

Form PTO-1595 (Rev. 03-09)  
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U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Howard E. Gendelman  
R. Lee Mosley  
Ashley D. Reynolds

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) March 30, 2010

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: BOARD OF REGENTS OF THE UNIVERSITY OF NEBRASKA

Internal Address: Varner Hall, 3835 Holdrege Street

Lincoln, Nebraska, 68583-0745 USA

Street Address: Varner Hall, 3835 Holdrege Street

City: Lincoln

State: Nebraska

Country: USA Zip: 68583-0745

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

12/500,414

Not applicable

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Jason Nickla, UNeMed

Internal Address: 986099 Nebraska Medical Center

Omaha, NE 68198-6099

Street Address: 986099 Nebraska Medical Center

City: Omaha

State: Nebraska Zip: 68198-6099

Phone Number: 402-559-2468

Fax Number: 402-559-2182

Email Address: jnickla@unmc.edu

### 6. Total number of applications and patents involved: One

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

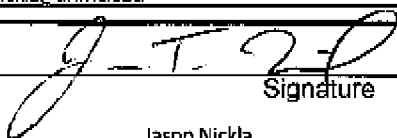
- ☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number 50-2097

Authorized User Name Jason Nickla

### 9. Signature:

  
Signature

4/20/2010

Date

Jason Nickla

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1460, Alexandria, V.A. 22313-1460

CH \$40.00 502097 12500414

### ASSIGNMENT

This Assignment is made by Howard E. Gendelman, residing at 125 S 127 Plz, Omaha, NE 68154; R. Lee Mosley, residing at 15472 Burt Street, Omaha, NE 68154; and Ashley D. Reynolds, residing at 102 S 37th St # 5, Omaha, NE 68131, to the BOARD OF REGENTS OF THE UNIVERSITY OF NEBRASKA, Assignee, a public body corporate of the State of Nebraska having offices at Varner Hall, 3835 Holdrege Street, Lincoln, Nebraska, 68583-0745, USA.

WHEREAS, Assignor is a joint inventor of new and useful improvements in "Methods and Compositions for Inhibiting Diseases of the Central Nervous System" for which an application for United States Letters Patent was filed on July 9, 2009, in the United States Patent and Trademark Office, bearing Serial Number 12/500,414, and identified as UNMC Docket No. 08054.

WHEREAS, Assignor believes that Assignor and co-inventor(s) are joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entirety of Assignors' rights, titles and interests in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors sell, assign, and transfer to Assignee, the entirety of Assignors' rights, titles, and interests in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignor agrees that, upon request and without further compensation, but at no expense to the Assignor, Assignor and Assignor's legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that Assignor has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Director of the United States Patent and Trademark Office and all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as an assignee of the entirety of Assignor's interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date provided.

Assignor: Howard E. Gendelman

Howard E. Gendelman  
Signature Date

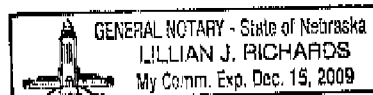
State of Nebraska )

County of Douglas )

On this 23 day of November 2009, before me personally appeared Howard E. Gendelman to me known and known to me to be the person of that name, whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS by hand and official seal.

Lillian J. Richards  
(Signature of Notary)



Lillian J. Richards

Assignor: R. Lee Mosley

R. Lee Mosley 11/23/09  
Signature Date

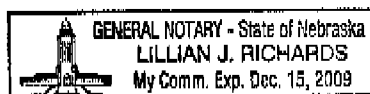
State of Nebraska )

County of Douglas )

On this 23 day of November 2009, before me personally appeared R. Lee Mosley to me known and known to me to be the person of that name, whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS by hand and official seal.

Lillian J. Richards  
(Signature of Notary)



Assignor: Ashley D. Reynolds

Ashley D. Reynolds 03/30/2010  
Signature Date

State of Nebraska )

County of Douglas )

On this 30<sup>th</sup> day of March 2010, before me personally appeared Ashley D. Reynolds to me known and known to me to be the person of that name, whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity, and that by her signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS by hand and official seal.

Vicki L. Hamm  
(Signature of Notary)

