

Form PTO-1595 (Rev. 03/05)
OMB No. 0651-0027 (exp. 6/30/2005)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

DIRK WEICKMANN

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 12/11/2009

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: ABiTec Angewandte Bio-Technologie GbR

Internal Address: _____

Street Address: Ligsalzstrasse 36

Rueckgebaude

City: Muenchen

State: _____

Country: Germany Zip: D-80339

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s) 12/520,258

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Arles A. Taylor, Jr.

Jenkins, Wilson, Taylor & Hunt, P.A.

Internal Address: _____

Street Address: Suite 1200, University Tower

3100 Tower Boulevard

City: Durham

State: NC Zip: 27707

Phone Number: 919-493-8000

Fax Number: 919-419-0383

Email Address: ataylor@jwth.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

a. Credit Card Last 4 Numbers _____
Expiration Date _____

b. Deposit Account Number 50-0426

Authorized User Name JENKINS, WILSON,

TAYLOR & HUNT, P.A.

Attorney Docket No.: 1406/631

9. Signature:



Signature

May 14, 2010

Date

Arles A. Taylor, Jr.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

3

CH \$40.00 600426 12620268

PATENT**Atty Docket: 1406/631****ASSIGNMENT**

WHEREAS, I, Dirk Weickmann of Muenchen, Germany, hereinafter ("Assignor"), have invented certain new and useful improvements in PHARMACEUTICAL COMPOSITION, USE OF THE PHARMACEUTICAL COMPOSITION FOR TREATING A BRAIN TUMOR, PRODUCTION PROCESS THEREOF AND A KIT OF PARTS COMPRISING THE PHARMACEUTICAL COMPOSITION for which application for a U.S. Letters Patent was made as national phase application number 12/520,258, filed June 19, 2009 based on PCT/EP2007/064355 filed December 20, 2007 (which claims priority to German Application No. 10 2006 060 344.3 filed December 20, 2006).

AND, WHEREAS, ABiTec Angewandte Bio-Technologie GbR, a corporation duly organized and existing under the laws of Germany, having its principal office and place of business at Ligsalzstrasse 36 – Rueckgebaude D-80339 Muenchen, Germany (hereinafter "Assignee"), is desirous of acquiring an interest therein.

NOW, THEREFORE, To Whom It May Concern, be it known that for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor has sold and by these presents does hereby sell, assign, transfer and convey unto the said Assignee, its successors and assigns, Assignor's entire right, title and interest in and to the said invention and application, and in and to any and all continuations, continuations-in-part, or divisions thereof, and in and to any and all Letters Patent of the United States of America and all foreign countries or reissues thereof which may be granted therefor or thereon, for the full end of the term for which said Letters Patent may be granted, together with Assignor's right to claim the priority of said application in all foreign countries in accordance with the International Convention, the same to be held and enjoyed by said Assignee, its successors and assigns, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and sale had not been made.

Assignor hereby requests that said Letters Patent be issued in accordance with this assignment.

Assignor further covenants and agrees that, at the time of the execution and delivery of these presents, Assignor possesses full title to the invention and

application above-mentioned, and that Assignor has the unencumbered right and authority to make this assignment.

Assignor further covenants and agrees, and likewise binds Assignor's heirs, legal representatives and assigns, to promptly communicate to said Assignee or its representatives any facts known to them relating to said invention, to testify in any interference or legal proceedings involving said invention, to execute any additional papers which may be requested to confirm the right of the Assignee, its representatives, successors and assigns to secure patent or similar protection for the said invention in all countries and to vest in the Assignee complete title to the said invention and Letters Patent, without further compensation, but at the expense of said Assignee, its successors, assigns and other legal representatives.

Executed this 11 day of December 2009.


Dirk Weickmann

Witnessed by:

C. Borschlegel 11.12.2009

Name Christine BORNSCHLEGEL Date

V. Klimm 11.12.2009

Name Verena KLIMM Date