

Form PTO-1595 (Rev. 03-09)
OMB No. 0651-0027 (exp. 03/31/2009)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

M. LINDA LIN
WILLIAM H. SUN
JOHN M. BOYLE
PENELOPE STAMATAKIS

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: FUEL TECH, INC.

Internal Address: _____

Street Address: 27601 BELLA VISTA PARKWAY

City: WARRENVILLE

State: IL

Country: US Zip: 60555

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 6/12/2007, 6/13/2007

- Assignment Merger
- Security Agreement Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other _____

4. Application or patent number(s):

A. Patent Application No.(s)
12/615,622

This document is being filed together with a new application.
B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: THADDIUS J. CARVIS

Internal Address: LAW OFFICES OF THADDIUS J. CARVIS

Street Address: 102 NORTH KING STREET

City: LEESBURG

State: VA Zip: 20176

Phone Number: (703) 737-7817

Fax Number: (703) 737-7813

Email Address: admin@tjcarvis.com

6. Total number of applications and patents involved: one

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 502735

Authorized User Name THADDIUS J. CARVIS

9. Signature:

Nancy S. Guth
Signature

June 16, 2010
Date

NANCY S. GUTH
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 502735 12615622

Privacy Act Statement for Patent Assignment Recordation Form Cover Sheet

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with the above request for information. This collection of information is authorized by 35 U.S.C. 1, 2, 261 and E.O. 9424. This information will primarily be used by the USPTO for the recordation of assignments related to patents and patent applications. Submission of this information is voluntary but is required in order for the USPTO to record the requested assignment. If you do not provide the information required on the cover sheet, the assignment will not be recorded, and all documents will be returned to you.

After the information is recorded, the records and associated documents can be inspected by the public and are not confidential, except for documents that are sealed under secrecy orders or related to unpublished patent applications. Assignment records relating to unpublished patent applications are maintained in confidence in accordance with 35 U.S.C. 122. Records open to the public are searched by users for the purpose of determining ownership for other property rights with respect to patents and trademarks.

Routine uses of the information you provide may also include disclosure to appropriate Federal, state, local, or foreign agencies in support of their enforcement duties and statutory or regulatory missions, including investigating potential violations of law or contract and awarding contracts or other benefits; to a court, magistrate, or administrative tribunal in the course of presenting evidence; to members of Congress responding to requests for assistance from their constituents; to the Office of Management and Budget in connection with the review of private relief legislation; to the Department of Justice in connection with a Freedom of Information Act request; to a contractor in the performance of their duties; to the Office of Personnel Management for personnel studies; and to the General Services Administration (GSA) as part of their records management responsibilities under the authority of 44 U.S.C. 2904 and 2906. Such disclosure to GSA shall not be used to make determinations about individuals.

(FT P3041A CIP US)

ASSIGNMENT

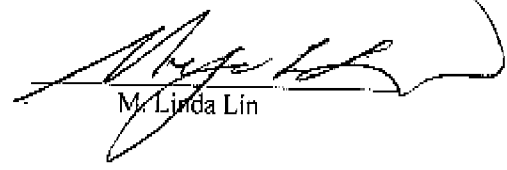
IN CONSIDERATION of One Dollar (\$1.00) to us in hand paid, receipt of which is hereby acknowledged, and for other good and valuable consideration, We, M. Linda Lin, residing at 8S325 Wehrli Road, Naperville, IL 60540; William H. Sun, residing at 2285 Pebble Creek Drive, Lisle, IL 60532; John M. Boyle, residing at 1047 N. Lombard Avenue, Oak Park, IL 60302 and Penelope Stamatakis, residing at 99 Briarcliff, Montgomery, IL 60538, being joint inventors of a certain Invention for a SELECTIVE CATALYTIC REDUCTION OF NOx ENABLED BY UREA DECOMPOSITION IN HEAT-EXCHANGER BYPASS (FT P3041A CIP US), as fully set forth and described in U.S. Patent Application Serial Number 11/695,971, filed April 3, 2007, a continuation-in-part of U.S. Serial No. 10/031,724, filed July 3, 2003, preparatory to obtaining Letters Patent of the United States therefor, being free from all prior assignments and having the right to convey the entire interest, both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto FUEL TECH, INC., a corporation organized and existing under and by virtue of the laws of the State of Massachusetts, and having an office and place of business at 512 Kingsland Drive, Batavia, IL 60510, (The Corporation) its successors and assigns, the entire right, title and interest in and to said Application and Invention together with all patents, either United States or foreign, which may be granted therefor, or on any other application claiming common priority thereto and all divisions, reissues, renewals, continuations, continuations-in-part, substitutes and extensions thereof.

AND, WE hereby covenant and agree that we will communicate to said Corporation, its successors and assigns, all facts known to us respecting said Invention, and will, upon its request at any time, testify in any legal proceedings, sign all lawful papers, execute all original, divisional, continuation, continuation-in-part, substitute and reissue applications and any other U.S. or international application claiming common priority to said Application, execute all assignments, make all rightful oaths and generally do everything reasonable and proper to aid said Corporation, its successors, assigns and nominees, to obtain and enforce proper protection for said Invention in all countries.

Assignment
Serial No. 11/695,971

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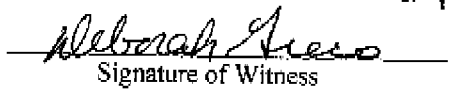
IN WITNESS WHEREOF, I, M. Linda Lin, have hereunto set my hand and affixed my seal this
13th day of June, 2007.

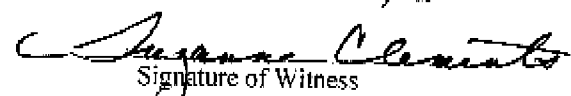

M. Linda Lin

Declaration of Witnesses

We declare that we were personally present and did see M. Linda Lin who is personally known to us to be the person named in this assignment, duly sign and execute the same.

Declared at Batavia, IL on 6/13/2007.

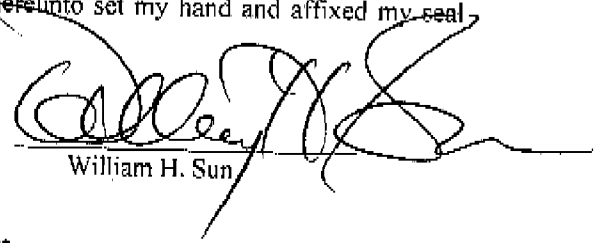

Signature of Witness


Signature of Witness

DEBORAH GRECO
Name of Witness

Suzanne Clements
Name of Witness

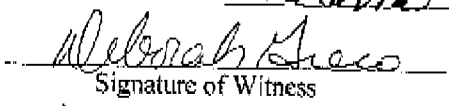
IN WITNESS WHEREOF, I, William H. Sun, have hereunto set my hand and affixed my seal
this 12th day of June, 2007.

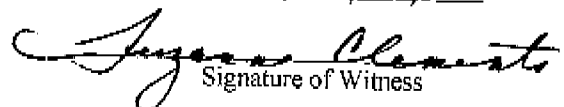

William H. Sun

Declaration of Witnesses

We declare that we were personally present and did see William H. Sun who is personally known to us to be the person named in this assignment, duly sign and execute the same.

Declared at Batavia, IL on 6/12/07.


Signature of Witness


Signature of Witness

DEBORAH GRECO
Name of Witness

Suzanne Clements
Name of Witness

Assignment
Serial No. 11/695,971

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IN WITNESS WHEREOF, I, John M. Boyle, have hereunto set my hand and affixed my seal this
13th day of JUNE, 2007.

John M. Boyle
John M. Boyle

Declaration of Witnesses

We declare that we were personally present and did see John M. Boyle who is personally known to us to be the person named in this assignment, duly sign and execute the same.

Declared at Batavia, IL on 6/13/07

Deborah Greco
Signature of Witness

DEBORAH GRECO
Name of Witness

Suzanne Clements
Signature of Witness

Suzanne Clements
Name of Witness

IN WITNESS WHEREOF, I, Penelope Stamatakis, have hereunto set my hand and affixed my seal this 13th day of June, 2007.

Penelope Stamatakis
Penelope Stamatakis

Declaration of Witnesses

We declare that we were personally present and did see Penelope Stamatakis who is personally known to us to be the person named in this assignment, duly sign and execute the same.

Declared at Batavia, IL on 6/13/07

Deborah Greco
Signature of Witness

DEBORAH GRECO
Name of Witness

Suzanne Clements
Signature of Witness

Suzanne Clements
Name of Witness