


Client Code: PSTCH.002A1

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

To the Director, U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies): (List using letters or numbers for multiple parties)</p> <ol style="list-style-type: none"> <li>1. Rodney D. Raabe</li> <li>2. Jack Chu</li> <li>3. Don Crawford</li> <li>4. Jan R. Lau</li> <li>5. Zhenyu Zuo</li> </ol> <p>Additional name(s) of conveying party(ies) attached? ( ) Yes (X) No</p>	<p>2. Name and address of receiving party(ies):</p> <p><b>Name:</b> Sapheon, Inc.  <b>Internal Address:</b>  <b>Street Address:</b> 3406 Mendocino Ave.  <b>City:</b> Santa Rosa <b>State:</b> CA  <b>ZIP:</b> 95403</p> <p>Additional name(s) of receiving party(ies) attached? ( ) Yes (X) No</p>
<p>3. Nature of conveyance:</p> <p>(X) Assignment ( ) Security Agreement  ( ) Merger ( ) Change of Name  ( ) Other:</p> <p>Execution Date: (List as in section 1 if multiple signatures)</p> <ol style="list-style-type: none"> <li>1. April 13, 2010</li> <li>2. April 13, 2010</li> <li>3. April 13, 2010</li> <li>4. April 13, 2010</li> <li>5. April 13, 2010</li> </ol>	<p>4. US or PCT Application number(s) or US Patent number(s):</p> <p>(X) Patent Application No.: 12/710,274  Filing Date: February 22, 2010</p> <p>Additional numbers attached? ( ) Yes (X) No</p>
<p>5. Party to whom correspondence concerning document should be mailed:</p> <p><b>Customer No.</b> 20,995  <b>Address:</b> Knobbe, Martens, Olson &amp; Bear, LLP  2040 Main Street, 14<sup>th</sup> Floor  Irvine, CA 92614  <b>Return Fax:</b> (949) 760-9502  <b>Attorney's Docket No.:</b> PSTCH.002A1</p>	<p>6. Total number of applications and patents involved: 1</p>
<p>7. Total fee (37 CFR 1.21(h)): \$40</p> <p>(X) Authorized to be charged to deposit account</p>	<p>8. Deposit account number: 11-1410</p> <p>Please charge this account for any additional fees which may be required, or credit any overpayment to this account.</p>
<p>9. Statement and signature.</p> <p>To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.</p> <p><u>Bryan Wahl</u>  Name of Person Signing</p> <p><u></u>  Signature</p> <p><u>4/14/10</u>  Date</p> <p>58,878  Registration No.</p> <p>Total number of pages including cover sheet, attachments and document: 12</p>	

Documents transmitted via Facsimile to be recorded with required cover sheet information to:

CH \$40.00 111410 12710274

Application No.: 12/710,274  
Filing Date: February 22, 2010

Docket Number: PSTCH.002A1  
Page 1 of 6

### ASSIGNMENT

WHEREAS, Rodney D. Raabe, a U.S. citizen, residing at 6316 South Auer St., Spokane, WA 99223, Jack Chu, a U.S. citizen, residing at 5918 Sunhawk Dr., Santa Rosa, CA 95409, Don Crawford, a U.S. citizen, residing at 3715 River Vista Way, Louisville, TN 37777, Jan R. Lau, a U.S. citizen, residing at 457 Quince St., Windsor, CA 95492, and Zhenyu Zuo, a Chinese citizen, residing at 805 Harrier Dr., Suisun City, CA 94585, (individuals hereinafter "ASSIGNOR") invented certain new and useful improvements, technology, inventions, developments, ideas or discoveries related to SYSTEMS FOR VENOUS OCCLUSION FOR THE TREATMENT OF VENOUS INSUFFICIENCY (collectively hereinafter referred to as the "Work") for which an application for Letters Patent in the United States has been prepared for filing (identified above) with the United States Patent and Trademark Office (hereinafter the "Application");

AND WHEREAS, Sapheon, Inc., a California Corporation, with its principal place of business at 3406 Mendocino Ave., Santa Rosa, CA 95403 (hereinafter the "ASSIGNEE"), desires to acquire the entire right, title, and interest in and to the Application and the Work:

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR hereby acknowledges that ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in the Application and the Work, including all provisional applications relating thereto (including but not limited to U.S. Provisional Application No(s). 61/154,322 and 61/285,926, filed February 20, 2009 and December 11, 2009 (respectively if plural applications)), and all divisions, continuations, continuations-in-part, reissues, and reexaminations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and any related Letters Patent which may hereafter be granted or filed in any country or countries foreign to the United States, including PCT/US2010/024820, filed February 19, 2010, all extensions, renewals and reissues thereof; and ASSIGNOR hereby authorizes and requests the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all related Letters Patent to the ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR DOES HEREBY sell, assign, transfer, and convey to ASSIGNEE, its successors, legal representatives, and assigns all claims for damages and all remedies arising out of any violation of the rights assigned hereby that may have accrued prior to the date of assignment to ASSIGNEE, or may accrue hereafter, including, but not limited to, the right to sue for, collect, and retain damages for past infringements of said Letters Patent before or after issuance.

AND ASSIGNOR DOES HEREBY covenant and agree that ASSIGNOR will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to ASSIGNOR respecting the Work, and testify in any legal proceeding, assist in the preparation of any other provisional or non-provisional applications relating to the Application and the Work or any improvements made thereto, sign all lawful papers, execute and make all rightful oaths and/or declarations in connection with the Application and the Work including any improvements made thereto, any patent applications filed therefrom, and any continuing application filed from any of the aforementioned applications, and generally do everything possible to aid the ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for the Work in all countries.

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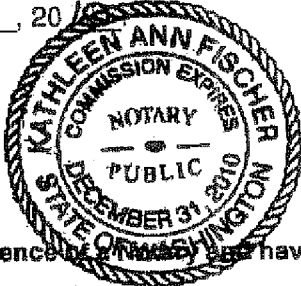
509 474 2053

p. 3

Application No.: 12/710,274  
 Filing Date: February 22, 2010

Docket Number: PSTCH.002A1  
 Page 2 of 6

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 13 day of April, 2010



Sign in presence of Witness and have

Notary attach Acknowledgement

Rodney D. Raabe  
 Rodney D. Raabe

#### ACKNOWLEDGMENT

State of Washington  
 County of Snohomish  
 On this 13th day of April, 2010, Rodney D. Raabe  
 personally appeared before me,  
☒ who is personally known to me,  
 whose identity I verified on the basis of \_\_\_\_\_  
 whose identity I verified on the oath/affirmation of \_\_\_\_\_  
 a credible witness,  
 to be the signer of the foregoing document, and he/she acknowledged that  
 he/she signed it. Kathleen Ann Fischer  
 My Commission Expires: 12/31/2010 Notary Signature

Application No.: 12/710,274  
Filing Date: February 22, 2010

Docket Number: PSTCH.002A1  
Page 3 of 6

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 13<sup>th</sup> day of April, 2010

Jack Chu  
Jack Chu

Sign in presence of a Notary and have

Notary attach Acknowledgement

☆ SEE ATTACHED ☆

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of SonomaOn 04/13/2010

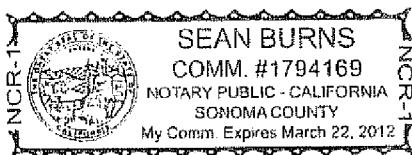
Date

before me, Sean Burns (Notary Public)

Here Insert Name and Title of the Officer

personally appeared JACK FA-De Chu

Name(s) of Signer(s)



who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Signature of Notary Public

Place Notary Seal Above

**OPTIONAL**

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

**Description of Attached Document**Title or Type of Document: AssignmentDocument Date: 02/22/2010Number of Pages: 21Signer(s) Other Than Named Above: N/A**Capacity(ies) Claimed by Signer(s)**Signer's Name: JACK FA-De Chu☒ Individual☐ Corporate Officer — Title(s): \_\_\_\_\_☐ Partner — ☐ Limited ☐ General☐ Attorney in Fact☐ Trustee☐ Guardian or Conservator☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

**RIGHT THUMBPRINT  
OF SIGNER**  
Top of thumb here

Signer's Name: \_\_\_\_\_

☐ Individual☐ Corporate Officer — Title(s): \_\_\_\_\_☐ Partner — ☐ Limited ☐ General☐ Attorney in Fact☐ Trustee☐ Guardian or Conservator☐ Other: \_\_\_\_\_

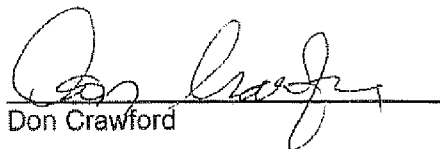
Signer Is Representing: \_\_\_\_\_

**RIGHT THUMBPRINT  
OF SIGNER**  
Top of thumb here

Application No.: 12/710,274  
Filing Date: February 22, 2010

Docket Number: PSTCH.002A1  
Page 4 of 6

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 13 day of  
April, 2010.

  
Don Crawford

Sign in presence of a Notary and have

Notary attach Acknowledgement

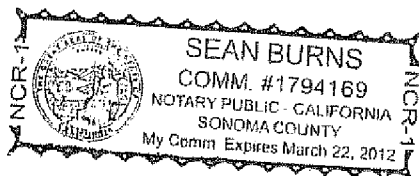
★ SEE ATTACHED ★

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of SonomaOn 04/13/2010 before me, Sean Burns (Notary Public)  
Date Here Insert Name and Title of the Officerpersonally appeared Don Crawford  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person~~s~~ whose name~~s~~ is~~are~~ subscribed to the within instrument and acknowledged to me that he~~she/they~~ executed the same in his~~her/their~~ authorized capacity~~(ies)~~, and that by his~~her/their~~ signature~~(s)~~ on the instrument the person~~s~~, or the entity upon behalf of which the person~~s~~ acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature Sean Burns  
Signature of Notary Public**OPTIONAL**

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

**Description of Attached Document**Title or Type of Document: AssignmentDocument Date: 02/22/2010 Number of Pages: 21Signer(s) Other Than Named Above: N/A**Capacity(ies) Claimed by Signer(s)**Signer's Name: Don Crawford

- ☒ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney in Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

Signer's Name: \_\_\_\_\_

- ☐ Individual  
☐ Corporate Officer — Title(s): \_\_\_\_\_  
☐ Partner — ☐ Limited ☐ General  
☐ Attorney in Fact  
☐ Trustee  
☐ Guardian or Conservator  
☐ Other: \_\_\_\_\_


Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

Application No.: 12/710,274  
Filing Date: February 22, 2010

Docket Number: PSTCH.002A1  
Page 5 of 6

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 13 day of  
APRIL, 2010.

  
Jan R. Lau

Sign in presence of a Notary and have

Notary attach Acknowledgement

★ SEE ATTACHED ★

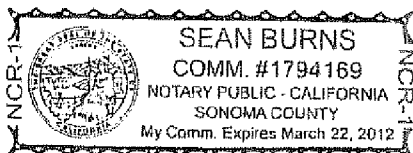


**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of SonomaOn 04/13/2010 before me, Sean Burns (Notary Public)  
Date Here Insert Name and Title of the Officerpersonally appeared JAN R. LAU  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person~~(s)~~ whose name~~(s)~~ is/are subscribed to the within instrument and acknowledged to me that he~~/she/they~~ executed the same in his~~/her/their~~ authorized capacity~~(ies)~~, and that by (his/her/their) signature~~(s)~~ on the instrument the person~~(s)~~ or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Signature of Notary Public

Place Notary Seal Above

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**Description of Attached Document**Title or Type of Document: AssignmentDocument Date: 02/22/2010 Number of Pages: 01Signer(s) Other Than Named Above: N/A**Capacity(ies) Claimed by Signer(s)**Signer's Name: JAN R. LAU☒ Individual☐ Corporate Officer — Title(s): \_\_\_\_\_☐ Partner — ☐ Limited ☐ General☐ Attorney in Fact☐ Trustee☐ Guardian or Conservator☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

Top of thumb here

Signer's Name: \_\_\_\_\_

☐ Individual☐ Corporate Officer — Title(s): \_\_\_\_\_☐ Partner — ☐ Limited ☐ General☐ Attorney in Fact☐ Trustee☐ Guardian or Conservator☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

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Filing Date: February 22, 2010

Docket Number: PSTCH.002A1  
Page 6 of 6

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this 13 day of  
April, 20 10.

  
Zhenyu Zuo

Sign in presence of a Notary and have

Notary attach Acknowledgement

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040110

★ SEE ATTACHED ★

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of SonomaOn 04/13/2010 before me, Sean Burns (Notary Public)  
Date Here insert Name and Title of the Officerpersonally appeared Zhen Yu Zuo  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

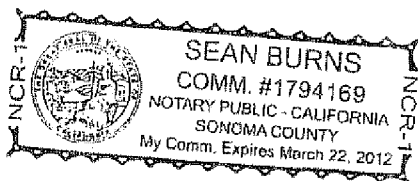
WITNESS my hand and official seal.

Signature

Sean Burns

Signature of Notary Public

Place Notary Seal Above

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**Description of Attached Document**Title or Type of Document: AssignmentDocument Date: 02/22/2010 Number of Pages: 21Signer(s) Other Than Named Above: N/A**Capacity(ies) Claimed by Signer(s)**Signer's Name: Zhen Yu Zuo☒ Individual☐ Corporate Officer — Title(s): \_\_\_\_\_☐ Partner — ☐ Limited ☐ General☐ Attorney in Fact☐ Trustee☐ Guardian or Conservator☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

Signer's Name: \_\_\_\_\_

☐ Individual☐ Corporate Officer — Title(s): \_\_\_\_\_☐ Partner — ☐ Limited ☐ General☐ Attorney in Fact☐ Trustee☐ Guardian or Conservator☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

**PATENT****RECORDED: 04/14/2010****REEL: 024235 FRAME: 0245**