

**PATENT ASSIGNMENT**

Electronic Version v1.1  
 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
<b>CONVEYING PARTY DATA</b>	
<b>Name</b>	<b>Execution Date</b>
Clifton A. Alverness	08/13/2003
John M. Adams	08/13/2003
Mark L. Mathis	08/11/2003
David G. Reuter	08/11/2003
Cruz Beeson	08/11/2003
Leonard Kowalsky	07/27/2004
<b>RECEIVING PARTY DATA</b>	
<b>Name:</b>	Cardiac Dimensions, Inc.
<b>Street Address:</b>	5540 Lake Washington Blvd. NE
<b>City:</b>	Kirkland
<b>State/Country:</b>	WASHINGTON
<b>Postal Code:</b>	98033
<b>PROPERTY NUMBERS Total: 1</b>	
<b>Property Type</b>	<b>Number</b>
<b>Application Number:</b>	12719758
<b>CORRESPONDENCE DATA</b>	
<b>Fax Number:</b>	(650)212-7562
	<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>
<b>Phone:</b>	650.212.1700
<b>Email:</b>	sue@shayglenn.com
<b>Correspondent Name:</b>	Thomas M. Zlogar
<b>Address Line 1:</b>	Shay Glenn LLP
<b>Address Line 2:</b>	2755 Campus Drive, Suite 210
<b>Address Line 4:</b>	San Mateo, CALIFORNIA 94403
<b>ATTORNEY DOCKET NUMBER:</b>	10057-705.305

**CH \$40.00 12719758**

**501138609**

**PATENT  
 REEL: 024182 FRAME: 0085**

NAME OF SUBMITTER:

Thomas M. Zlogar, # 55,760

**Total Attachments: 7**

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RECORDATION FORM COVER SHEET

PATENT AND TRADEMARK OFFICE

PATENTS ONLY

TO THE HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE. PLEASE RECORD THE ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF.

<p>1. Name of conveying party(ies):</p> <p>(1) Clifton A. ALFERNESS;                  (2) John M. ADAMS;                  (3) Mark L. MATHIS;                  (4) David G. REUTER;                  (5) Cruz BEESON; and                  (6) Leonard KOWALSKY</p> <p>Additional name(s) of conveying party(ies) attached?  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>	<p>2. Name and address of receiving party(ies):</p> <p>Name: <b>CARDIAC DIMENSIONS, INC.</b>  <b>5540 Lake Washington Blvd. NE</b>  <b>Kirkland, WA 98033</b></p>
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<p>3. Nature of Conveyance:</p> <p><input checked="" type="checkbox"/> Assignment      <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement      <input type="checkbox"/> Change of Name  <input type="checkbox"/> Other</p> <p>EXECUTION DATES: (1) 08/13/2003; (2) 08/13/2003;                  (3) 08/11/2003; (4) 08/11/2003; (5) 08/11/2003;                  (6) 07/27/2004</p>	<p>Name and address of receiving party(ies):</p> <p>Name:                  Street Address:                  City: State: Zip:                  Country:                  Additional name(s) &amp; address(es) attached?  <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>
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4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

<p>A. Patent Application No.(s) – <b>12/719,758</b></p> <p>Title: <b>Device and Method for Modifying the Shape of a Body Organ</b></p>	<p>B. Patent No.(s)</p>
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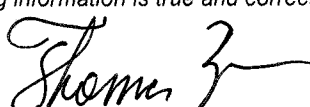
Additional numbers attached?  Yes  No

<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p><b>Thomas M. Zlogar</b>  <b>Shay Glenn LLP</b>  <b>2755 Campus Drive, Suite 210</b>  <b>San Mateo, CA 94403</b></p>	<p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41): \$40.00</p> <p><input type="checkbox"/> A check is enclosed that includes the total fee.  <input checked="" type="checkbox"/> The \$40 fee is being paid via EFS.</p>
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DO NOT USE THIS SPACE

8. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Thomas M. Zlogar, Reg. 55,760**            **April 2, 2010**

Name of Person Signing      Signature      Date

Total number of pages including cover sheet, attachments, and documents:

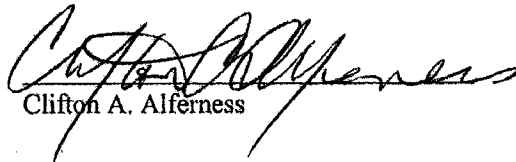
ASSIGNMENT

WHEREAS, we, Clifton A. Alferness, residing at 9010 258th Avenue NE, Redmond, Washington 98053; John M. Adams, residing at 20621 SE 34th Street, Sammamish, Washington 98075; Mark L. Mathis, residing at 12010 76th Avenue NE, Kirkland, Washington 98034; David G. Reuter, residing at 3225 208th Place SE, Bothell, Washington 98021; Cruz Beeson, residing at 11002 Forbes Creek Drive, #R103, Kirkland, Washington 98033; and Leonard Kowalsky, residing at 1403 243rd Place SE, Bothell, Washington 98021, are the inventors named in an application for Letters Patent of the United States, entitled DEVICE AND METHOD FOR MODIFYING THE SHAPE OF A BODY ORGAN, Application No. 10/429,171, filed on May 2, 2003;

AND, WHEREAS, Cardiac Dimensions, Inc., a Delaware corporation, having a principal place of business at 5540 Lake Washington Blvd. NE, Kirkland, Washington 98033 (hereinafter referred to as ASSIGNEE), is desirous of acquiring our entire right and title to and interest in our invention disclosed in said application;

NOW, THEREFORE, for sufficient, good and valuable consideration, the receipt of which is hereby acknowledged, we do hereby sell, assign and transfer unto ASSIGNEE our entire right and title to and interest in said application and said invention, including the right to apply for international patents and patents thereon in foreign countries in our name or in the name of ASSIGNEE, said invention and all applications and patents on said invention to be held and enjoyed by ASSIGNEE as entirely as the same would have been held and enjoyed by us had this sale, assignment and transfer not been made, and we do hereby further agree and promise to execute all instruments and render all such assistance as ASSIGNEE may request in order to make and prosecute any and all applications on said invention, to enforce any and all patents on said invention, and to confirm in ASSIGNEE legal title to said invention and all applications and patents on said invention, all without charge to ASSIGNEE but at no expense to us.

Executed at Kirkland (city), Washington, this 13<sup>th</sup> day of August, 2003.

  
Clifton A. Alferness

STATE OF WASHINGTON            )  
  ) ss.  
COUNTY OF KING                )

I certify that I know or have satisfactory evidence that Clifton A. Alferness is the person who appeared before me, and said person acknowledged that he signed this instrument

and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated: Aug 13, 2003



Valerie Craig  
Signature  
Printed Name: VALERIE CRAIG  
Notary Public  
My appointment expires 4/2/05

\*\*\*\*\*

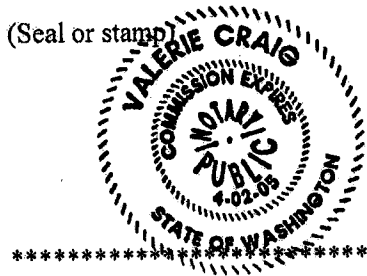
Executed at Kirkland (city), Washington, this 13<sup>th</sup> day of August, 2003.

John M. Adams  
John M. Adams

STATE OF WASHINGTON )  
 ) ss.  
COUNTY OF KING )

I certify that I know or have satisfactory evidence that John M. Adams is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated: Aug 13, 2003



Valerie Craig  
Signature  
Printed Name: VALERIE CRAIG  
Notary Public  
My appointment expires 4/2/05

\*\*\*\*\*

Executed at Fremont (city), California, Washington, this 11<sup>th</sup> day of August, 2003.

Mark L Mathis  
Mark L. Mathis

STATE OF WASHINGTON )  
 ) ss.  
COUNTY OF KING )

I certify that I know or have satisfactory evidence that Mark L. Mathis is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated: \_\_\_\_\_

(Seal or stamp) \_\_\_\_\_  
Signature \_\_\_\_\_  
Printed Name: \_\_\_\_\_  
Notary Public \_\_\_\_\_  
My appointment expires \_\_\_\_\_

\*\*\*\*\*  
Executed at \_\_\_\_\_ (city), Washington, this \_\_\_\_\_ day of \_\_\_\_\_, 2003.

\_\_\_\_\_  
David G. Reuter

STATE OF WASHINGTON )  
 ) ss.  
COUNTY OF KING )

I certify that I know or have satisfactory evidence that David G. Reuter is the person who appeared before me, and said person acknowledged that he signed this instrument and

Executed at \_\_\_\_\_ (city), Washington, this \_\_\_\_\_ day of \_\_\_\_\_, 2003.

\_\_\_\_\_  
Mark L. Mathis

STATE OF WASHINGTON )  
 ) ss.  
COUNTY OF KING )

I certify that I know or have satisfactory evidence that Mark L. Mathis is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

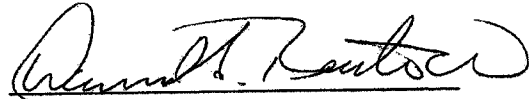
Dated: \_\_\_\_\_

(Seal or stamp)

\_\_\_\_\_  
Signature  
Printed Name: \_\_\_\_\_  
Notary Public  
My appointment expires \_\_\_\_\_

\*\*\*\*\*

Executed at Kirkland (city), Washington, this 11<sup>th</sup> day of August, 2003.

  
\_\_\_\_\_  
David G. Reuter

STATE OF WASHINGTON )  
 ) ss.  
COUNTY OF KING )

I certify that I know or have satisfactory evidence that David G. Reuter is the person who appeared before me, and said person acknowledged that he signed this instrument and

acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated: Aug 11, 2003

(Seal or stamp)



Valerie Craig  
Signature  
Printed Name: VALERIE CRAIG  
Notary Public  
My appointment expires 4/2/05

\*\*\*\*\*

Executed at Rickland (city), Washington, this 11<sup>th</sup> day of August, 2003.

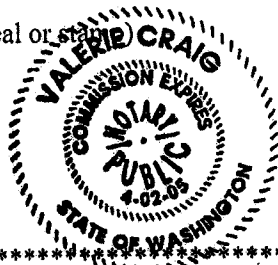
Cruz Beeson  
Cruz Beeson

STATE OF WASHINGTON )  
) ss.  
COUNTY OF KING )

I certify that I know or have satisfactory evidence that Cruz Beeson is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated: Aug 11, 2003

(Seal or stamp)



Valerie Craig  
Signature  
Printed Name: VALERIE CRAIG  
Notary Public  
My appointment expires 4/2/05

\*\*\*\*\*



Executed at Seattle (city), Washington, this 27<sup>th</sup> day of July, 2004.

  
Leonard Kowalsky

Witness:  Date: 7/27/2004

Witness: Calvin L. Kowalsky Date: 7/27/04

RCT:pt