

TO: BENJAMIN AARON ADLER COMPANY: 8011 CANDLE LANE

03/01/2010  
700430599

Form PTO-1595 (Rev. 01-09)  
OMB No. 0651-0027 (exp. 03/31/2009)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office; Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Sudhir S. Shah  
Alexei G. Basnakan  
Yousif M. Berrif

Additional name(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) June 20, 2006 and August 22, 2006

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License

Other CORRECTED ASSIGNMENT (018258/0202) *Assignee's NAME*

2. Name and address of receiving party(ies)

Name: Board of Trustees of the University of Arkansas

Internal Address: \_\_\_\_\_

Street Address: 1404 North University Avenue

City: Little Rock

State: Arkansas

Country: USA Zip: 72207-3609

Additional name(s) & address(es) attached?  Yes  No

4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

11/350,660

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Benjamin Aaron Adler, Ph.D., J.D.

Internal Address: \_\_\_\_\_

Street Address: 8011 Candle Lane

City: Houston

State: Texas Zip: 77071

Phone Number: (713) 270-5891

Fax Number: (713) 270-5861

Email Address: benjamin.adler@mac.com

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

9. Signature:

*Benjamin Aaron Adler*  
Signature

March 1, 2010  
Date

Benjamin Aaron Adler, Ph.D., J.D.  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 6

Documents to be recorded (including cover sheet) should be forwarded to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

OP \$40.00 11350660

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09-07-2006



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Recordation Form Cover Sheet

To the Honorable Commissioner of Patents and Trademarks. Please record the attached documents.

9-5-06

- 1. Name of conveying party(ies):  
**Sudhir S. Shah**  
**Alexei G. Basnakian**  
**Yousri M. Barri**
- 2. Name and address of receiving party(ies):  
Name: **The University of Arkansas**  
**for Medical Sciences**  
Address: **4301 West Markham, Slot 518**  
**Little Rock, Arkansas 72207**

Additional names of conveying party(ies) attached?  
 NO  YES

- 3. Nature of Conveyance:  Assignment  Merger  Security Agreement  Change of Name  OTHER  
Additional names & addresses attached?  NO  YES

Execution Date: **June 20, 2006 and August 22, 2006**

- 4. Application number(s) or patent number(s): **11/350,660**  
Additional numbers attached?  NO  YES

- 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Dr. Benjamin Adler**  
**ADLER & ASSOCIATES**

Address:  
**8011 Candle Ln.**  
**Houston, Texas 77071**

OFFICE OF PATENT RECORDS  
FINANCE SECTION  
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- 6. Total number of applications and patents involved: **1**
- 7. Total Fee (37 CFR 3.41):.....**\$40.00**  enclosed  authorized to charge credit card.
- 8. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Benjamin Aaron Adler, Ph.D., J.D.**  
Name of person signing

Signature **8/31/06**  
Date

Total number of pages comprising cover sheet: **1**

09/06/2006 DBYRNE 00000116 14350660

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(40.00 DP)

TO: BENJAMIN AARON ADLER COMPANY: 8011 CANDLE LANE

ASSIGNMENT D6421CIP  
5813 Toppingham Street  
PLANO, TX 75093 JSMC

WHEREAS, We, Sudhir S. Shah of 2 Isbell Lane, Little Rock, AR 72223, Alexei G. Basnakian of 14314 Orleans Dr., Little Rock, AR 72211 and Yousef M. Barri, of ~~3580 Alma Road, Richardson, TX 75080~~, (hereinafter collectively called "ASSIGNOR"), are co-inventors and owners of certain new and useful improvements in *Prevention and Treatment of Atherosclerosis by Reducing Carbamylation of LDL or the Effects of Carbamylated LDL*, an application for United States Letters Patent as filed February 9, 2006 as United States Serial No. 11/350,660.

WHEREAS, ~~The~~ Board of Trustees of the University of Arkansas System, of 2404 North University Avenue, Little Rock, AR 72207-3608, (hereinafter called "ASSIGNEE"), is desirous of acquiring, and ASSIGNOR are desirous of assigning to ASSIGNEE, all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNORS, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by us, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

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TO: BENJAMIN AARON ADLER COMPANY: 8011 CANDLE LANE

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And we do further covenant and agree that we will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: 20<sup>th</sup> JUNE 2006

Sudhir S. Shah  
Sudhir S. Shah

STATE OF ARKANSAS:

COUNTY OF PULASKI:

Before me this 20<sup>th</sup> day of JUNE, 2006, personally appeared \_\_\_\_\_ known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public Albert Pritchard

My Commission Expires: 12/31/06

Albert Pritchard  
Notary Public, State of Arkansas  
Saline County  
My Commission Expires 12/31/06

DATE: June 20 2006

Alexei G. Basnakian  
Alexei G. Basnakian

STATE OF Arkansas:

COUNTY OF Pulaski:

Before me this 20<sup>th</sup> day of June, 2006, personally appeared \_\_\_\_\_ known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public Albert Pritchard

My Commission Expires: 12/31/06

Albert Pritchard  
Notary Public, State of Arkansas  
Saline County  
My Commission Expires: 12/31/06

TO: BENJAMIN AARON ADLER COMPANY: 8011 CANDLE LANE

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## ASSIGNMENT

D6421CIP  
5915 Toppingham Street  
Plano, TX 75093 *ehh*

WHEREAS, We, Sudhir S. Shah of 2 Isbell Lane, Little Rock, AR 72223, Alexei G. Basnakian of 14314 Orleans Dr., Little Rock, AR 72211 and Yousri M. Barri, of ~~3500 Alma Road, Richardson, TX 75080~~, (hereinafter collectively called "ASSIGNOR"), are co-inventors and owners of certain new and useful improvements in *Prevention and Treatment of Atherosclerosis by Reducing Carbamylation of LDL or the Effects of Carbamylated LDL*, an application for United States Letters Patent as filed February 9, 2006 as United States Serial No. 11/350,660.

WHEREAS, ~~The~~ Board of Trustees of the University of Arkansas ~~System~~, of 2404 North University Avenue, Little Rock, AR 72207-3608, (hereinafter called "ASSIGNEE"), is desirous of acquiring, and ASSIGNOR are desirous of assigning to ASSIGNEE, all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNORS, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by us, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

PATENT

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TO: BENJAMIN AARON ADLER COMPANY: 8011 CANDLE LANE

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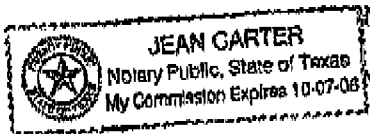
DATE: 8/22/06

*[Signature]*  
Yousri M. Barri

STATE OF Texas:

COUNTY OF Dallas:

Before me this 22 day of August, 2006, personally appeared Yousri M. Barri known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.



Notary Public Jean Carter  
My Commission Expires: 10-7-06