

Form PTO-1595 (Rev. 09/04)  
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U.S. DEPARTMENT OF COMMERCE  
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## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)/Execution Date(s):**

MARK SAMUEL BURDIS

Execution Date(s): May 10, 2010

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

**3. Nature of Conveyance:**

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

**2. Name and address of receiving party(ies)**

Name: SAGE ELECTROCHROMICS, INC.

Internal Address: \_\_\_\_\_

Street Address: \_\_\_\_\_

ONE SAGE WAY

City: FARIBAULT

State: MINNESOTA

Country: United States of America Zip: 55021

Additional name(s) & address(es) attached? ☐ Yes ☒ No

**4. Application or patent number(s):**

A. Patent Application No.(s)  
12/765,224

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Thomas M. Finetti  
LERNER, DAVID, LITTENBERG,  
KRUMHOLZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: SAGE 3.0-026

Street Address: 600 South Avenue West

City: Westfield  
State: NJ Zip: 07090  
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Fax Number: (908) 654-0415  
Email Address: tfinetti@ldlkm.com

**6. Total number of applications and patents involved:**

1


7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card  
☒ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

**8. Payment Information**

a. Credit Card Last 4 Numbers \_\_\_\_\_  
Expiration Date \_\_\_\_\_  
b. Deposit Account Number 12-1095  
Authorized User Name \_\_\_\_\_

**9. Signature:**

  
Signature

May 17, 2010

Date

Thomas M. Finetti - 61,881  
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

2

CH \$40.00 121095 12765224

<p style="text-align: right; font-size: small; margin: 0;">former PTO/SB/15 (8-09) Modified LDLKM</p> <p style="text-align: center; font-weight: bold; font-size: 1.2em;">ASSIGNMENT OF UTILITY APPLICATION</p>	<p style="text-align: center; font-size: small;">Docket Number (Optional)</p> <p style="text-align: center; font-size: 1.1em;">SAGE3.0-026</p>
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Whereas, I, Mark Samuel Burdis of 1900 Legacy Drive; Faribault, Minnesota 55021

hereafter referred to as assignor, have invented certain new and useful improvements in

**SERIES CONNECTED ELECTROCHROMIC DEVICES**

☒ for which an application for a United States Letters Patent was filed on April 22, 2010

Application Number 12/765,224

☐ for which an application for a United States Letters Patent was executed by me on \_\_\_\_\_

and \_\_\_\_\_

Whereas, SAGE Electrochromics, Inc.

a corporation of New Jersey herein referred to as "assignee" whose mailing address is

One Sage Way; Faribault, Minnesota 55021

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00 ), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee the entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

10 MAY 2010

(Date)

Mark Burdis

(Signature)

State of Minnesota ) SS:

County of Rice )

On this 10<sup>th</sup> day of May, 2010 before me personally came the above-named Mark Samuel Burdis, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Katharine M. Riewe

(Notary Public)

