ORM PTO-1595 (Modified) Rev. 12-05) MB No. 0651-0027 (exp.1/31/2009) 08A/REV05

U.S. PTO 12/656981 02/22/2010 03-05-2010



Docket No.: NE-71780US U.S. DEPARTMENT OF COMMERC

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Patent and Trademark Offi-

| To the Director of the United States Patent ar. 10359            | ched documents or the new address(es) below  |
|--|--|
| 1. Name of conveying party(ies): Tomoo NAKAYAMA                  | 2. Name and address of receiving party(ies):   |
| Yoshiko KASAMA   | Name: NEC ELECTRONICS CORPORATION  |
| Eiichi FUJIKURA  | Address: 1753 Shimonumabe, Nakahara-ku   |
| Atsushi KIKUCHI  | The second secon |
| Additional names(s) of conveying party(ies)                      |  |
| Nature of conveyance/Execution Date(s):                          |  |
| Execution Date(s): January 28, 2010                              | City Kawasaki shi  |
| ✓ Assignment   | City: Kawasaki-shi   |
| ☐ Security Agreement ☐ Change of Name ☐ Joint Research Agreement | State/Prov.: Kanagawa  |
| Government Interest Assignment                                   | Country: Japan ZIP: 211-8668   |
| ☐ Executive Order 9424, Confirmatory License                     |  |
| ☐ Other  | Additional name(s) & address(es)   |
| Application or patent numbers(s):                                | This document is being filed together with a new application   |
| A. Patent Application No. (s)                                    | B. Patent No.(s)   |
|  |  |
|  |  |
| Additional numbers attac   | ched? 🔲 Yes 🖾 No   |
| i. Name and address to whom correspondence                       | 6. Total number of applications and patents  |
| concerning document should be mailed: Name: Sean M. McGinn, Esq. | involved: One (1)  |
| Registration No.: 34,386   | 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00  |
| Address: McGinn Intellectual Property Law Group, PLLC            |  |
| 8321 Old Courthouse Road   | ☐ Authorized to be charged by credit card  |
| Suite 200  | Authorized to be charged to deposit account  |
| City: Vienna   | ⊠ Enclosed   |
| State/Prov.: Virginia  | None required (government interest not affecting title)  |
| Country: U.S.A. ZIP: 22182-3817                                  | 8. Payment Information   |
| Phone Number: 703-761-4100                                       | a. Credit Card Last 4 Numbers  |
| Fax Number: 703-761-2375   | Expiration Date  |
| Email Address: admin@mcginniplaw.com                             | b. Deposit Account Number 50-0481 (deficiencies only)  |
|  | Authorized User Name Sean M. McGinn  |
| ). Signature:  |  |
| Signature  |  |
|  | February 22, 2010  |
|  | February 22, 2010 Date   |
| Sean M. McGinn, Esq. Name of Person Signing                      |  |

Documents to be recorded (including cover sheet) should be faxed to (571)273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, VA 22313-1450

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**PATENT** 

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REEL: 024033 FRAME: 0483

## ASSIGNMENT OF APPLICATION FOR PATENT

## WHEREAS

NAME AND ADDRESS OF ASSIGNOR

Tomoo NAKAYAMA and Yoshiko KASAMA each of Kanagawa, Japan; and Eiichi FUJIKURA and Atsushi KIKUCHI each of Yamagata, Japan

(HEREINAFTER REFERRED TO AS ASSIGNOR), have invented and own a certain new and useful invention entitled:

TITLE OF INVENTION:

METHOD OF MANUFACTURING

A SEMICONDUCTOR DEVICE

**WHEREAS** 

FULL NAME AND ADDRESS OF ASSIGNEE

NEC ELECTRONICS CORPORATION 1753 Shimonumabe, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8668, Japan

(hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire right, title, and interest in, to and under said invention and the United States Letters Patent to be obtained therefor:

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment of ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR, by these presents, hereby sells, assigns and transfers to ASSIGNEE the entire and exclusive right, title and interest to said invention and all Letters Patent of the United States to be obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for the full term or terms for which the same may be granted.

ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the

United States Patent obtained therefor on said application or any (Assignment of Application for Patent -- page 1 of 2)

PATENT REEL: 024033 FRAME: 0484 continuation, division, renewal, substitute or reissue thereof for the full term or terms to said ASSIGNEE, of the entire right, title, and interest in and to the same, for his sole use and behoof, and for the use and behoof of his legal representatives, to the full end of the term or terms for which said Letters Patent obtained therefor on said application or any continuation, division, renewal, substitute or reissue thereof for may be granted, as full and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale:

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain and enforce said application, said invention and said Letters Patent which may be necessary or desirable to carry out the purposes hereof.

IN WITNESS WHEREOF, I/We have hereunto set

hand and seal this 18th day of January, 20 10.

SIGNATURE

DATE OF SIGNING

SIGNATURE

SIGNATURE

SIGNATURE

<u>WITNESSES</u>

Tomoo NAKAYAMA

Yoshiko KASAMA

Eiichi FUJIKURA

Atsushi KIKUCHI

(Assignment

PATENT

REEL: 024033 FRAME: 0485

FORM PTO-1595 (Modified) (Rev. 12-05) OMB No. 0651-0027 (exp.1/31/2009) P08A/REV05

**RECORDED: 02/22/2010** 

## RECORDATION FORM COVER SHEET

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## PATENTS ONLY

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| To the Director of the United States Patent and Trademark Office: F  1. Name of conveying party(ies):   | 2. Name and address of receiving party(ies):   |
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| Atsushi KIKUCHI   | Address: 1753 Shimonumabe, Nakahara-ku   |
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|   | DUDUIOATE  |
|   | DUPLICATE  |
|   | DOI LIO  |
|   |  |
| Additional numbers atta   | ched? 🔲 Yes 🖾 No   |
| 5. Name and address to whom correspondence concerning document should be mailed:  | 6. Total number of applications and patents involved:  One (1)   |
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| State/Prov.: Virginia  Country: U.S.A. ZIP: 22182-3817  Phone Number: 703-761-4100  Fax Number: 703-761-2375  Email Address: admin@mcginniplaw.com  3. Signature:   | None required (government interest not affecting title)  8. Payment Information  a. Credit Card Last 4 Numbers  Expiration Date  b. Deposit Account Number 50-0481 (deficiencies only)  Authorized User Name Sean M. McGinn  February 22, 2010  Date |
| State/Prov.: Virginia  Country: U.S.A. ZIP: 22182-3817  Phone Number: 703-761-4100  Fax Number: 703-761-2375  Email Address: admin@mcginniplaw.com  3. Signature:   | None required (government interest not affecting title)  8. Payment Information  a. Credit Card Last 4 Numbers  Expiration Date  b. Deposit Account Number 50-0481 (deficiencies only)  Authorized User Name Sean M. McGinn  February 22, 2010       |

PATENT REEL: 024033 FRAME: 0486