

PATENT ASSIGNMENT

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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
The Rockefeller University	03/09/2010
RECEIVING PARTY DATA	
Name:	The Rockefeller University
Street Address:	1230 York Avenue
Internal Address:	Box 21
City:	New York
State/Country:	NEW YORK
Postal Code:	10021
Name:	Kyowa Hakko Kirin, Co., Ltd.
Street Address:	1-6-1, Ohtemachi
Internal Address:	Chiyoda-ku
City:	Tokyo
State/Country:	JAPAN
Postal Code:	100-8185
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	11378257
CORRESPONDENCE DATA	
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<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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Correspondent Name:	Gerard P. Norton
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OP \$40.00 11378257

501116117

PATENT  
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ATTORNEY DOCKET NUMBER:

70413.00008

NAME OF SUBMITTER:

Gerard P. Norton

Total Attachments: 2  
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## ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEES to ASSIGNOR(S) of the sum of One Dollar (\$1.00) and for other good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNOR:

Name	Address
The Rockefeller University	1230 York Avenue, Box 21, New York, NY 10021

hereby sells, assigns and transfers to:

ASSIGNEES:

Name	Address
The Rockefeller University	1230 York Avenue, Box 21, New York, NY 10021
Kyowa Hakko Kirin, Co., Ltd.	1-6-1, Ohtemachi, Chiyoda-ku, Tokyo, 100-8185, Japan

and the successors, assigns and legal representatives of the ASSIGNEES, entire right, title and interest for the United States and its territorial possessions, and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

### ***IN VIVO* EXPANDED NKT CELLS AND METHODS OF USE THEREOF**

invented by Madhav V. Dhodapkar and Ralph M. Steinman and which is found in:

- ☐ the U.S. patent application executed on even date herewith;
- ☐ the U.S. patent application executed on \_\_\_\_\_;
- ☒ the U.S. patent application no.: **11/378,257** filed: **March 20, 2006**
- ☐ the International Application no. \_\_\_\_\_ filed: \_\_\_\_\_
- ☐ the U.S. patent no. issued on: \_\_\_\_\_

and, in and to all Letters Patent, both foreign and domestic, to be obtained for said invention by the aforesaid U.S. patent application, and all continuation, division, renewal, continuation-in-part or substitute of said U.S. Patent Application; and as to Letters Patent, any reissue or re-examination thereof; and to any legal equivalent thereof in a foreign country, including, the right to apply for patents and inventor certificates in respect thereof, the right to claim priority, and all patents, patents of addition, utility models, patents of importation, revalidation patents and inventor certificates which may be granted throughout the world in respect of the invention.

ASSIGNOR hereby authorizes ASSIGNEES or their legal representatives to insert in this instrument the filing date and serial number of said application or any other information that may be necessary or desirable in order to comply with the rules of the U.S. Patent and Trademark Office for the recordation of this document.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants and agrees that ASSIGNEES will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR, and that ASSIGNOR will, at ASSIGNEES' expense, testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEES, their assigns or its legal representatives, without further or additional consideration, any and all papers, instruments or affidavits required, render all necessary assistance, and do such additional acts as ASSIGNEES may deem necessary or desirable, to apply for,

obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

ASSIGNOR hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent referred to above to ASSIGNEES of the entire right, title and interest in and to the same, for ASSIGNEES' joint use and benefit, and for the use and benefit of ASSIGNEES' legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

The parties agree that the foregoing covenants and obligations shall bind and inure to the benefit of the heirs, assigns and legal representatives of both parties.

Name: Kathleen A. Denis, Ph.D.  
Associate Vice President  
Technology Transfer

Date: 9 March 2010

State of  
County of

Before me this 9<sup>th</sup> day of March 2010, personally appeared the above named individual, to me known to be the person who is described in, and who executed the foregoing instrument and acknowledged to me that he/she executed the same of his/her own free will for the purposes therein expressed.

[Signature]  
Notary Public

