive Andrew RAMSDEN19 Crawford Street, Cheltenham, Victoria, 3192,Address AustraliaAUSTRALIAN

Nationality

Philip John BERGERApartment 708/668 Swanston Street, Carlton,
Victoria, 3058, AustraliaAddressAUSTRALIAN

Nationality

Address

Nationality

Address

Nationality

Added page(s) for signature by subsequent inventors. Number of pages added

3

(if assignment is by person or entity to whom invention was previously assigned
and this was recorded in PTO, add the following)

Recorded on _____

Reel _____
Frame _____

hereby sells, assigns and transfers to
ASSIGNEE

PULMOSONIX PTY LTD
(type or print name of ASSIGNEE)

Suite 2, 1233 High Street, Armadale,
Address

Australian
Nationality

Victoria, 3143, Australia

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest
☐ an undivided _____ percent (_____ %) right, title and interest
for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☐ and in all foreign countries, including all rights to claim priority, in and to any and all
improvements which are disclosed in the invention entitled:
METHOD AND APPARATUS FOR DETERMINING CONDITIONS OF BIOLOGICAL TISSUES

Name of inventor(s) Malcolm Howard WILKINSON, Clive Andrew RAMSDEN and
Philip John BERGER

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in (37 C.F.R. 3.21)

- (a) ☐ Not yet filed U.S. patent application executed on even date herewith
(b) ☐ Not yet filed U.S. patent application executed on _____
(c) ☐ Not yet filed U.S. provisional application naming the above inventor(s) for
the above-entitled invention
☐ To comply with 37 C.F.R. 3.21 for recordal of this assignment, I, an
ASSIGNOR signing below, hereby authorize and request my
attorney to insert below the filing date and application number when
they become known.
(d) ☒ U.S. patent or provisional patent application no. 11/107,999
filed on April 15, 2005
(e) ☐ International application no. PCT/_____/_____
(f) ☐ U.S. patent no. _____ issued _____
☐ A change of address to which correspondence is to be sent regarding
patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal

WARNING: The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

Malcolm Howard WILKINSON

(type name of Assignor)

(X) [Signature]
Signature of ASSIGNOR

this (X) 2.11.05
date of signing

Clive Andrew RAMSDEN

(type name of Assignor)

(X) [Signature]
Signature of ASSIGNOR

this (X) 2.11.05
date of signing

Philip John BERGER

(type name of Assignor)

(X) [Signature]
Signature of ASSIGNOR

this (X) 28.10.05
date of signing

(type name of Assignor)

Signature of ASSIGNOR

this _____
date of signing

(type name of Assignor)

Signature of ASSIGNOR

this _____
date of signing

(if ASSIGNOR is a legal entity, complete the following information)

(type or print the name of the above person
authorized to sign on behalf of ASSIGNOR)

Title

NOTE: No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.