

FORM PTO-1595 (modified)  
Rev. 8-93)

RE

03-23-2010

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Patent and Trademark Office

OMB No. 0651-0011 (exp. 4/94)



103592600

To the Honorable Commissioner of Patents

Attached original documents or copy thereof

1. Name of conveying party(ies):

SEUNG-SIK CHUNG, JUNG-HEE CHUNG, YOUNG-JIN KIM,  
SEOK-WOO NAM, HAN-JIN LIM, KYOUNG-RYUL YOON

Additional name(s) of conveying party(ies) attached? No

2. Name and address of receiving party(ies):

Name: Samsung Electronics Co., Ltd.  
Internal Address:  
Street Address: 416 Maetan-dong, Yeongtong-gu,  
City: Suwon-si, Gyeonggi-do, REPUBLIC OF KOREA

Additional name(s) & address(es) attached? No

3. Nature of conveyance:

Assignment Merger  
 Security Agreement Change of Name  
 Other

Execution Date: January 26, 2010 and March 3, 2010

4. Application number(s) or patent number(s):

12/659548

If this document is being filed together with a new application, the execution date of the application is: January 26, 2010 and March 3, 2010

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Eugene M. Lee  
Internal Address: LEE & MORSE, P.C.  
Street Address: 3141 Fairview Park Drive, Suite 500  
City: Falls Church, State: VA ZIP: 22042

6. Total number of applications and patents involved: One

7. Total fee (37 C.F.R. 3.41) . . . . . \$40.00

Enclosed (PTO-2038)  
 Authorized to be charged to deposit account any additional fees

8. Deposit account number: 5-01645

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

6x Lilia C. Lord  
Name of Person Signing  
Attorney Docket No. 262/069

Lilia C. Lord  
Signature

March 12, 2010  
Date

Total number of pages including cover sheet, attachments, and document: 3 pages attached, incl. cover

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Alexandria, VA. 22313

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PATENT  
REEL: 024121 FRAME: 0295

3/12/10

# ASSIGNMENT

Name and Address of Assignee	For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto <b>SAMSUNG ELECTRONICS CO., LTD.,</b> 416, Maetan-dong, Yeongtong-gu Suwon-si, Gyeonggi-do, Republic of Korea
	(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to

Title of Invention	<b>METHOD OF FORMING SEMICONDUCTOR DEVICE HAVING A CAPACITOR</b> as set forth in this United States Patent Application
--------------------	---

Check One	<input type="checkbox"/> executed concurrently herewith  <input type="checkbox"/> executed on _____  <input type="checkbox"/> Serial No. _____ Filed _____
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in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

Each of the undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all such Letters Patent to said ASSIGNEE, its successors or assigns in accordance herewith;

Each of the undersigned warrants and covenants that he has the full and unencumbered right to sell and assign the interests herein sold and assigned and that he has not executed and will not execute any document or instrument in conflict herewith;

Each of the undersigned further covenants and agrees he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefore or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of LEE & MORSE, P.C. the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

<b>NAMES AND SIGNATURES OF INVENTORS</b>		
Name: <b>Seung-Sik CHUNG</b>	Signature: <i>Seung Sik Chung</i>	Date: <i>2010. 1. 26</i>
Name: <b>Jung-Hee CHUNG</b>	Signature: _____	Date: _____
Name: <b>Young-Jin KIM</b>	Signature: <i>Young-Jin Kim</i>	Date: <i>10. 1. 26</i>
Name: <b>Seok-Woo NAM</b>	Signature: <i>Seok Woo Nam</i>	Date: <i>2010. 1. 26</i>
Name: <b>Han-Jin LIM</b>	Signature: <i>Han-Jin Lim</i>	Date: <i>2010. 1. 26</i>
Name: <b>Kyoung-Ryul YOON</b>	Signature: <i>Kyoung-Ryul Yoon</i>	Date: <i>2010. 1. 26</i>
<b>NAMES AND SIGNATURES OF WITNESSES</b>		
Name: _____	Signature: _____	Date: _____
Name: _____	Signature: _____	Date: _____



262/069

U.S. Rights—Sole or Joint

**ASSIGNMENT**

Name and Address of Assignee	For good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, each undersigned inventor has sold and assigned, and by these presents hereby sells and assigns, unto <b>SAMSUNG ELECTRONICS CO., LTD.,</b> 416, Maetan-dong, Yeongtong-gu Suwon-si, Gyeonggi-do, Republic of Korea
	(hereinafter ASSIGNEE) all right, title and interest for the United States, its territories and possessions in and to his invention relating to

Title of Invention	<b>METHOD OF FORMING SEMICONDUCTOR DEVICE HAVING A CAPACITOR</b> as set forth in this United States Patent Application
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Check One	<input type="checkbox"/> executed concurrently herewith <input type="checkbox"/> executed on _____ <input type="checkbox"/> Serial No. _____ Filed _____
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in and to said United States Patent Application including any and all divisions or continuations thereof and in and to any and all Letters Patent of the United States which may issue on any such application or for said invention, including any and all reissues or extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, legal representatives and assigns to the full end of the term or terms for which any and all such Letters Patent may be granted as fully and entirely as would have been held and enjoyed by the undersigned had this Assignment not been made;

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Each of the undersigned further covenants and agrees he will communicate to said ASSIGNEE, its successors, legal representatives or assigns all information known to him relating to said invention or patent application and that he will execute and deliver any papers, make all rightful oaths, testify in any legal proceedings and perform all other lawful acts deemed necessary or desirable by said ASSIGNEE, its successors, legal representatives or assigns to perfect title to said invention, to said application including divisions and continuations thereof and to any and all Letters Patent which may be granted therefore or thereon, including reissues or extensions, in said ASSIGNEE, its successors, or assigns or to assist said ASSIGNEE, its successors, legal representatives or assigns in obtaining, reissuing or enforcing Letters Patent of the United States for said invention;

Each of the undersigned hereby grants the firm of LEE & MORSE, P.C. the power to insert in this Assignment any further identification which may be necessary or desirable to comply with the rules of the U.S. Patent and Trademark Office for recordation of this Assignment.

<b>NAMES AND SIGNATURES OF INVENTORS</b>		
Name: <i>Seung-Sik CHUNG</i>	Signature: _____	Date: _____
Name: <i>Jung-Hee CHUNG</i>	Signature: <i>Jung-Hee CHUNG</i>	Date: <i>03/03/2010</i>
Name: <i>Young-Jin KIM</i>	Signature: _____	Date: _____
Name: <i>Seok-Woo NAM</i>	Signature: _____	Date: _____
Name: <i>Han-Jin LIM</i>	Signature: _____	Date: _____
Name: <i>Kyoung-Ryul YOON</i>	Signature: _____	Date: _____
<b>NAMES AND SIGNATURES OF WITNESSES</b>		
Name: _____	Signature: _____	Date: _____
Name: _____	Signature: _____	Date: _____

Note: *Prima facie* evidence of execution may optionally be obtained by execution of this document before a U.S. Consul or before a local officer authorized to administer oaths whose authority is proved by a certificate from a U.S. Consul.