

PATENT ASSIGNMENT

Electronic Version v1.1
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SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT
CONVEYING PARTY DATA	
Name	Execution Date
Isaac Louis Bass	06/15/2010
Gabriel Mark Guss	06/15/2010
RECEIVING PARTY DATA	
Name:	Lawrence Livermore National Security, LLC
Street Address:	2300 First Street, Suite 204
City:	Livermore
State/Country:	CALIFORNIA
Postal Code:	94550
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	12818094
CORRESPONDENCE DATA	
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<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
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Address Line 1:	7000 East Avenue
Address Line 2:	P.O. Box 808, L-703
Address Line 4:	Livermore, CALIFORNIA 94550
ATTORNEY DOCKET NUMBER:	IL-12213
NAME OF SUBMITTER:	John H. Lee
Total Attachments: 3 source=IL-12213_rec_and_assign#page1.tif source=IL-12213_rec_and_assign#page2.tif	

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**PATENT
 REEL: 024572 FRAME: 0671**

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies) 1. Isaac Louis Bass; 2. Gabriel Mark Guss Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies) Name: <u>Lawrence Livermore National Security, LLC</u> Internal Address: _____ _____ Street Address: <u>2300 First Street, Suite 204</u> _____ City: <u>Livermore</u> State: <u>California</u> Country: <u>US</u> Zip: <u>94550</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance/Execution Date(s): Execution Date(s) <u>1. 6/15/2010; 2. 6/15/2010</u> <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____	4. Application or patent number(s): <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s) <u>12/818094</u> B. Patent No.(s) <u>NA</u> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
5. Name and address to whom correspondence concerning document should be mailed: Name: <u>LLNL</u> Internal Address: <u>P.O. Box 808, L-703</u> _____ Street Address: <u>7000 East Avenue</u> _____ City: <u>Livermore</u> State: <u>California</u> Zip: <u>94550</u> Phone Number: <u>925 422-7272</u> Fax Number: _____ Email Address: <u>clinkenbeard3@llnl.gov</u>	6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 1.21(h) & 3.41) \$ <u>40.00</u> <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)
9. Signature: _____ /John H. Lee, #53193/ _____ <div style="display: flex; justify-content: space-between; width: 100%;"> Signature Date </div> _____ John H. Lee Name of Person Signing	8. Payment Information Deposit Account Number <u>120695</u> Authorized User Name <u>Linda Clinkenbeard</u> Total number of pages including cover sheet, attachments, and documents: 3

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
 Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

LLNL Case No.: IL-12213

For good and valuable consideration the receipt of which is hereby acknowledged ASSIGNOR(s)

- | | |
|----------------------------|-----------------------------|
| 1. <u>Isaac Louis Bass</u> | 2. <u>Gabriel Mark Guss</u> |
| 3. _____ | 4. _____ |
| 5. _____ | 6. _____ |
| 7. _____ | 8. _____ |
| 9. _____ | 10. _____ |

hereby sells, assigns and transfers to ASSIGNEE, Lawrence Livermore National Security, LLC, a Delaware Corporation, having its administrative offices located at 2300 First Street, Suite 204, Livermore, CA 94550 and the successors, assigns and legal representative of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

METHOD AND SYSTEM FOR LASER-BASED FORMATION OF MICRO-SHAPES IN SURFACES OF OPTICAL ELEMENTS

and which is found in

- U.S. patent application executed on June 15, 2010, entitled as above and listing the above named persons as inventors
- U.S. patent application serial no. 12/818094, filed on June 17, 2010
- U. S. patent no. _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, Lawrence Livermore National Security, LLC.

executed this

Signature of Inventor(s)

10/15/10
Date
6/15/10
ILB

Isaac Louis Bass
Isaac Louis Bass

LLNL Case No.: IL-12213

executed this

6/15/10

Date

Signature of Inventor(s)

Gabriel Mark Guss

Gabriel Mark Guss