

Form PTO-1595 (Rev. 09/01)
OMB No. 0661-0027 (exp. 6/30/2005)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark OfficeRECORDATION FORM COVER SHEET
PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

SPINECORE, LLC

Execution Date(s): April 24, 2002

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License

☒ Other Corrective Assignment previously
 recorded on Reel 024071 Frame 0730.
 Correction made to Receiving
 Party/Assignee name.

2. Name and address of receiving party(ies)

THIRD MILLENNIUM
ENGINEERING, LLC

Name: _____

Internal Address: _____

Street Address: _____

447 SPRINGFIELD AVENUE

City: SUMMIT

State: NEW JERSEY

Country: United States of America / zip: 07901

Additional name(s) & address(es)
attached:☐ Yes☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

12/700,753

☐ This document is being filed together with a new application

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address to whom correspondence
concerning document should be mailed:

Name: Brian R. Tomkins
 LERNER, DAVID, LITTENBERG,
 KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: SPINE 3.0-447 CONT CONT

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: (908) 654-5000

Fax Number: (908) 654-0415

Email Address: _____

6. Total number of applications and
patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

☐ Authorized to be charged by credit card☒ Authorized to be charged to deposit account☐ Enclosed☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____

Expiration Date _____

b. Deposit Account Number 12-1095

Authorized User Name Brian R. Tomkins

9. Signature:



Signature

April 1, 2010

Date

Brian R. Tomkins - 58.550

Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents

4

CH \$40.00 121095 12709753

USPTO

3/15/2010 5:27:18 PM PAGE 4/004 Fax Server

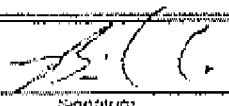
BRIAN R. TOMKINS COMPANY: LERNER, DAVID, LITTENBERG, ET AL.

03/11/2010 18:00 FAX 9086547866

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+ USPTO ASSIGNMENT 002/004

Form PTO-1595 (Rev. 10-01-01)
USPS No. 15651-0007 (Imp. 03/02/05)U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

REGISTRATION FORM COVER SHEET PATENTS ONLY	
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents of the new address(es) below.	
1. Name of conveying party(ies)/Execution Date(s): SPINECORE, LLC Execution Date(s): <u>April 24, 2002</u> Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No	2. Name and address of receiving party(ies) THIRD MILLENNIUM ENGINEERING, LLC Internal Address: _____ Street Address: _____ 777 SPRINGFIELD AVENUE City: <u>SUMMIT</u> State: <u>NEW JERSEY</u> Country: <u>United States of America</u> Zip: <u>07901</u> Additional name(s) & address(es) attached? ☐ Yes ☒ No
3. Nature of Conveyance: ☒ Assignment ☐ Merger ☐ Security Agreement ☐ Change of Name ☐ Government Interest Assignment ☐ Executive Order 5424, Confirmatory License ☐ Other _____	4. Application or patent number(s): ☐ This document is being filed together with a new application. A. Patent Application No.(s) <u>12709,763</u> B. Patent No.(s) Additional numbers attached? ☐ Yes ☒ No
5. Name and address to whom correspondence concerning document should be mailed: Name: <u>Brian R. Tomkins</u> <u>LERNER, DAVID, LITTENBERG,</u> <u>KRUMHOLTZ & MENTLIK, LLP</u> Internal Address, Apt., Bldg.: <u>SPINE 3.0-447 CONT CONT</u> Street Address: <u>500 South Avenue West</u> City: <u>Westfield</u> State: <u>NJ</u> Zip: <u>07000</u> Phone Number: <u>(908) 654-5000</u> Fax Number: <u>(908) 654-0415</u> Email Address: _____	6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 1.1(h) & 3.41) \$ <u>40.00</u> ☐ Authorized to be charged by credit card ☒ Authorized to be charged to deposit account ☐ Enclosed ☐ None required (government interest not affecting info)
8. Payment Information a. Credit Card Card # Numbers _____ Expiration Date _____ b. Deposit Account Number _____ Authorized User Name: <u>Brian R. Tomkins</u>	9. Signature:  Signature <u>Brian R. Tomkins - 58,550</u> Name of Person Signing Date: <u>March 11, 2010</u> Total number of pages including cover sheet, attachments, and documents: <u>3</u>

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EPI 540.000 17 IMPR 12/05/05

PATENT

03/15/2010 REEL: 024178 FRAME: 0381

ASSIGNMENT OF PATENT APPLICATIONS

Whereas, SPINECORE, LLC, whose mailing address is 447 SPRINGFIELD AVENUE, SUMMIT, NJ 07901, herein referred to as Owner, is currently the assignee of the entire right, title, and interest in each of the patent applications identified by Serial No. below (and in greater detail on Attachment A hereto) by virtue of respective assignments from the inventors of said patent applications; each of said assignments was recorded in the United States Patent and Trademark Office; a copy of each of said assignments is attached; and

Whereas, THIRD MILLENNIUM ENGINEERING, LLC, whose mailing address is 447 SPRINGFIELD AVENUE, SUMMIT, NJ 07901, herein referred to as Assignee, is desirous of acquiring the entire right, title, and interest in said patent applications;

Now, therefore, in consideration of the sum of one dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, Owner, by these presents does sell, assign, and transfer unto Assignee the entire right, title and interest in and to each of said patent applications and any and all patents which may be granted therefor in the United States. Owner hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said United States patents to Assignee for its sole use and behoof; and for the use and behoof of its legal representatives, to the full end of the respective terms for which each of said patents may be granted, as fully and entirely as the same would have been held by Owner has this assignment and sale not been made.

Executed on April 24th 2002 at Summit, NJ



JOSEPH P. ERRICO

for Owner

as its authorized representative

Serial No. 09/906,129
Serial No. 09/906,117
Serial No. 09/906,118
Serial No. 09/906,119
Serial No. 09/906,120
Serial No. 09/906,121
Serial No. 09/906,123
Serial No. 09/906,124
Serial No. 09/906,125
Serial No. 09/906,126
Serial No. 09/906,134
Serial No. 09/982,148
Serial No. 10/001,078

Serial No. 09/906,127
Serial No. 09/968,047
Serial No. 09/968,046
Serial No. 09/968,045
Serial No. 09/970,479
Serial No. 10/035,640
Serial No. 10/035,614
Serial No. 10/035,669
Serial No. 10/003,000
Serial No. 09/975,134
Serial No. 09/975,471
Serial No. 10/035,668
Serial No. 10/001,531

ATTACHMENT A TO ASSIGNMENT OF PATENT APPLICATIONS

Serial No.	Docket	Filed	Title
09/906,129	F-168	7/16/2001	Method of Surgically Treating Scoliosis
09/906,117	F-174	7/16/2001	Intervertebral Spacer Device Having a Wave Washer Force Restoring Element
09/906,118	F-175	7/16/2001	Intervertebral Spacer Device Having a Spiral Wave Washer Force Restoring Element
09/906,119	F-177	7/16/2001	Trial Intervertebral Distraction Spacers
09/906,120	F-185	7/16/2001	Insertion Tool for use with Trial Intervertebral Distraction Spacers
09/906,121	F-186	7/16/2001	Insertion Tool for use with Tapered Trial Intervertebral Distraction Spacers
09/906,123	F-187	7/16/2001	Porous Intervertebral Distraction Spacers
09/906,124	F-188	7/16/2001	Surgical Method of Treating Scoliosis
09/906,125	F-190	7/16/2001	Method of Distracting Vertebral Bones
09/906,126	F-191	7/16/2001	Vertebral Bone Distraction Instruments
09/906,134	F-192	7/16/2001	Instruments for Reorienting Vertebral Bones for the Treatment of Scoliosis
09/982,148	F-195	10/18/2001	Intervertebral Spacer Device Having Arch Shaped Spring Elements
10/001,078	F-197	10/31/2001	Polyaxial Drill Guide
09/906,127	F-198	7/16/2001	Insertion Tool for use with Intervertebral Spacers
09/968,047	F-199	10/1/2001	Intervertebral Spacer Device Having a Radially Thinning Belleville Spring
09/968,046	F-200	10/1/2001	Intervertebral Spacer Device Utilizing a Belleville Washer Having Radially Extending Grooves
09/968,045	F-201	10/1/2001	Intervertebral Spacer Device Having a Radially Thinning Slotted Belleville Spring
09/970,479	F-202	10/4/2001	Intervertebral Spacer Device Utilizing a Spirally Slotted Belleville Washer Having Radially Extending Grooves
10/035,640	F-203	11/9/2001	Intervertebral Spacer Device Having a Domed Arch Shaped Spring
10/035,614	F-204	11/9/2001	Intervertebral Spacer Device Having a Slotted Domed Arch Shaped Spring Element
10/035,669	F-205	11/9/2001	Intervertebral Spacer Device Having a Slotted Partial Circular Arch Strip Spring
10/003,000	F-206	11/30/2001	Distraction Instrument for use in Anterior Cervical Fixation Surgery
09/975,154	F-208	10/11/2001	Intervertebral Spacer Device Utilizing a Belleville Washer Having Radially Spaced Concentric Grooves
09/975,471	F-209	10/11/2001	Intervertebral Spacer Device Utilizing a Spirally Slotted Belleville Washer Having Radially Spaced Concentric Grooves
10/035,668	F-212	11/9/2001	Intervertebral Spacer Device Having a Multi-Pronged Domed Spring
10/001,531	F-217	11/30/2001	Spacer Device and Insertion Instrument for use in Anterior Cervical Fixation Surgery

PATENT

RECORDED: 04/01/2010

REEL: 024178 FRAME: 0383