## PATENT ASSIGNMENT

# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

#### **CONVEYING PARTY DATA**

Name	Execution Date
Sireesha Chemburu	04/13/2010
Thomas Corbitt	05/15/2009
Linnea K. Ista	05/11/2010
Gabriel P. Lopez	08/11/2009
David G. Whitten	08/11/2009

#### **RECEIVING PARTY DATA**

Name:	the Regents of the University of New Mexico c/o Research & Technology Law
Street Address:	1800 Roma NE
Internal Address:	Room 208
City:	Albuquerque
State/Country:	NEW MEXICO
Postal Code:	87131

## PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12529390

### **CORRESPONDENCE DATA**

Fax Number: (505)272-7300

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Email: akemp@stc.unm.edu

Correspondent Name: Andrea Kemp

Address Line 1: 801 University Blvd., SE, Suite 101
Address Line 4: Albuquerque, NEW MEXICO 87106

ATTORNEY DOCKET NUMBER:	5853-706
NAME OF SUBMITTER:	Andrea Kemp

PATENT REEL: 024374 FRAME: 0271 P \$40 00 12529390

501174084

# Total Attachments: 5

source=UNM-0805.NAT.US Assignment Inventors to UNM#page1.tif source=UNM-0805.NAT.US Assignment Inventors to UNM#page2.tif source=UNM-0805.NAT.US Assignment Inventors to UNM#page3.tif source=UNM-0805.NAT.US Assignment Inventors to UNM#page4.tif source=UNM-0805.NAT.US Assignment Inventors to UNM#page5.tif



#### INVENTOR ASSIGNMENT TO UNM

THIS ASSIGNMENT from Sireesha Chemburu; Thomas Corbitt; Linnea K. Ista; Gabriel P. Lopez; David G. Whitten, whose address(es) are set forth on the signature page hereof (the "Inventors"), and to the Regents of the University of New Mexico, an educational institution of the State of New Mexico, whose address is c/o Research & Technology Law, 1800 Roma NE, Room 208, Albuquerque, NM 87131 (the "University").

WHEREAS, the Inventors, while employed by the University, conceived and/or reduced to practice one or more inventions which are described both in the disclosures described below and in other materials associated with the disclosures, including materials prepared after the date of this Assignment. The disclosures are identified as:

UNM Docket No.	Title
UNM-805	Surface Grafted Conjugated Polymers
UNM-840	Dark and Light Activated Biocidal Activity of Conjugated Polyelectrolyte Formulations

(both the disclosures and the associated materials are collectively referred to herein as the "Invention Disclosure");

WHEREAS, the Inventors executed an application for Letters Patent of the United States of America based upon the disclosure above and which was filed on February 29, 2008 as Application No. PCT/US2008/002756, entitled:

#### Surface Grafted Conjugated Polymers

and

WHEREAS, the University is legally entitled to obtain a formal assignment from the Inventors of their entire right, title, and interest in and to invention(s) and related technology created by Inventors during their employment by the University.

NOW, THEREFORE, the Inventors have individually and jointly agreed to assign and transfer and do hereby assign and transfer unto the University, its successors and assigns their entire right, title, and interest in and to: (a) the invention(s) described in the Invention Disclosure and/or Patent Application(s); (b) any technical information, knowhow, trade secret, process, procedure, composition, biological materials, device, method, formula, protocol, technique, software, design, tradename, trademark, copyright, copyrightable material, drawing or data which is related to the aforesaid invention(s) and/or that is included in the Invention Disclosure, whether or not covered by the Patent Application(s); (c) all rights of the Inventors in the Patent Application(s) including but not limited to all divisions, Letters Patent, reissues, reexaminations, continuations, continuations-in-part, continuing patent applications, substitutions, renewals, extensions filed and all patent(s) issuing thereon in the United States and all foreign countries, including but not limited to the right to apply for Letters Patent, Utility Models, or Inventor Certificates or equivalents in foreign countries in its own name and to claim any priority rights for such foreign applications to which such applications are entitled under such countries' domestic laws, international conventions, treaties, or otherwise; and (d) to the extent the University owns the same under its policies and practices, all improvements to the invention(s) described in the Invention Disclosure and/or Patent Application(s) hereafter made or invented by any of the Inventors (all of the foregoing, (a), (b), (c), and (d) being collectively referred to throughout this Assignment as the "Invention"); and (e) the right to assert claims and bring lawsuits for any violation or infringement of any of the intellectual property rights assigned hereby, including all patents issuing on the Patent Applications.

The Inventors have individually and jointly already agreed and do hereby warrant for themselves and their heirs, executors, and administrators, to execute and deliver without further consideration any further applications, assignments, and documents, and to perform such other acts as lawfully may, that may be deemed necessary by the University,, its successors, assigns, and nominees, fully to secure its right, title, and interest as aforesaid and to obtain and maintain Letters Patent, Utility Models, or Inventors' Certificates in any and all counties; and the Inventors hereby authorize and request the Commissioner or Patents to issue any and all Letters Patent which may be granted upon any of said applications, to the University as the assignee of the entire right, title, and interest therein.

#### THE INVENTORS FURTHER ACKNOWLEDGE AND AGREE AS FOLLOWS:

1. In accordance with the University's policies and practices, all net revenues from the Invention (all income received by the University, or its assignee, from commercialization of the Invention, not including payments for research, development or reimbursement of certain costs), shall be divided as follows: forty percent (40%) equally to the

- 1. In accordance with the University's policies and practices, all net revenues from the Invention (all income received by the University, or its assignee, from commercialization of the Invention, not including payments for research, development or reimbursement of certain costs), shall be divided as follows: forty percent (40%) equally to the Inventors (unless the Inventors have otherwise agreed in writing and so notified the University), forty percent (40%) to STC.UNM, and twenty percent (20%) to the University.
- 2. For the purpose of enabling the University, its successors and assigns, without further consideration to obtain, defend and enforce all United States and foreign intellectual property rights in the Invention, the Inventors shall timely communicate all information, execute all documents, testify in all legal proceedings and take all such other actions necessary or desirable to accomplish such purpose.
- 3. The Inventors authorize the attorneys of record for the Patent Application(s) without further consideration to insert in this Assignment where indicated above, or in an Addendum to be attached hereto, the filing date, country where filed, title and serial number of such Patent Application(s) filed hereafter as they become officially known.
- 4. The Inventors authorize and request without further consideration all domestic and foreign patent office officials to issue all patents, when granted, to the University, its successors and assigns.
- 5. The Inventors warrant and represent without further consideration that no assignment, sale, agreement or encumbrance has been or will be made or entered into by them which would conflict with this assignment.
- 6. If there shall only be one Inventor, all references herein to Inventors shall be deemed to refer to said Inventor. If there shall be more than one Inventor, this assignment may be signed in multiple counterparts and all such counterparts shall be deemed to constitute a single instrument.
  - 7. THE UNDERSIGNED INVENTORS ACKNOWLEDGE BY THEIR RESPECTIVE SIGNATURES HERETO THAT THEY HAVE READ THE FOREGOING, UNDERSTAND ITS CONTENTS AND HAVE HAD THE OPPORTUNITY TO CONSULT LEGAL COUNSEL OF THEIR CHOOSING CONCERNING THEIR RIGHTS AND OBLIGATIONS HEREUNDER.

C. Lireesha	04/13/10
Assignor (Sircesha Chemburu)	Date
Address:	
801 Locust Place NE. 1016B	
Albuquerque, NM 87102 USA	
STATE OF NEW MEXICO )	
) · ss.	
COUNTY OF BERNALELO )	and and
The foregoing instrument was subscribed and acknowledged before Sireesha Chemburu.	e me, this/3 day of April , 2010 by
Sireesha Chemburu.  Notary Pub	lace in
My Commission Expires:	160명 : 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1
6-23-12 Seal:	OFFICIAL SEAL
	Barbara Davis  NOTARY PUBLIC  STATE OF NEW MEXICO

Assignor (Thomas Corbitt) Address:  Albuquerque, NM USA	<u>5/15/09</u> Date
STATE OF NEW MEXICO ) COUNTY OF BERNALILLO )	ss.
The foregoing instrument was subscribed an by <b>Thomas Corbitt</b> .	nd acknowledged before me, this 5 <sup>th</sup> day of May, 200 <sup>9</sup>
My Commission Expires:	Notary Public  Seal:  OFFICIAL SEAL  Cara R. Hajovsky  NOTARY PUBLIC  STATE OF NEW MEXICO  My Commission Expires: U25 2.011
Assignor (Linnea K. Ista) Address: 4912 Inspiration Dr., SE Albuquerque, NM 87108 USA	ll may 2010 Date
STATE OF NEW MEXICO ) COUNTY OF BERNALILLO )	ss.
The foregoing instrument was subscribed at by Linnea K. Ista.	and acknowledged before me, this 11 day of, _2010
My Commission Expires: August 8, 2011	Seal:

Assignor (Thomas Corbitt) Address:	<del></del>	<u>\$/15/09</u> Date	
Albuquerque, NM USA  STATE OF NEW MEXICO  COUNTY OF BERNALILLO  The foregoing instrument was sul by Thomas Corbitt.	) ) ) oscribed a	ss. and acknowledged before me, this <mark>ST d</mark> ay of <u>May</u> , <u>2</u>	00 <sup>9</sup>
My Commission Expires:		Notary Public  Seal:  OFFICIAL SEAL  Cara R. Hajovsky  NOTARY PUBLIC  STATE OF NEW MEXICO  My Commission Expires: U25   2011	, , ,
Assignor (Linnea K. Ista) Address: 4912 Inspiration Dr., SE Albuquerque, NM 87108 USA STATE OF NEW MEXICO	)	Date ss.	
COUNTY OF BERNALILLO  The foregoing instrument was sul by Linnea K. Ista.	) oscribed an	and acknowledged before me, this day of,	
My Commission Expires:		Notary Public Seal:	

Assignor (Cabriel P. Lopez) Address:  1105 Dartmouth, NE Albuquerque, NM 87106 USA	8/1/89 Date
STATE OF NEW MEXICO )  COUNTY OF BERNALILLO )  The foregoing instrument was subscribed a	ss. nd acknowledged before me, this 11 <sup>th</sup> day of August, 2009
My Commission Expires:  August 8, 2011	Notary Public Seal:
Assignor (David G. Whitten) Address: 5435 La Colonia Drive NW Albuquerque, NM 87120 USA	8/11/09 Date
STATE OF NEW MEXICO ) COUNTY OF BERNALILLO )	ss.
The foregoing instrument was subscribed a by David G. Whitten.  My Commission Expires:	nd acknowledged before me, this May of August, 2009  Seal:

**PATENT** 

REEL: 024374 FRAME: 0277

RECORDED: 05/12/2010