# PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
YORK PHARMA (R&D) LIMITED	07/28/2009
ROSANTO PHARMACEUTICALS LIMITED	07/28/2009

### RECEIVING PARTY DATA

Name:	RH ACQUISITIONS LIMITED
Street Address:	123 Deansgate
City:	Manchester
State/Country:	UNITED KINGDOM
Postal Code:	M3 2BU

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Patent Number:	7183440

### **CORRESPONDENCE DATA**

Fax Number: (617)542-2241

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

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ATTORNEY DOCKET NUMBER: 40409-514N01US

NAME OF SUBMITTER: Sean M. Coughlin

**Total Attachments: 8** 

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PATENT S01170396 REEL: 024351 FRAME: 0588

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DATED 28k July 2009

YORK PHARMA (R&D) LIMITED

- and -

**ROSANTO PHARMACEUTICALS LIMITED** 

- and -

RH ACQUISITIONS LIMITED

DEED OF ASSIGNMENT

relating to various Intellectual Property Rights

1

#### **BETWEEN:**

- (1) YORK PHARMA (R&D) LIMITED (Company number 04227527) whose registered office is at York House, 327 Upper Fourth Street, Milton Keynes, Buckinghamshire MK9 1EH ("R&D"); and
- (2) **ROSANTO PHARMACEUTICALS LIMITED** (Company number 03322659) whose registered office is at York House, 327 Upper Fourth Street, Milton Keynes, Buckinghamshire MK9 1EH ("Rosanto");

(R&D and Rosanto being together "the Assignors" and each an "Assignor"); and

(3) RH ACQUISITIONS LIMITED (Company number 06957857) whose registered office is at 123 Deansgate, Manchester M3 2BU (the "Assignee").

#### **BACKGROUND**

The Assignors are the proprietors of the Intellectual Property (as defined below) and have agreed to assign the same to the Assignee in consideration for the release of certain security over the business and assets of the Assignors.

#### **AGREED TERMS**

### 1 DEFINITIONS AND INTERPRETATION

The definitions and rules of interpretation set out in this clause apply to this deed of assignment unless the context requires otherwise.

"Intellectual Property" means all intellectual property (including, without limitation, trade marks or trade names (including the Trade Marks), patents (including the Patents), design rights, database rights, websites and domain names, copyright, letters patent, service marks, business names, inventions, trade secrets, confidential information and know-how (in each case whether registered or unregistered, and including all applications for the same and rights to apply for renewals or extensions of such rights)) to which the Assignors are entitled by virtue of any of the laws in force in any part of the world, or which are used by the Assignors in their business, in each case as at the date of this deed of assignment, together with the goodwill in the same.

"Patents" means the patents and patent applications set out in the Schedule to this deed of assignment.

### 2 **ASSIGNMENT**

- 2.1 In consideration for the release of certain security over the business and assets of the Assignors., the Assignors with no title guarantee hereby assign to the Assignee:
  - 2.1.1 such right, title and interest as the Assignors may have in the Intellectual Property;
  - 2.1.2 the exclusive right (so far as the Assignors are able to assign the same) for the Assignee (and its successors and assignees) to carry on the Assignors' business using the Intellectual Property and to represent itself as carrying on such business in succession to the Assignors; and
  - 2.1.3 the right to sue for damages and other remedies for any infringement of any of the Intellectual Property which occurred prior to the date of this deed of assignment.
- 2.2 The Assignors (and at the sole expense of the Assignee) will at the Assignee's request deliver up to the Assignee all documents, material and/or other media which may be in the Assignors' possession, power or control which comprise or contain any part of or information in relation to the Intellectual Property.

#### 3 PROCEEDINGS

The Assignors agree and undertake to provide to the Assignee (at its request and cost) all reasonable assistance with any proceedings which may be brought by or against the Assignee against or by any third party relating to the rights assigned by this deed of assignment.

### 4 FURTHER ASSURANCE

The Assignors shall, upon request and at the cost and expense of the Assignee, do or procure to be done all such further acts and things, and execute or procure the execution of all such other documents, as the Assignee may from time to time reasonably require in order to give the Assignee the full benefit of this deed of assignment, whether in connection with any registration of title or other similar right or otherwise.

#### 5 **GENERAL**

This deed of assignment is governed by and interpreted in accordance with English law and the parties agree to submit to the exclusive jurisdiction of the English courts.

**IN WITNESS** whereof each of the parties hereto has caused this deed to be executed on the day and year first before written.

# SCHEDULE THE INTELLECTUAL PROPERTY

### The Patents

### 1. VAMPEX ®

# 1.1 Treatment of Hyperproliferative Diseases (Family 5)

Proprietor: York Pharma (R&D) Ltd.

# Original (superseded) Applications

**Granted Patent** 

Territory	Number	Expiry Date
Australia	2001278632	17 Aug 2021

**Pending Applications** 

Territory	Number	Filing Date
United States	10/085,239	17 Aug 2001
Australia	2006203668	17 Aug 2001
Canada	2419840	17 Aug 2001
Japan	2002-520841	17 Aug 2001
· •	1318836	17 Aug 2001
Europe	1310030	17 //09 2001

All available European Patent Convention (EPC) contracting states were designated on filing.

# 2. NATURAL DEFENSE PROSTANOID MIMETICS (NDPMS)

# 2.1 Improvements in Pharmaceutically Useful Compounds ("4-aza") (Family 14)

Proprietor: Rosanto Pharmaceuticals Ltd.

# Original (superseded) Applications

Territory	Number	Filing Date
United Kingdom	0218261.6	6 Aug 2002
International	WO 04/013077	6 Aug 2003
THEOLIGIONAL		

**Granted Patents** 

Territory	Number	Expiry Date
United States	7,183,400	6 Aug 2023

**Pending Applications** 

Penging Applications		
Territory	Number	Filing Date
Canada	CA2495030	6 Aug 2003
United States	11/059,086	6 Aug 2003
•••••	3766493.5	6 Aug 2003
Europe	3/00493.5	0 Aug 2005

# 2.2 Improvements in Pharmaceutical Compositions ("Thiol Adducts") (Family 15)

4

Proprietor: Rosanto Pharmaceuticals Ltd.

Original (superseded) Applications

**Filing Date Territory** Number 27 Mar 2002 0207232.0 United Kingdom WO 03/082813 27 Mar 2003 International

**Pending Applications** 

Filing Date Number Territory 2003224224 27 Mar 2003 Australia **United States** 10/957,242 27 Mar 2003 3720667 27 Mar 2003 Europe

## 2.3 Improvements in Pharmaceutical Compositions (Family 16)

Proprietor: Rosanto Pharmaceuticals Ltd.

Original (superseded) Applications

Filing Date **Territory** Number 28 July 2005 0515573.4 United Kingdom (re-filed as 0614848.0)

United Kingdom 0614848.0 26 July 2006

**Pending Application** 

**Filing Date Territory** Number 26 July 2007 International WO 08/012583

# 2.4 Synthetic Method and Novel Chemical Compounds (Family 17)

**Proprietor:** Rosanto Pharmaceuticals Ltd.

Original (superseded) Applications

Number **Filing Date Territory** United Kingdom 207028.2 25 Mar 2002 25 Mar 2003 WO 03/080552 International

**Granted Patent** 

**Expiry Date Territory** Number

United States 7,304,186 2023

## 2.5 Methods for Inhibiting IKK (Family 18)

Proprietor: The ownership of patent family 18 is jointly held by The Regents of the University of California (Oakland, California) and Rosanto Pharmaceuticals Ltd.

Original (superseded) Applications

**Filing Date** Number **Territory** 60/167,090 23 Nov 1999 **United States United States** 60/186,023 1 Mar 2000

**Granted Patents** 

Number **Expiry Date** Territory

United States 6,649,654 7,053,119 2020 **United States** 

5

**Pending Application** 

Territory

Number 11/351,155

**Filing Date** 

United States

continuation of 10/376,470

# 3. REMAINING PIPELINE AND UP

# 3.1 Diagnosis and Treatment of Disease (Family 6)

Proprietor: York Pharma (R&D) Ltd.

Original (superseded) Applications

 Territory
 Number
 Filing Date

 United Kingdom
 2000 0029225
 30 Nov 2000

 2000 0029879
 7 Dec 2000

 International
 WO 02/44736
 30 Nov 2001

**Granted Patent** 

Territory Number Expiry Date
Australia 2002 220855 30 Nov 2021

**Pending Applications** 

Territory	Number	Filing Date
United States	12/016,012	30 Nov 2001
Australia	2007203279	30 Nov 2001
Canada	2430473	30 Nov 2001
Japan	2002-546227	30 Nov 2001
Korea (South)	10-2003-7007501	30 Nov 2001
	1356298	30 Nov 2001
Europe*	1000290	22 1101 2002

<sup>\*</sup>All available contracting states were designated on filing.

# 3.2 Inhibitors for Inactivating Allergens (Family 7)

Proprietor: York Pharma (R&D) Ltd.

Original (superseded) Applications

Territory	Number	Filing Date
United Kingdom	0125594.2	25 Oct 2001
	WO 03/035107	24 Oct 2002
International	110 05/055107	

**Pending Applications** 

Territory	Number	Filing Date
Canada	2458542	24 Oct 2002
	2003-537673	24 Oct 2002
•	1438069	24 Oct 2002
Canada Japan Europe*	2003-537673	24 Oct 2002

<sup>\*</sup>All available European Patent Convention (EPC) contracting states were designated on filing.

# 3.3 Corneodesmosin-Based Test for Inflammatory Disease (Family 8)

Proprietor: York Pharma (R&D) Ltd.

Original (superseded) Applications

Territory Number Filing Date
United Kingdom 0004312.5 Feb 2000

6

International

WO 01/62788

23 Feb 2001

**Granted Patent** 

**Territory**United States

Number 7,329,540

Expiry Date 22 Feb 2021

(tbc as USPTO has indicated that an additional 1-1 1/2yrs may be granted)

**Pending Applications** 

 Territory
 Number
 Filing Date

 United States
 11/947,000
 23 Feb 2001

 Europe\*
 1268776
 23 Feb 2001

# 3.4 Single Nucleotide Polymorphisms of the SEEK1 Gene Associated with Psoriasis (Family 9)

Proprietor: York Pharma (R&D) Ltd.

Original (superseded) Applications

 Territory
 Number
 Filing Date

 United Kingdom
 0103514.6
 13 Feb 2001

 International
 WO 02/064831
 13 Feb 2002

**Pending Application** 

**Territory** Number Filing Date United States 11/944,212 13 Feb 2002

Note. Several of the pending applications listed in this schedule are divisional or continuation applications based on predecessors that have either been abandoned or proceeded to grant in their own right. The filing dates given for these divisional and continuation applications are those of the originals on which they are based.

<sup>\*</sup>All available European Patent Convention (EPC) contracting states were designated on filing.

<b>SIGNED</b> as a	DEED by YORK PHARMA (R&D) LIMITED
acting by a dire	ctor in the presence of:
WITNESS:	
Signature:	A. Whittaler
Address:	21 Survell Terrace
	Hawk Green
	Murple Stakport Steb 77P
Occupation:	Eggwhie PM
SIGNED as a	DEED by ROSANTO PHARMACEUTICALS
LIMITED actin	g by a director in the presence of:
WITNESS:	A section is
Signature:	A. Whitfule
Address:	21 Shwell Enace
	Hawk Green, Marple
	Stockport Sk6 758 Executive PA
Occupation:	Executive PA

Occupation: