## PATENT ASSIGNMENT

### Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE: NEW ASSIGNMENT

NATURE OF CONVEYANCE: CHANGE OF NAME

#### **CONVEYING PARTY DATA**

Name	Execution Date
Vectura Limited	06/23/2004

#### **RECEIVING PARTY DATA**

Name:	ectura Group PLC		
Street Address:	Prospect West		
City:	Chippenham, Wiltshire		
State/Country:	UNITED KINGDOM		
Postal Code:	SN14 6FH		

#### PROPERTY NUMBERS Total: 4

Property Type	Number	
Application Number:	11202741	
Patent Number:	6521260	
Patent Number:	7011818	
Patent Number:	6153224	

#### **CORRESPONDENCE DATA**

Fax Number: (612)332-9081

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 612.336.4728

Email: amorell@merchantgould.com

Correspondent Name: Gregory A. Sebald Address Line 1: P.O. Box 2903

Address Line 2: Merchant & Gould P.C.

Address Line 4: Minneapolis, MINNESOTA 55402-0903

ATTORNEY DOCKET NUMBER: 14350.0002USC3

NAME OF SUBMITTER: Gregory A. Sebald

PATENT

REEL: 024128 FRAME: 0868

OP \$160.00 11202741

501130209



PATENT REEL: 024128 FRAME: 0869

**OLSWANG** 

October

2009

CONFIRMATORY ASSIGNMENT OF REGISTERED INTELLECTUAL PROPERTY RIGHTS AND APPLICATIONS FOR REGISTERED INTELLECTUAL **PROPERTY RIGHTS** 

- **VECTURA GROUP PLC** (1)
- (2)**VECTURA LIMITED**

Olewang LLP 90 High Holborn London WCIV 6XX T +44 (0) 20 7067 3000 F +44 (0) 20 7067 3999 DX 37972 Kingaway

Olewang LLP is regulated by the Solicitors Regulation Authority www.olswang.com

UID 14555 VLD102 family

page 1 of 8

3831890-3

**PATENT** 

**REEL: 024128 FRAME: 0870** 

#### **LID 14555**

POTTER CLARKSON LLP

## THIS DEED OF CONFIRMATORY ASSIGNMENT is made the 20th day of October 2009 BETWEEN:-

- (1) VECTURA GROUP PLC, (formerly Vectura Limited) a public limited company registered in England under company registration number 03418970 whose registered office is at 1 Prospect West, Chippenham, Wiltshire, SN14 6FH, (the "Assignor"); and
- (2)VECTURA LIMITED, (formerly Coordinated Drug Development Limited) a company registered in England under company registration number 0169691? whose registered office is at 1 Prospect West, Chippenham, Wiltshire, SN14 6FH, United Kingdom, (the "Assignee").

#### WHEREAS:

- The Assignor changed its name to Vectura Group PLC from Vectura Limited on 23 June (A) 2004.
- (B) The Assignee changed its name from Coordinated Drug Development Limited to Vectura Limited on 13 July 2004.
- (C) Pursuant to and under a sale and purchase agreement effective 31 March 2008 between the Assignor and the Assignee, the Assignor assigned, and the Assignee acquired, certain assets, including the Assignor's intellectual property assets.
- The Assignor (under its former name) is the registered proprietor of various registered (D) intellectual property rights identified in the Schedule (the "Registered Rights") and various applications for registered intellectual property rights also identified in the Schedule (the "Applications") (collectively the Registered Rights and Applications referred to as the "Rights").
- (E) The Assignor wishes to confirm the assignment of the Assignor's right, title and interest in the Rights to the Assignee which was effective as of 31 March 2008, and the Assignee wishes to confirm receipt of the assignment of the Assignor's right, title and interest in the Rights effective as of 31 March 2008, In accordance with the provisions of this Assignment.

#### THE DEED OF ASSIGNEMENT WITNESSES AS FOLLOWS:

#### **Assignment**

- 1.1. The Assignor hereby confirms assignment, effective as of 31 March 2008, to the Assignee irrevocably and unconditionally, all of the Assignor's right, title and interest in the Rights, including, but not limited to:-
  - 1.1.1. the full and exclusive benefit of each of the Rights and all rights, privileges and advantages associated with each of them throughout the world and for their full term:

UID 14555 VL0102 family

page 2 of 8

- 1.1.2. the right to recover and take all such proceedings as may be necessary for the recovery of damages or otherwise, in relation to infringements of the Registered Rights, including, without limitation, any intellectual property rights resulting from any of the Applications, together in each case with all accrued rights of action, the right to recover damages for past infringements and the right to obtain injunctive or other relief in relation to each of the Rights;
- 1.1.3. the right to apply for and the right to be granted registered intellectual property rights or other rights and protection anywhere in the world in respect of (i) any of the Applications; and, (ii) any of the inventions, concepts or designs disclosed in any of the Rights;
- 1.1.4. all rights to claim priority anywhere in the world on the basis of any of the Rights and any priority applications in respect of any of the Rights; and
- 1.1.5. the right to apply for extensions and renewals in respect of any of the Rights including, without limitation, any registered intellectual property rights resulting from any of the Applications.

#### 2. Covenants

- 2.1. The Assignor covenants that at the date of this Assignment:-
  - 2.1.1. the Rights are subsisting and all patent office or registry fees payable in respect of the Rights at the date of this Assignment have been paid in full:
  - 2.1.2. the Assignor (under its former name) is the registered proprietor of the Rights.

#### 3. Further Assurances

3.1. The Assignor shall free of charge, as and when requested by the Assignee, do all acts and execute all documents as may be reasonably necessary or desirable to give full effect to the provisions of this Assignment and/or to enable this Assignment to be registered with each applicable patent office, intellectual property office or registry of any territory concerned with each and any of the Rights.

#### 4. Law

4.1. This Assignment shall be governed by English Law.

[The remainder of this page is Intentionally left blank]

page 3 of 8

#### SCHEDULE

		Ratein L	ist VI_0102 Family		
internal Family Ref	Cauntries	Application No.	Publication No.	ElPitteri No	Status 1
VL0102	AT Austria	96901439.8	0806938	E256450	Granted
VL0102.02	AT Austria	02007397.9	EP 1232745	0355822E	Granted/Opposed
VL0102	AU Australia	45456/96		699131	Granted
VL0102	BE Belgium	.96901439.8	EP 0806938	EP 0806938	Granted
VL0102.02	BE Belgium	02007397.9	EP 1232745	EP 1232745	Granted/Opposed
VL0102	BR Brazil	PI9607490-6	BR9607490	PI9607490-6	Granted
VL0102.01	BR Brazil	PI9612950-6	BR9612950		Pending
VL0102	CA Canade	221187 <del>4</del>	WO 1996 023485	2211874	Granted
VL0102	CH Switzerland	96901439.8	EP 0806938	EP 0806938	Granted
VL0102.02	CH Switzerland	02007397.8	EP 1232745	EP 1232745	Granted/Opposed
VL0102	CN China	96192676.7	1179097A	ZL96192676.7	Granted
VL0102	CZ Czech Republic	PV 2443-97	WO 1996 023485	294259	Granted
VL0102.02	DE Germany	02007397.9	EP 1232745	DE69636961T2	Granted/Opposed
VL0102	DE Germany	96901439.8	EP 0806938	696 31 119.4-08	Granted
VL0102	DK Denmerk	96901439.8	EP 0806938	EP 0806936	Granted
VL0102.02	DK Denmark	02007397.9	EP 1232745	EP 1232745	Granted/Opposed
VL0102	EA Eurasian Patent: Organisation	199700153	000352	000352	Abandoned
VL0102.02	EP Europe	02007397.9	EP 1232745 B1	EP 1232745	Superseded

UID 14656 VL0102 family

page 4 of 8

3831890-3

**PATENT REEL: 024128 FRAME: 0873** 

	And the second s	Patent L	lst VL0102 Family		
Internal Family Ref	Countries	Application No	Publication No.	Patent No	Statte:
VL0102.01	EP Europe	01120610.9	01159955		Abandoned
VL0102.03	EP Europe	06004066.4	1666023		Pending
VL0102	EP Europe	96901439.8	EP 0806938	EP 0806938	Superseded
VL0102.02	ES Spain	02007397.9	EP 1232745	EP 1232745	Granted/Opposed
VL0102	ES Spain	96901439.8	EP 0806938	EP 0806938	Granted
VL0102	FI Finland	973151	01159955	119676	Granted
VL0102	FR France	96901439.8	EP 0806938	EP 0806938	Granted
VL0102.02	FR France	02007397.9	EP 1232745	EP 1232745	Granted/Opposed
VL0102	GB United Kingdom	96901439.8	EP 0806938	EP 0806938	Granted
VL0102P2	GB United Kingdom	09521937.4			Abandoned
VL0102P1	GB United Kingdom	09501841.2			Abandoned.
VL0102.02	GB United Kingdom	02007397.9	EP 1232745	EP 1232745	Granted/Opposed
VL0102.02	GR Greece	02007397.9	EP 1232745	EP 1232745	Granted/Opposed
VL0102	GR Greece	96901439.8	EP 0806938	EP 0806938	Granted
VL0102	HK Hong Kong	06107484.2	1084897	·	Pending
VL0102	HU Hungary	P98 02209	P98 02209		Pending
VL0102.02	IE Ireland	02007397.9	EP 1232745	EP 1232745	Granted/Opposed
VL0102	IE Ireland	96901439.8	EP 0806938	EP 0806938	Granted
VL0102.02	IT Italy	02007397.9	EP 1232745	EP 1232745	Granted/Opposed

UID 14555 VL0102 family

page 5 of 8

		Patent L	stVL0102(Family		
jntemal Family Ref	Countries	A polication No.	Publicationthic	Paten No.	Status
VL0102	IT Italy	96901438.8	EP 0806938	EP 0806938	Granted
VL0102	JP Japan	8-523350	JP10513174T2	4042867	Granted
VL0102	KR Republic of Korea	1997-705241	WO 1996 023485	500694	Granted
VL0102.02	LU Luxembourg	02007397.9	EP 1232745	EP 1232745	Granted/Opposed
VL0102.02	MC Monaco	02007397.9	EP 1232745	EP 1232745	Granted/Opposed
VL0102	MX Mexico	9705847	WO 1996 023485	210532	Granted
VL0102.02	NL Netherlands	02007397.9	EP 1232745	EP 1232745	Granted/Opposed
VL0102	NL Netherlands	96901439.8	EP 0806938	EP 0806938	Granted
VL0102	NO Norway	973502	WO 1996 023485	324037	Granted
VL0102	NZ New Zealand	300654	WO 1996 023485	300654	Granted
VL0102	PCT	PCT/GB96/00215	WO 1996 023485		Superseded
VL0102	PL Poland	P-321572	WO 1996 023485	186757	Granted
VL0102	PT Portugal	96901439.8	EP 0806938	EP 0806938	Granted
VL0102.02	PT Portugal	02007397.9	EP 1232745	EP 1232745	Granted/Opposed
VL0102	RU Russian Federation	199700153	000352	000352	Granted
VL0102.02	SE Sweden	02007397.9	EP 1232745	EP 1232745	Granted/Opposed
VL0102	SE Sweden	96901439.8	EP 0806938	EP 0806938	Granted
VL0102.02	SI Slovenia	02007397.9	EP 1232745	EP 1232745	Granted/Opposed
VL0102	SK Slovakia	PV 1036-97	WO 1996 023485	282630	Abandoned
VL0102	TR Turkey	97/00722	WO 1996 023485	TR 97 00722 B	Granted

UID 14555 VL0102 family

page 6 of 8

3831890-3

**PATENT REEL: 024128 FRAME: 0875** 

The second secon	A Company of the Comp	Patent L	ist VL0102 Family		
Internal Family Ref	Countries	Application No.	Publication No	Patent No	Status
VL0102	UA Ukraine	97084422	WO 1996 023485	61051	Granted
VL0102	US United States of America	08/875391	WO 1996 023485	6153224	Granted
VL0102.01	US United States of America	09/680863		6521260	Granted
VL0102.02	US United States of America	10/306865	2003/0170183	7011818	Granted
VL0102.03	US United States of America	11/202741	2006/0029552		Pending/Allowed
VL0102	ZA South Africa	96/0721	WO 1996 023485	96/0721	Granted

3831890-3

page 7 of 8

POTTER CLARKSON LLP

EXECUTED and DELIVERED as a deed by the parties on the date set out at the head of this Deed of Assignment:-

•	
EXECUTED and DELIVERED as a DEED by VECTURA GROUP PLC acting by its Director in the presence of:	Signed Am Whend
Witness's Colin Parties Signature Colin Parties	Full Name Anne P Hyland
Name COLIN CLIVE DALTON	Director
Address The Ladge	
High Street	er transfer i de la companya de la c
Ketterington, NR189	IRU
Occupation Director	
EXECUTED and DELIVERED as a DEED by VECTURA LIMITED acting by its Director in the presence of:	Signed How Mand
Witness's Column Dallon Signature	Full Name Anne P Hyland Director
Name COLIN CLIVE DALTON	
Address The Lodge High Street	
Fettenagham, NRI	189R4
Occupation Director	

UID 14555 VL0102 family

page 8 of 8

28 Oct 2009 11:15



# THE COMPANIES ACT 1985

Company No. 3418970

The Registrar of Companies for England and Wales hereby certifies that

VECTURA GROUP FLC (originally called FUNFINE LIMITED changed its name on 15<sup>th</sup> January 1998 to VECTURA LIMITED which was changed on 23<sup>rd</sup> June 2004 to VECTURA GROUP FLC each change having been made by special resolution) was incorporated under the Companies Act 1985 as a limited company on 13<sup>th</sup> August 1997.

Given at Companies House, Cardiff the 16th September 2008

M D JONES

for the Registrar of Companies



**RECORDED: 03/25/2010** 

REEL: 024128 FRAME: 0878