

12-19-2008

12/10/08



MAIL STOP ASSIGNMENTS

PA

103540464

TO THE COMMISSIONER OF THE U.S. PATENT & TRADEMARK OFFICE:

Sir:

Please record the attached original documents or copy thereof as follows:

1. NAME OF CONVEYING PARTIES [ASSIGNOR(S)]:

a. ICI Uniqema, Inc.

c.

e.

g.

b.

d.

f.

h.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

2. PARTIES [ASSIGNEE(S)] RECEIVING INTEREST:

NAME: Croda Uniqema, Inc.

ADDRESS: 4650 South Racine Avenue

Chicago, Illinois 60609 United States of America

DEC 10 2008

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

3. NATURE OF CONVEYANCE/DOCUMENT:

- | | | |
|--|---|--|
| <input type="checkbox"/> ASSIGNMENT OF | <input checked="" type="checkbox"/> WHOLE or | <input type="checkbox"/> PART INTEREST |
| <input type="checkbox"/> ORIGINAL | <input checked="" type="checkbox"/> FACSIMILE/PHOTOCOPY | |
| <input checked="" type="checkbox"/> CHANGE OF NAME | <input type="checkbox"/> VERIFIED TRANSLATION | |
| <input type="checkbox"/> SECURITY | <input type="checkbox"/> MERGER | <input type="checkbox"/> OTHER: |

Execution Date(s) on the Conveyance/Document: January 23, 2007

Execution Date(s) on the Declaration (if filed herewith):

4. APPLICATION AND/OR PATENT NUMBER(S):

Additional sheets attached? ☐ YES ☒ NO

a. Application Number(s)	Matter No.	First Inventor	b. Patent Number(s)	Matter No.	First Inventor
(unassigned)	011801-0216-999	Queen			

5. NAME & ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED:

Customer No. 20583
Jones Day
Intellectual Property Group
222 East 41st Street
New York, NY 10017-6702

6. NUMBER OF PROPERTIES INVOLVED:

Applns 1 + Patents 0 = Total: 1

7. AMOUNT OF FEE DUE:

Above Total 1 x \$40 = \$ 40 (Fee Code 8021)

8. PLEASE CHARGE TO:

DEPOSIT ACCOUNT NO. 503-013

Under Order No.

319435-999216

Matter No.

9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

10. TOTAL NUMBER OF PAGES (including cover sheet, attachments and documents)

12/16/2008 DBYRNE 89888888 583013 SHARER P
40.00 DA

Signature

Name of Attorney: Paul L. Sharer

Date: December 10, 2008

Registration No. 36,004

Telephone: (202) 879-3939 / Facsimile: (202) 626-1700

FILE WITH PTO RETURN RECEIPT (PAT-103A)

B00028095.003

B00028095.006

PATENT

700433387

REEL: 024172 FRAME: 0171

Attorney Docket No. 011801-0216-999
Application/Patent No. (unassigned)
Inventors: Craig B. Queen
Title: SOLVATED NON-IONIC SURFACTANTS AND FATTY ACIDS

Hand Delivery to USPTO
Delivery Date: 10 Dec 2008
Atty/Secy: PLS/kmh



U.S. PTO

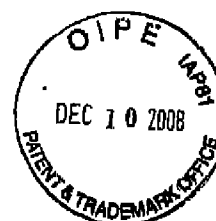


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BY ITS DATE-STAMP, USPTO ACKNOWLEDGES RECEIPT OF THE FOLLOWING:

- ☒ Utility Patent Application Transmittal (PTO/SB/05) - New Divisional
- ☒ Application with 33 total pages, including:
 - 28 pages of Description
 - 4 pages of Claims (17 total / 1 independent)
 - 1 page of Abstract of the Disclosure
- ☒ Fee Transmittal Form (PTO/SB/17)
- ☒ Three (3) Assignments with Recordation Form Cover Sheets
- ☒ Application Data Sheet
- ☒ Declaration/POA (executed copy from parent application)
- ☒ Information Disclosure Statement with PTO-1449
 - ☒ copies of cited non-U.S. references
- ☒ Authorization to charge fees totaling \$ 1,210.00 to Deposit Account



Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "CRODA UNIQEMA INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE TWENTY-THIRD DAY OF JANUARY, A.D. 2007.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "CRODA UNIQEMA INC." WAS INCORPORATED ON THE TWENTY-FOURTH DAY OF MARCH, A.D. 2003.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

3639760 8300
070073639



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State
AUTHENTICATION: 5375452
DATE: 01-23-07

E00028095.003

E00028095.006

PATENT
REEL: 024172 FRAME: 0173

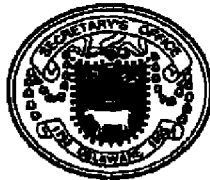
Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ICI UNIQEMA INC.", CHANGING ITS NAME FROM "ICI UNIQEMA INC." TO "CRODA UNIQEMA INC.", FILED IN THIS OFFICE ON THE FIRST DAY OF SEPTEMBER, A.D. 2006, AT 1:32 O'CLOCK P.M.

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070073634



Harriet Smith Windsor
Harriet Smith Windsor, Secretary of State
AUTHENTICATION: 5375446

DATE: 01-23-07

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PATENT
REEL: 024172 FRAME: 0174

09/01/2006

09:22

UNIQEMA + 96582919

State of Delaware 0002
Secretary of State
Division of Corporations
Delivered 01:54 PM 09/01/2006
FILED 01:52 PM 09/01/2006
SRV 060816557 - 3639760 FILE

**CERTIFICATE OF AMENDMENT
OF
CERTIFICATE OF INCORPORATION**

• • • • •

ICI Uniqema Inc., a corporation organized and existing under and by virtue of the General Corporation Law of the State of Delaware, DOES HEREBY CERTIFY:

FIRST: That the Board of Directors of ICI Uniqema Inc., by the unanimous written consent of its members, filed with the minutes of the Board, duly adopted resolutions setting forth a proposed amendment to the Certificate of Incorporation of said corporation, declaring said amendment to be advisable and calling for the submission of said amendment to the sole stockholder of said corporation for consideration thereof. The resolution setting forth the proposed amendment is as follows:

FURTHER RESOLVED, that the Certificate of Incorporation of the Corporation be amended by changing the First Article thereof so that as amended said Article shall be and read as follows:

"The name of the corporation is Croda Uniqema Inc.
(hereinafter called the 'Corporation')."

SECOND: That thereafter, pursuant to resolution of its Board of Directors and in lieu of a meeting and vote of stockholders, said amendment was submitted to the sole stockholder, which gave its unanimous written consent thereto pursuant to Section 228 of the General Corporation Law of the State of Delaware.

THIRD: That the aforesaid amendment was duly adopted in accordance with the applicable provisions of Section 242 and 228 of the General Corporation Law of the State of Delaware.

FOURTH: That this Certificate of Amendment of the Certificate of Incorporation shall be effective on September 1, 2006.

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09/01/2006 09:22 UNIQEMA + 96582919

NO. 500 0003

IN WITNESS WHEREOF, said ICI Uniqema Inc. has caused this certificate to be signed by Clive A. Grannum, its Chairman, and attested by Barbara S. Curran, its Assistant Secretary, this 23rd day of August, 2006.

By: 

Chairman

ATTEST:

By: 

Assistant Secretary

Corporate Secretary\Certificates\Certificate of Amendment of Certificate of Incorporation - 08 23 06 - ICI Uniqema Inc.doc

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PATENT

RECORDED: 03/31/2010

REEL: 024172 FRAME: 0176