# PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:		NEW ASSIGNMENT	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:		ASSIGNMENT	ASSIGNMENT	
CONVEYING PART	Y DATA			
Name			Execution Date	
Raphael James Mannino			08/25/2004	
Susan Gould-Fogerite			08/27/2004	
Sara L Krause-Elsmore			08/25/2004	
David Delmare			08/24/2004	
Ruying Lu			08/25/2004	
RECEIVING PARTY	′ DATA			
Name:	BioDelivery Sciences International, Inc.			
Street Address:	801 Corporate	801 Corporate Center Drive		
Internal Address:	Suite No. 210			
City:	Raleigh			
State/Country:	NORTH CAROLINA			
Postal Code:	27607			
Name:		adiaina and Dantistry of New Jar		
Street Address:	University of Medicine and Dentistry of New Jersey			
City:	30 Bergen Street			
State/Country:	Newark			
Postal Code:	NEW JERSEY         07107			
PROPERTY NUMBI	ERS Total: 1			
Property Type		Number		
Application Numbe	<b>r</b> : 1	1653434		
CORRESPONDENC	CE DATA			
Email:	docket@r	9200 <i>fail when the fax attempt is unsuc</i> nccarter.com	cessful.	
Correspondent Nam	ne: Danielle H	lerritt	PATENT	
501155344 REEL: 024271 FRAME: (				

	265 Franklin Street Boston, MASSACHUSETTS 02110			
ATTORNEY DOCKET NUMBER:	117744-05002			
NAME OF SUBMITTER:	Jermaine Lawrence			
Total Attachments: 6 source=UMDNJ_and_BDSI_ASSIGNMENT_RECORDAL#page1.tif source=UMDNJ_and_BDSI_ASSIGNMENT_RECORDAL#page2.tif source=UMDNJ_and_BDSI_ASSIGNMENT#page1.tif source=UMDNJ_and_BDSI_ASSIGNMENT#page2.tif source=UMDNJ_and_BDSI_ASSIGNMENT#page3.tif source=UMDNJ_and_BDSI_ASSIGNMENT#page4.tif				

Form **PTO-1595** (Rev. 08/05)

U.S. DEPARTMENT OF COMMERCE

DMB No. 0651-0027 (exp. 6/30/2008) RECORDATION FOR	United States Patent and Trademark Office				
PATENTS ONLY					
To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.					
	2. Name and address of receiving party(ies)				
1. Name of conveying party(ies): Raphael James Mannino (08/25/2004)					
Susan Gould-Fogerite(08/27/2004)	BioDelivery Sciences				
Sara L. Krause-Elsmore (08/25/2004)	Name: International, Inc.				
David Delmare (08/24/2004)					
Ruying Lu (08/25/2004)	Internal Address: Suite No. 210				
Additional name(s) of conveying party(ies) attached?	Street Address:				
3. Nature of conveyance/Execution Date(s):	801 Corporate Center Drive,				
Execution Date(s): in parentheses after inventor name					
X Assignment Merger Change of Name					
Security Agreement Joint Research Agreement	City: Raleigh				
Government Interest Assignment	State: North Carolina				
Executive Order 9424, Confirmatory License	Country: United States of America zip: 27607				
	Additional name(s) & address(es) X Yes No				
	attached?				
A. Patent Application No.(s) 11/653,434	B. Patent No.(s)				
Additional numbers attached?	Yes XNo				
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and1				
Name: Danielle L. Herritt McCARTER & ENGLISH LLP	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00				
Internal Address: Atty. Dkt.: 117744-05002	Authorized to be charged by credit card				
Street Address: 265 Franklin Street	X Authorized to be charged by orbit card				
	Enclosed				
	None required (government interest not affecting title)				
City: Boston	8. Payment Information				
State: MA Zip: 02110	a. Credit Card Last 4 Numbers				
Phone Number: (617) 449-6500	Expiration Date				
Fax Number: (617) 607-9200	b. Deposit Account Number 50-4876				
Email Address:	Authorized User Name Danielle L. Herritt				
9. Signature:					
/Danielle L. Herritt/	April 22, 2010				
Signature	Date				
Danielle L. Herritt, Esq.	Total number of pages including cover 6 sheet, attachments, and documents:				
Name of Person Signing					
I hereby certify that this paper (along with any paper referred to as being filing system in accordance with § 1.6(a)(4). on the date shown below. Dated: April 22, 2010	attached or enclosed) is being transmitted via the office electronic banielle L. Herritt/				

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# PATENT REEL: 024271 FRAME: 0247

Form **PTO-1595** (Rev. 08/05) OMB No. 0651-0027 (exp. 6/30/2008 U.S. DEPARTMENT OF COMMERCE

DMB No. 0651-0027 (exp. 6/30/2008) United States Patent and Trademark Office RECORDATION FORM COVER SHEET					
PATENTS ONLY					
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1. Name of conveying party(ies):	2. Name and address of receiving party(ies)				
Raphael James Mannino (08/25/2004) Susan Gould-Fogerite(08/27/2004) Sara L. Krause-Elsmore (08/25/2004) David Delmare (08/24/2004)	University of Medicine and Name:				
Ruying Lu (08/25/2004)	Internal Address:				
Additional name(s) of conveying party(ies) attached?	Street Address:				
3. Nature of conveyance/Execution Date(s):					
Execution Date(s): in parentheses after inventor name	30 Bergen Street				
X     Assignment     Merger     Change of Name					
Security Agreement Joint Research Agreement	city: Newark				
Government Interest Assignment	State: New Jersey				
Executive Order 9424, Confirmatory License	Country: United States of America Zip: 07107				
Other	Additional name(s) & address(es) X Yes No attached?				
4. Application or patent number(s):       Image: Comparison of the second	This document is being filed together with a new application. B. Patent No.(s)				
Additional numbers attached?	Yes XNo				
5. Name and address to whom correspondence concerning document should be mailed:	6. Total number of applications and1				
Name: Danielle L. Herritt McCARTER & ENGLISH LLP	7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00				
Internal Address: Atty. Dkt.: 117744-05002 Street Address: 265 Franklin Street	Authorized to be charged by credit card          X       Authorized to be charged to deposit account       Enclosed         None required (government interest not affecting title)				
City: Boston	8. Payment Information				
State: MA Zip: 02110	a. Credit Card Last 4 Numbers				
Phone Number: (617) 449-6500	Expiration Date				
Fax Number: (617) 607-9200	b. Deposit Account Number 50-4876				
Email Address:	Authorized User Name Danielle L. Herritt				
9. Signature:					
/Danielle L. Herritt/	April 22, 2010				
Signature	Date Total number of pages including cover				
Danielle L. Herritt, Esq. Name of Person Signing	sheet, attachments, and documents:				
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the office electronic filing system in accordance with § 1.6(a)(4). on the date shown below. Dated: April 22, 2010 Electronic Signature for Danielle L. Herritt, Esq.: /Danielle L. Herritt/					

PATENT REEL: 024271 FRAME: 0248

#### ASSIGNMENT

WE, Raphael J. MANNINO; Susan GOULD-FOGERITE; Sara L. KRAUSE-ELSMORE; David DELMARRE; and Ruying LU, of Annandale, New Jersey; Annandale, New Jersey; Kearny, New Jersey; Jersey City, New Jersey; and New Providence, New Jersey, respectively, in consideration of One Dollar and other valuable consideration paid to us by

> BioDelivery Sciences International, Inc. and University of Medicine and Dentistry of New Jersey

a Corporation of Delaware and a University of New Jersey, respectively, having their principal places of business at 185 South Orange Avenue, Administrative Building 4, Newark, New Jersey 07103; and 30 Bergen Street, Newark, New Jersey 07107, respectively, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said

BioDelivery Sciences International, Inc. and University of Medicine and Dentistry of New Jersey

their successors and assigns, the entire interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in

NOVEL ENCOCHLEATION METHODS, COCHLEATES AND METHODS OF USE

described in an application

Executed by us of even date herewith and about to be filed

or

x Application No. 10/822230, filed on April 9, 2004

This application claims the benefit of the following provisional application(s):

Provisional Application No. 60/461483, filed on April 9, 2003
 Provisional Application No. 60/463076, filed on April 15, 2003
 Provisional Application No. 60/502557, filed on September 11, 2003
 Provisional Application No. 60/537252, filed on January 15, 2004
 Provisional Application No. 60/499247, filed on August 28, 2003
 Provisional Application No. 60/532755, filed on December 24, 2003
 Provisional Application No. 60/556192, filed on March 24, 2004

in the United States Patent and Trademark Office, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuing, reissue or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators,

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with said Corporation and , respectively and their successors and assigns but at their or their expense and charges, hereafter to execute all applications, amended specifications, deed or other instrument, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said Corporation and , respectively and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

And we do further hereby grant the attorneys/agents of Lahive & Cockfield, LLP, the power to insert on this Assignment the filing date and/or application number of said application.

Kaphal Win
Raphael J. Mannino
Date: <u>Agust 25,2004</u>
United States of America )
State of NEW JERSEY ) ss.:
County of $()_{N(ON)}$
On this $25$ day of $A_0$ , $2004$ before me, the the undersigned notary public, personally appeared $Raphael J. Manning$ (name of document signer), proved to me through satisfactory evidence of identification,
which was, to be the person whose name
is signed on the preceding or attached document in my presence.
Bilap Jernander (official signature and seal of notary)
My commission expires

/ /

BETSY FERNANDEZ **Notary Public** State of New Jersev Commission Expires Jul 6, 2009

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**BSZ-050** 

Lusa

Date:

On this 244 day of  $A_{3}054$ , 2004 before me, the the undersigned notary public, personally appeared 55400 Gov D - Fog et i - (name of document signer), proved to me through satisfactory evidence of identification,

ss.:

which was \_\_\_\_\_\_, to be the person whose name \_\_\_\_\_\_, to person whose name \_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_\_, to person whose name \_\_\_\_\_\_\_, to person whose name \_\_\_\_

(official signature and seal of notary) My commission expires **BETSY FERNANDEZ Notary Public** of New Jessey (rause-Elsmore **: Jul 6. 2009** Date: United States of America State of SS.: County of before me, the day of On this 2004 the undersigned notary public, personally appeared KROWSE-ELSMORE ARA (name of document signer), proved to me through satisfactory evidence of identification, , to be the person whose name which was is signed on the preceding or attached document in my presence. (official signature and seal of notary) MANON

 BETSY FERNANDEZ

 My commission expires

 Betsy FERNANDEZ

 Notary Public

 State of New Jersey

 My Commission Expires Jul 6, 2009

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	BSZ-050
	And
-	David Delmarre
Date: <u>August 24, 2004</u>	
United States of America ) State of <u>NEW SERSEY</u> )ss.: County of <u>()wion</u>	
On this $244h$ day of $A_{vqvst}$ the undersigned notary public, personally appeared	
(name of document signer), proved to me through s which was is signed on the preceding or attached document in	, to be the person whose name
<u>Betry Termanole</u> My commission expires	(official signature and seal of notary) BEISY FERNANCE Notary Fublic Notary Fublic
My Con	Ruying Lu
Date: <u>August 25,2004</u>	Ruying Du
United States of America ) State of <u>NEW JERSEY</u> ) ss.: County of UNION )	:
On this <u>25.4</u> . day of <u>August</u> the undersigned notary public, personally appeared	, <u>8004</u> before me, the Ruying I-U
(name of document signer), proved to me through a which was	
is signed on the preceding or attached document in	
21-1-1	
	(official signature and seal of notary)
My commission expires BETSY FEI Notary State of N	
	ixpixes Jul 6, 2009

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**RECORDED: 04/22/2010** 

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