

Attorney Docket No.: FP0619 US

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Todd W. SEELEY
David Y. LIU
Stephen J. KLAUS

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): 16 Apr 2010, 18 Mar 2010, 4 Jan 2010

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: FibroGen, Inc.

Internal Address: _____

Street Address: 409 Illinois Street

City: San Francisco

State: CA

Country: US Zip: 94158

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)

11/455,199

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: James E. Nesbitt

Internal Address: _____

Street Address: 409 Illinois Street

City: San Francisco

State: CA Zip: 94158

Phone Number: 415-978-1744

Fax Number: 415-978-1917

Email Address: jnesbitt@fibrogen.com

6. Total number of applications and patents involved: 1**7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40**

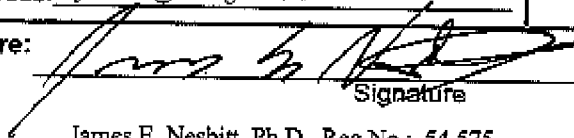
- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-0811

Authorized User Name James E. Nesbitt

9. Signature:


 Signature

James E. Nesbitt, Ph.D., Reg No.: 54,575

Name of Person Signing

22 April 2010
 Date

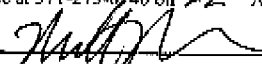
Total number of pages including cover sheet, attachments, and documents:

4

I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent and Trademark Office at 571-273-0140 on 22 April, 2010.

Typed or printed name of person signing this certificate Michael Moores

Signature



PATENT

700435247

REEL: 024281 FRAME: 0451

CH \$40.00 600811 11455199

Attorney Docket No. FP0619 US

ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

We, the undersigned, agree, for good and valuable consideration, receipt of which is hereby expressly acknowledged, to hereby sell, assign, and transfer unto

FibroGen, Inc.
409 Illinois Street
San Francisco, CA 94158

a corporation organized under the laws of the state of Delaware, as Assignee, and its successors, assigns, and legal representatives, or to such nominees as it may designate, the entire right, title, and interest, in all countries, to certain inventions relating to

COMPOUNDS AND METHODS FOR TREATMENT OF CANCER

described in an application for Letters Patent of the United States, identified as Attorney Docket No. FP0619 US, filed on 15 June 2006 as U.S. Application No. 11/455,199, and the inventions and improvements set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for the application for Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of the Letters Patent. We request that any and all Letters Patent for the inventions be issued to the Assignee, to its successors, assigns, and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to, but at the expense of, the Assignee, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable, or convenient for securing and maintaining patents for the inventions in any and all countries and for vesting title thereto in the Assignee, its successors, assigns, and legal representatives, or to such nominees as it may designate.

We authorize and empower the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, to invoke and claim for any application for patent or other form of protection for the inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file, or like document which may be required in any country for any purpose, and, more particularly, is proof of the right of the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, to claim the aforesaid benefit of the right of priority provided by the International Convention which may henceforth be substituted for it.

We covenant with the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, we have signed our names on the day and year set forth below,

Todd W Seeley
 Inventor's Signature

16 April 2010
 Date

Todd W. Seeley
 Inventor's Printed Name

State of California)
)
 County of San Francisco)

On 16 April 2010, before me, Michael Stephen Moores, personally appeared Todd W. Seeley, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



[Signature]
 Signature of Notary Public

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409 Illinois Street
San Francisco, CA 94158

a corporation organized under the laws of the state of Delaware, as Assignee, and its successors, assigns, and legal representatives, or to such nominees as it may designate, the entire right, title, and interest, in all countries, to certain inventions relating to

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We agree that, when requested, we will, without charge to, but at the expense of, the Assignee, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable, or convenient for securing and maintaining patents for the inventions in any and all countries and for vesting title thereto in the Assignee, its successors, assigns, and legal representatives, or to such nominees as it may designate.

We authorize and empower the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, to invoke and claim for any application for patent or other form of protection for the inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file, or like document which may be required in any country for any purpose, and, more particularly, is proof of the right of the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, to claim the aforesaid benefit of the right of priority provided by the International Convention which may henceforth be substituted for it.

We covenant with the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, we have signed our names on the day and year set forth below.

David Y. Liu
Inventor's Signature

3/18/2010
Date

David Y. Liu
Inventor's Printed Name

State of California

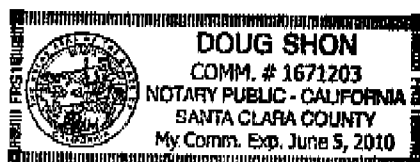
STATE OF CALIFORNIA
COUNTY OF SANTA CLARA

County of

On 18 March, before me, DOUG SHON Notary Public, personally appeared David Y. Liu, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.



[Signature]
Signature of Notary Public

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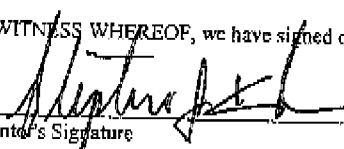
We agree that, when requested, we will, without charge to, but at the expense of, the Assignee, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable, or convenient for securing and maintaining patents for the inventions in any and all countries and for vesting title thereto in the Assignee, its successors, assigns, and legal representatives, or to such nominees as it may designate,

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We covenant with the Assignee, its successors, assigns, and legal representatives, or such nominees as it may designate, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed,

IN WITNESS WHEREOF, we have signed our names on the day and year set forth below,


Inventor's Signature

01/04/2010
Date

Stephen J. Klaus
Inventor's Printed Name

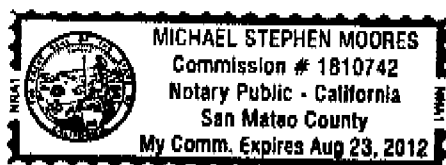
State of California }

County of San Francisco }

On 1-4-2010, before me, Michael Stephen Moores, personally appeared Stephen J. Klaus, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.




Signature of Notary Public