

Form PTO-1595 (Rev. 03-09)
OMB No. 0651-0027 (exp. 03/31/2009)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Timothy J. O'Brien
Kazushi Shigemasa
Martin J. Cannon
Alessandro Santin
John Beard

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) Sep. 25, 2003, Apr. 20-21, 26 & 28, 2004

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License

☒ Other CORRECTIVE ASSIGNMENT (015282/0066)

2. Name and address of receiving party(ies)

Name: Board of Trustees of the University of Arkansas

Internal Address: _____

Street Address: 2404 North University Avenue

City: Little Rock

State: Arkansas

Country: USA Zip: 72207-3608

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/652,993

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Benjamin Aaron Adler, Ph.D., J.D.

Internal Address: _____

Street Address: 8011 Candle Lane

City: Houston

State: Texas Zip: 77071

Phone Number: (713) 270-5391

Fax Number: (713) 270-5361

Email Address: benjamin.adler@mac.com

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

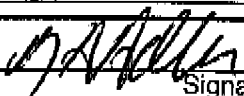
- ☐ Authorized to be charged to deposit account
☒ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number _____

Authorized User Name _____

9. Signature:


Signature

5/18/10
Date

Benjamin Aaron Adler, Ph.D., J.D.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 8

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

OP \$40.00 10652993

05-05-2004



102737682

MERCE

Patent and Trademark Office

Recordation Form Cover SheetTo the Honorable Commissioner of Patents and Trademarks, Please record the attached documents.

1. Name of conveying party(ies):
Kazushi Shigemasa
Timothy J. O'Brien
Martin J. Cannon
Alessandro Santin
John Beard
2. Name and address of receiving party(ies):
 Name: **The University of Arkansas**
for Medical Sciences
 Address: **4301 West Markham, Slot 518**
Little Rock, Arkansas 72207
- Additional names of conveying party(ies) attached?
☒ NO ☐ YES
3. Nature of Conveyance: ☒ Assignment ☐ Merger Additional names & addresses attached?
☐ Security Agreement ☐ Change of Name ☐ NO ☐ YES
☐ OTHER _____

Execution Date: **September 25, 2003, April 20, 2004, April 21, 2004 and April 26, 2004**

4. Application number(s) or patent number(s): **10/652,993**
 Additional numbers attached? ☒ NO ☐ YES
5. Name and address of party to whom correspondence concerning document should be mailed:
 Name: **Dr. Benjamin Adler**
ADLER & ASSOCIATES
 Address:
8011 Candle Ln.
Houston, Texas 77071
6. Total number of applications and patents involved: **1**
7. Total Fee (37 CFR 3.412):.....**\$40.00** ☐ enclosed ☒ authorized to charge deposit account
8. Deposit Account number: **07-1185** (duplicate copy attached if paying by deposit account)
9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Benjamin Aaron Adler, Ph.D., J.D.
 Name of person signing

Signature Date **4/29/04**

Total number of pages comprising cover sheet: 1

15/04/2004 DBYRNE 00000175 071185 10652993
 1 FC:8021 40.00 DA

D6223CIP/A/D/CIP4

ASSIGNMENT

WHEREAS, we, **Timothy J. O'Brien**, of 2610 North Pierce, Little Rock, AR 72207, **Martin J. Cannon**, of 6 Glenleigh Drive, Little Rock, AR 72227, **Alessandro Santin**, of 1421 N. University Ave., Apt. N-108, Little Rock, AR 72207, and **John Beard**, of 701 Green Mountain Drive, #2214, Little Rock, AL 72227 (hereinafter collectively called "ASSIGNORS"), am co-inventors and owner of certain new and useful improvements in *Methods for the Early Diagnosis of Ovarian Cancer*, an application for United States Letters Patent as filed August 29, 2003 as United States Serial No. 10/652,993.

WHEREAS, ~~The~~ ^{AAA} ~~System,~~ ^{AAA} ~~of~~ ^{AAA} **Board of Trustees of the University of Arkansas**, of 2404 North University Avenue, Little Rock, AR 72207-3608, (hereinafter called "ASSIGNEE"), is desirous of acquiring, and ASSIGNOR are desirous of assigning to ASSIGNEE, all right, title and interest in and to said invention and application identified above.

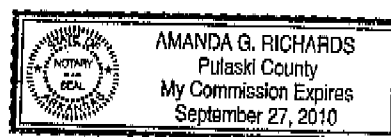
NOW, THEREFORE, ASSIGNORS, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by us, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our names or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other

PATENT

REEL: 024405 FRAME: 0432

Notary Public Amanda G. Richards

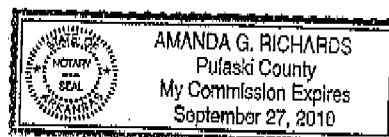
My Commission Expires: 9-27-10

DATE: 4/26/06Alessandro Santin
Alessandro SantinSTATE OF Arkansas:COUNTY OF Pulaski:

Before me this 26th day of April, 2004, personally appeared Alessandro Santin known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public Amanda G. Richards

My Commission Expires: 9-27-10

DATE: 4/21/04John Beard
John BeardSTATE OF Arkansas:COUNTY OF Pulaski:

Before me this 21st day of April, 2004, personally appeared John Beard known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

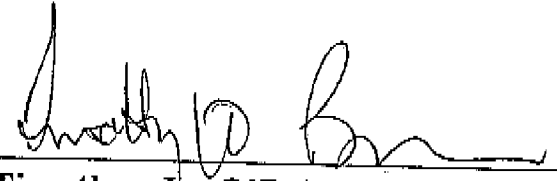
Notary Public Amanda G. Richards

My Commission Expires: 9-27-10

lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

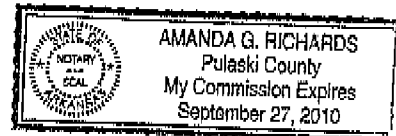
And we do further covenant and agree that we will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: 4/20/04


Timothy J. O'Brien

STATE OF Arkansas:

COUNTY OF Pulaski:

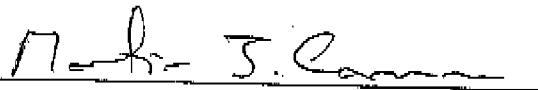


Before me this 20th day of April, 2004, personally appeared Timothy J. O'Brien known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public Amanda G. Richards

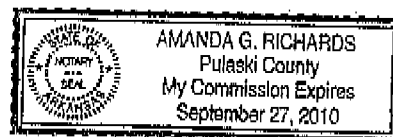
My Commission Expires: 9-27-10

DATE: 4/20/04


Martin J. Cannon

STATE OF Arkansas:

COUNTY OF Pulaski:



Before me this 20th day of April, 2004, personally appeared Martin J. Cannon known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

D6223CIP/A/D/CIP4

ASSIGNMENT

WHEREAS, I, **Kazushi Shigemasa**, of 11-3-203, Minami-ku, Hiroshima, 734-0002, Japan (hereinafter collectively called "ASSIGNOR"), am co-inventor and owner of certain new and useful improvements in *Methods for the Early Diagnosis of Ovarian Cancer*, an application for United States Letters Patent as filed August 29, 2003 as United States Serial No. 10/652,993

WHEREAS, ~~The~~ ^{AAH} Board of Trustees of the University of Arkansas ~~System~~, of 2404 North University Avenue, Little Rock, AR 72207-3608, (hereinafter called "ASSIGNEE"), is desirous of acquiring, and ASSIGNOR are desirous of assigning to ASSIGNEE, all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNOR, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

And I do further covenant and agree that I will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters

PATENT

REEL: 024405 FRAME: 0435

Patent or the file history thereof as may be known to us, and testify as to the same in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: September 25, 2003

Kazushi Shigemasa
Kazushi Shigemasa

STATE OF Hiroshima:

COUNTY OF Japan:

Before me this _____ day of _____, 2003, personally appeared _____ known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public

My Commission Expires: