

Form PTO-1595 (Rev. 03-09)  
OMB No. 0651-0027 (exp. 03/31/2009)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

Koch, Drake L.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) AUG. 3, 2009

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: \_\_\_\_\_

Internal Address: DL GRAY AND SONS NOMINEES PTY LTD

Street Address: 9/81 RIVERSTONE PARADE

City: RIVERSTONE

State: NSW 2765

Country: AUSTRALIA Zip: \_\_\_\_\_

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)

☐ This document is being filed together with a new application.

B. Patent No.(s)

D 613,423 S

Additional numbers attached? ☒ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Kenneth W. Iles

Internal Address: \_\_\_\_\_

Street Address: 9903 WEST 129TH ST

City: OVERLAND PARK

State: KS Zip: 66213-3222

Phone Number: 913-897-6738

Fax Number: 913-681-0493

Email Address: kennethiles@kc.rr.com

### 6. Total number of applications and patents involved: ONE

### 7. Total fee (37 CFR 1.21(h) & 3.41) \$NONE

PTO ERROR

- ☐ Authorized to be charged to deposit account  
☐ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number NONE--PTO ERROR

Authorized User Name \_\_\_\_\_

### 9. Signature:

Kenneth W. Iles

Signature

06/03/2010  
Date

Kenneth W. Iles

PTO Ref 30395 Total number of pages including cover sheet, attachments, and documents: 2

Name of Person Signing

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

U.S. PTO  
29/315928  
08/06/2009

Form PTO-1595 (Rev. 03-09)  
OMB No. 0551-0027 (exp. 03/31/2009)

DEPARTMENT OF COMMERCE  
Patent and Trademark Office

08-14-2009

|  |  |   |  |   |  |
|--|--|---|--|---|--|
| <p>Form PTO-1595 (Rev. 03-09)<br/>OMB No. 0551-0027 (exp. 03/31/2009)</p>  |  | <p>U.S. PTO<br/>29/315928<br/>08/06/2009</p>  |  | <p>DEPARTMENT OF COMMERCE<br/>Patent and Trademark Office</p> |  |
| <p>8-6-09</p>  |  | <p>RECC</p>   |  | <p>103570932</p>  |  |
| <p>To the Director of the U.S. Patent and Trademark Office</p>   |  | <p>or the new address(es) below.</p>  |  | <p>103570932</p>  |  |
| <p>1. Name of conveying party(ies)</p> <p>DRAKE L KOCH</p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input type="checkbox"/> No</p>  |  | <p>2. Name and address of receiving party(ies)</p> <p>Name: <u>GL Gray and Sons Nominees Pty Ltd</u></p> <p>Internal Address: _____</p> <p>Street Address: <u>9/81 Riverstone Parade</u></p> <p>City: <u>Riverstone</u></p> <p>State: <u>NSW</u></p> <p>Country: <u>Australia</u> Zip: <u>2765</u></p> <p>Additional name(s) &amp; address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>                                  |  |   |  |
| <p>3. Nature of conveyance/Execution Date(s):</p> <p>Execution Date(s) <u>08/03/2009</u></p> <p><input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger</p> <p><input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name</p> <p><input type="checkbox"/> Joint Research Agreement</p> <p><input type="checkbox"/> Government Interest Assignment</p> <p><input type="checkbox"/> Executive Order 9424, Confirmatory License</p> <p><input type="checkbox"/> Other _____</p> |  | <p>4. Application or patent number(s):</p> <p>A. Patent Application No.(s)</p> <p>DESIGN APPLICATION—LADDER SAFETY DEVICE</p> <p><input checked="" type="checkbox"/> This document is being filed together with a new application.</p> <p>B. Patent No.(s)</p> <p>Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p>  |  |   |  |
| <p>5. Name and address to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Kenneth W. Iles</u></p> <p>Internal Address: _____</p> <p>CUSTOMER NO.: <u>88019</u></p> <p>Street Address: <u>9903 West 129th Street</u></p> <p>City: <u>Overland Park</u></p> <p>State: <u>KS</u> Zip: <u>66213-3222</u></p> <p>Phone Number: <u>913-897-6738</u></p> <p>Fax Number: <u>913-681-0493</u></p> <p>Email Address: <u>kennethiles@kc.rr.com</u></p>  |  | <p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 1.21(h) &amp; 3.41) \$ <u>40.00</u></p> <p><input type="checkbox"/> Authorized to be charged to deposit account</p> <p><input checked="" type="checkbox"/> Enclosed</p> <p><input type="checkbox"/> None required (government interest not affecting title)</p> <p>8. Payment Information</p> <p>Deposit Account Number _____</p> <p>Authorized User Name _____</p> |  |   |  |
| <p>9. Signature: <u>Kenneth W. Iles</u></p> <p>Signature</p> <p>Kenneth W. Iles</p> <p>Name of Person Signing</p>  |  | <p>Date: <u>06 AUG. 2009</u></p> <p>Total number of pages including cover sheet, attachments, and documents: <u>2</u></p>   |  |   |  |

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

RECEIVED  
8/22/2009  
AUG 22 2009 KwI

08/18/2009 EREGAY1 00000007 29315928

01 FC:0021

48.00 DP

PATENT  
REEL: 024483 FRAME: 0158

ASSIGNMENT

WHEREAS, I, DRAKE L. KOCH, a citizen of the United States having an address at 3309 Genessee Street, Kansas City, Missouri 64111-3758 U.S.A., is the owner of the entire right, title, and interest in and to the following Patent Applications for his invention:

U.S. DES. PATENT APPLICATION SER. NO. : Not assigned  
 FILING DATE : Concurrently herewith  
 TITLE : LADDER SAFETY DEVICE  
 Corresponding to and included herein:  
 Australian Design Registration No. 324977  
 Filing Date : 18 February 2009

AND WHEREAS, DL GRAY AND SONS NOMINEES PTY LTD, an Australian Company, having its principal place of business at 9/81 Riverstone Parade, Riverstone NSW 2765, Australia, is desirous of acquiring the entire right, title, and interest in and to the above-identified Patent Application;

NOW THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, DRAKE L. KOCH by these presents, does hereby sell, assign, and transfer to said DL GRAY AND SONS NOMINEES PTY LTD its successors and assigns, the entire right, title, and interest in and to the above-identified patent, including all reissues, divisions, continuations, continuations-in-part, refiles, corresponding foreign patent applications, design registrations and similar properties and extensions thereof, and the inventions disclosed or disclosed and claimed therein, to be held and enjoyed by DL GRAY AND SONS NOMINEES PTY LTD as fully and entirely as it would have been held and enjoyed by DRAKE L. KOCH, if this sale and assignment had not been made, including all claims, demands, and right of recovery that DRAKE L. KOCH has or may have in profits and damages for past and future infringement, if any, and all rights to compromise, sue for, and collect such profits and damages.

  
 Signed: DRAKE L. KOCH

8-3-09  
 Date:

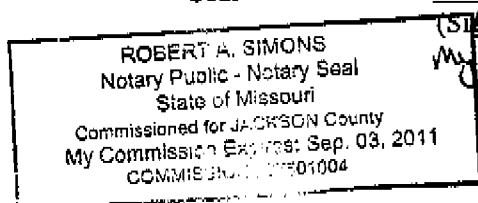
State of Missouri . . . }

ss.

County of Jackson . . . }

On this 3rd day of August, 2009, before me appeared DRAKE L. KOCH, the person who signed this instrument, who acknowledged that he signed it as a free act on his own behalf.

Seal



  
 (Signature of Notary)

My Commission Expires:  
SEP 3, 2011

PATENT

REEL: 024483 FRAME: 0159

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 18, 2009

PTAS



\*103570932A\*

KENNETH W. ILES  
9903 WEST 129TH STREET  
OVERLAND PARK, KS 66213-3222

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 08/06/2009

REEL/FRAME: 023089/0757  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KOCH, DRAKE L.

DOC DATE: 08/03/2009

ASSIGNEE:

GL GRAY AND SONS NOMINEES PTY LTD  
9/81 RIVERSTONE PARADE  
RIVERSTONE, NSW, AUSTRALIA 2765

SERIAL NUMBER: 29315928

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE:

703 756-1277

RECEIVED

8/22/2009

AUG 22 2009

RWI

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT SERVICES BRANCH  
PUBLIC RECORDS DIVISION

Form PTO-1595 (Rev. 03-09)  
OMB No. 0651-0027 (exp. 03/31/2009)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

### 1. Name of conveying party(ies)

DRAKE L KOCH

Additional name(s) of conveying party(ies) attached? ☐ Yes ☐ No

### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) 08/03/2009

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Joint Research Agreement  
☐ Government Interest Assignment  
☐ Executive Order 9424, Confirmatory License  
☐ Other \_\_\_\_\_

### 2. Name and address of receiving party(ies)

Name: GL Gray and Sons Nominees Pty Ltd

Internal Address: \_\_\_\_\_

Street Address: 9/81 Riverstone Parade

City: Riverstone

State: NSW

Country: Australia

Zip: 2765

Additional name(s) & address(es) attached? ☐ Yes ☒ No

### 4. Application or patent number(s):

A. Patent Application No.(s)

DESIGN APPLICATION - LADDER SAFETY DEVICE

☒ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Kenneth W. Iles

Internal Address: \_\_\_\_\_

CUSTOMER NO.: 88019

Street Address: 9903 West 129th Street

City: Overland Park

State: KS Zip: 66213-3222

Phone Number: 913-897-6738

Fax Number: 913-681-0493

Email Address: kennethiles@kc.rr.com

### 6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged to deposit account  
☒ Enclosed  
☐ None required (government interest not affecting title)

### 8. Payment Information

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

### 9. Signature:

Kenneth W. Iles  
Signature

06 AUG. 2009  
Date

Kenneth W. Iles

Name of Person Signing

PTO Reg. 30395

Total number of pages including cover sheet, attachments, and documents:

2

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

RECORDED: 06/03/2010

PATENT  
REEL: 024483 FRAME: 0161