# Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT
NATURE OF CONVEYANCE:	ASSIGNMENT

### **CONVEYING PARTY DATA**

Name	Execution Date
Ssucheng Jeff Hsu	07/14/2009
Linda Masat	07/20/2009

### RECEIVING PARTY DATA

Name:	Xoma Technology Ltd
Street Address:	Clarendon House
Internal Address:	2 Church St
City:	Hamilton
State/Country:	BERMUDA
Postal Code:	HM11

#### PROPERTY NUMBERS Total: 1

Property Type	Number
Application Number:	12312837

## **CORRESPONDENCE DATA**

Fax Number: (617)871-3392

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Email: jessica.yao@novartis.com

Correspondent Name: Jessica Yao Address Line 1: 220 Mass Ave

Address Line 4: Cambridge, MASSACHUSETTS 02139

ATTORNEY DOCKET NUMBER: 52112-US-PCT

NAME OF SUBMITTER: Jessica Yao

**Total Attachments: 5** 

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PATENT REEL: 024593 FRAME: 0553



(Joint)

Patent Case 52112

## **ASSIGNMENT**

For good and valuable consideration, the receipt and adequacy of which are hereby acknowledged, we

Saucheng Jeff HSU	citizen of United States of America	2180 Sarah Court
	America	Pinote, California 94564
		US
Linda MASAT	citizen of Italy	2728 Sen Antonio Drive
		Walnut Creek, CA 94598
		US

do hereby self, assign and transfer to XOMA TECHNOLOGY LTD. of Clarendon House, 2 Church Street, Hamilton HM11 (BM), (hereinafter referred to as the "ASSIGNEE") and their successors, assigns and legal representatives, all of my right, title and interest for all countries of the world in and to (1) all of my inventions and discoveries described in the patent application(s) titled

# ANTAGONIST ANTIBODIES AGAINST EPHB3

and filled in the United States Patent and Trademark Office on \_\_\_\_\_\_, 20\_ and accorded Application \*and/or filed in the RO/US on December 6, 2007 and accorded international Patent Application Number PCT/US2007/086649\*. (2) the patent application(s) identified in (1), (3) all national stages of any international patent application identified in (1), (4) all other patent applications in all countries and regions claiming the priority of the provisional or non-provisional patent application filed in the United States Patent and Trademark Office on December 7, 2006 and accorded Application Number 60/873385, (5) for all patent applications, all rights of priority based upon the United States patent application Identified in (4) in all countries and regions under the Paris Convention for the Protection of Industrial Property, the Inter-American Convention relating to inventions, Patents, Designs, and Industrial Models and all other international agreements to which the United States now is or hereafter becomes a signatory and, if the United States patent application identified in (4) is a provisional patent application, under 36 USC 119(e) (including the right to file patent applications on said inventions and discoveries in the name of the ASSIGNEE or their designees or in our names, at their election and in accordance with applicable law in all countries and regions), (8) all continuations and divisions of any United States patent application or international patent application designating the United States identified in (1), any national stages of any international application identified in (1) and any patent applications within the scope of (4) (including further continuations and divisions such as, but not limited to, continuations of continuations and continuations of divisions), ((2)-(4) and (8) hereination referred to collectively as "patent applications"), (7) all patents that are granted on any of said patent applications, (8) all registrations and confirmations of, and importation certificates based upon, one or more of said patents and applications for such registrations, confirmations and importation certificates and (9) all reissues, renewals and extensions of said patents, registrations, confirmations and importation certificates, reexamination certificates issued for said patents. and supplementary protection certificates based upon said patents and applications for such reissues. renewals, extensions, reexamination certificates and supplementary protection certificates, the same to be held and enjoyed by said ASSIGNEE and their successors, assigns and legal representatives to the full ends of the terms for which said patents, registrations, confirmations, importation certificates, reexamination certificates, supplementary protection certificates, reissues, renewals and extensions may be granted, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made.

\*We hereby authorize the ASSIGNEE and their representatives to insert in this Assignment the filing date(s) and Application Number(s) of said patent application(s) when notified thereof.

And we hereby coverant and agree that we will, at any time, (i) upon the request, but at the expense, of ASSIGNEE or their successors, assigns or legal representatives execute and deliver all documents that may be necessary or desirable to perfect the title to the Toregoing inventions and discoveries, patent applications,

PATENT

# Page 2

patents, registrations, confirmations, importation certificates, reissues, renewals, extensions, reexamination certificates, supplementary protection certificates and applications within the scope of (8) and (9) in ASSIGNEE or their successors, assigns or legal representatives, including the execution and procurement of all further documents evidencing this sale, assignment and transfer as may be necessary or desirable for recording the same in the patent office or other intellectual property office, agency or the like of any country or region, (ii) upon the request, but at the expense, of ASSIGNEE or their successors, assigns or legal representatives execute all additional patent applications within the scope of (3), (4) and (6) and all applications within the scope of (5) or (9) and (iii) make all rightful ceths and declarations and do all tawful acts requisite for procuring the same or for aiding therein, without further compensation, but at the expense of ASSIGNEE or their successors, assigns or legal representatives.

This Assignment is effective as from the earliest priority date as stated above.

Executed this 14 day of July 2009
therase 18
Ssucheng Jeff Hsu
ACKNOWLEDGEMENT
State of CALIFORNIA)
County of SAN DIEGO ) s.s.:
On this 14 day of JULY , 2019 personally appeared before me
South EVE 15 FT H5U to me known and known by me to be the same person describe in and who executed the foregoing Assignment and acknowledged that is the executed the same of his the own free will for the purpose set forth.
(Seal)
BARBARA A. LINKER BARBARA A. LINKER Notary Public
COMM. #1796524

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ı	Executed this 20th day of July , 2009.  Author Masat L.S.	
	ACKNOWLEDGEMENT	
or l	State of <u>(alifornic</u> )	
N/	and the second s	
	On this 20 day of July , 20 9, persona	ally appeared before me
	LTDOA HASAT to me known as	nd known by me to be the same person described
	in and who executed the foregoing Assignment and acknow own free will for the purpose set forth.	weeged that (s)ne executed the same of par (her)
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	SUNIL JASWAL COMM. # 1656188 NOTARY PIRE IC-CRIPOTHEACO ALAMEDA COUNTY O	Inotary Public John Pales
	My Commission Expires April 2, 2010	on of the or Collours, County of SAN FRANCISCO CONTROL SAN FRANCISCO
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		Twil James population

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Case No. 52112

# ACCEPTANCE OF ASSIGNMENT

With reference to the Assignment to XOMA TECHNOLOGY LTD. of an invention entitled "ANTAGONIST ANTIBODIES AGAINST EPHB3" signed by Ssucheng Jeff Hsu, and Linda Masat; this will certify that XOMA TECHNOLOGY LTD. accepts such Assignment.

Ву:	Children & Weight
Name :	Christopher J. Margoln
Function :	Vice President, General Counsel & Secretary
Date:	July 20, 2009
	3

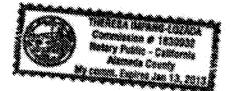
PATENT REEL: 024593 FRAME: 0557 State of California County of Alameda

on July 20, 2009 b	efore me. Theresa langu	ng-Lozada, Notary Public
personally appeared (\(\frac{\lambda \lambda \lambda}{\lambda}\) of satisfactory evidence to be the	CCJ. Maraslin	proved to me on the basis
WIOID Instrument		AND SUPPLIFFED TO THE
that halahalthan everytod the	ar ar	nd acknowledged to me
his/her/their signature(s) on the in which the person(s) acted, execut	strument the person(s), or the	ne entity upon behalf of

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Wines The day official seal

**RECORDED: 06/25/2010** 



**PATENT**