

Form PTO-1595 (Rev. 09-04)
OMB No. 0651-0027 (exp. 07/30/2009)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)/Execution Date(s):

DANIEL TRIPLETT (06/22/2007), T. WADE FALLIN (06/18/2007), KAREN MOHR (06/22/2007), AND THIERRY MILLARD (05/16/2007)

Execution Date(s): in parentheses after inventor name

Additional name(s) or conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: STRYKER SPINE

Internal Address: _____

Street Address: _____

Z.I. de Marticot

City: Cestas

State: _____

Country: FRANCE Zip: F-33610

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

A. Patent Application No.(s)
12/705,001

☐ This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Brian R. Tomkins
LERNER, DAVID, LITTENBERG,
KRUMHOLTZ & MENTLIK, LLP

Internal Address: Atty. Dkt.: SPINE 3.0-513 DIV

Street Address: 600 South Avenue West

City: Westfield

State: NJ Zip: 07090

Phone Number: (908) 654-5000

Fax Number: (908) 654-0415

Email Address: _____

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☐ Authorized to be charged by credit card
☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting fee)

8. Payment Information

- a. Credit Card Last 4 Numbers _____
Expiration Date _____
b. Deposit Account Number 12-1095
Authorized User Name Brian R. Tomkins

9. Signature:

Brian R. Tomkins
Signature

June 30, 2010

Date

Brian R. Tomkins - 58,550
Name of Person Signing

Total number of pages including cover sheet, attachments, and documents: 5

former PTO/SB/15 (8-00) Modified LDLKM

ASSIGNMENT OF UTILITY APPLICATION

Docket Number (Optional)

SPINE 3.0-513

Whereas, I, Daniel Triplett of
180 South 600 West, Logan, Utah 84321

hereafter referred to as assignor, have with others invented certain new and useful improvements in

ROD INSERT[R AND ROD WITH REDUCED DIAMETER END

☒ for which an application for a United States Letters Patent was filed on January 9, 2007

Application Number 11/651,442

☐ for which an application for a United States Letters Patent was executed by me on _____
 and _____

France

Whereas, Stryker Spine

a corporation of United States of America herein referred to as "assignee" whose mailing address is

Z.I. de Maricot, F-13610 Cestas, FRANCE

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

6/22/07
 (Date)

[Signature]
 (Signature)

State of Utah) SS:
 County of Cache)

On this 22 day of June, 2007, before me personally came the above-named Daniel Triplett, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

[Signature]
 (Notary Public)



☒ *Total of 4 forms are submitted.

LD-528v

(former PTO/SB/15 (8-00) Modified LDLKM)

ASSIGNMENT OF UTILITY APPLICATION

Docket Number (Optional)

SPINE 3.0-513

Whereas, I, T. Wade Fallin of
210 East 200 South, Hyde Park, Utah 84318

hereafter referred to as assignor, have with others invented certain new and useful improvements in

ROD INSERTER AND ROD WITH REDUCED DIAMETER END

☒ for which an application for a United States Letters Patent was filed on January 9, 2007

Application Number 11/651,442

☐ for which an application for a United States Letters Patent was executed by me on _____
 and _____

France

Whereas, Stryker Spine

a corporation of United States of America herein referred to as "assignee" whose mailing address is

21 de Maricot, F-38010 Costas, FRANCE

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

June 18, 2007
 (Date)

T. Wade Fallin
 (Signature)

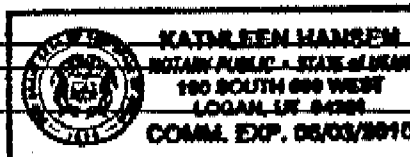
State of Utah) SS:
 County of Cache)

On this 18 day of June, 2007 before me personally came the above-named T. Wade Fallin, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Kathleen Hansen
 (Notary Public)

☒ *Total of 4 forms are submitted.

LD-528A



Former PTO/SB/15 (8-00) Modified LDLKM

ASSIGNMENT OF UTILITY APPLICATION

Docket Number (Optional)

SPINE 3.0-513

Whereas, I, Karen Mohr of
180 South 600 West, Logan, Utah 84321

hereafter referred to as assignor, have with others invented certain new and useful improvements in

ROD INSERTER AND ROD WITH REDUCED DIAMETER END

☒ for which an application for a United States Letters Patent was filed on January 9, 2007

Application Number 11/651,442

☐ for which an application for a United States Letters Patent was executed by me on _____
 and _____

France

Whereas, Stryker Spine

a corporation of United States of America herein referred to as "assignee" whose mailing address is

Z.I. de Maricot, F-91010 Ceslas, FRANCE

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

22 June 07
 (Date)

Karen Mohr
 (Signature)

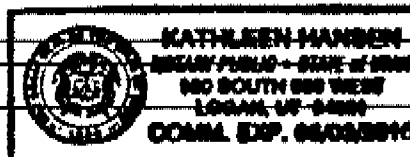
State of Utah) SS:
 County of Cache)

On this 22 day of June, 2007, before me personally came the above-named Karen Mohr, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

Kathleen Hansen
 (Notary Public)

☒ *Total of 4 forms are submitted.

LD-528)



(former PTO/SB/16 (8-00) Modified LDLKM)

ASSIGNMENT OF UTILITY APPLICATION

Docket Number (Optional)

SPINE 3.0-513

Whereas, I, Thierry Millard of
11, Chemin de la Cane; 33 610 Cestas; FRANCE

hereafter referred to as assignor, have with others invented certain new and useful improvements in

ROD INSERTER AND ROD WITH REDUCED DIAMETER END

☒ for which an application for a United States Letters Patent was filed on January 9, 2007
 Application Number 11/651,442

☐ for which an application for a United States Letters Patent was executed by me on _____
 and _____

France

Whereas, Stryker Spine

a corporation of United States of America herein referred to as "assignee" whose mailing address is

21, de Marlecol; F-31810 Cestas; FRANCE

is desirous of acquiring the entire right, title and interest in the same;

NOW, THEREFORE, in consideration of the sum of one dollars (\$ 1.00), the receipt whereof is acknowledged, and other good and valuable consideration, I as assignor hereby sell, assign and set over to said assignee my entire right, title and interest for the United States of America and all other countries in and to said invention and the aforesaid utility patent application and all original, divisional, continuation, continuation-in-part, substitute or reissue applications and patents applied for or granted therefor in the United States of America and all other countries, for said invention, including without limitation all applications and patents for said invention claiming priority or benefit of the aforesaid utility application pursuant to any law or treaty, and including the right to claim such priority or benefit and the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all patents on said improvements or resulting therefrom to said assignee herein, as assignee of the entire interest therein; and the undersigned for me and my legal representatives, heirs and assigns do hereby agree and covenant without further remuneration, to execute and deliver all original, divisional, continuation, reissue and other applications for Letters Patent on said improvements and all assignments thereof to said assignee or its assigns, to communicate to said assignee or its representatives all facts known to the undersigned respecting said improvements, whenever requested, to testify in any interferences or other legal proceedings in which any of said applications or patents may become involved, to sign all lawful papers, make all rightful oaths, and to do generally everything necessary to aid assignee, its successors, assigns and nominees to obtain patent protection for said improvements in all countries, the expenses incident to said applications to be borne and paid by said assignee.

And I do hereby authorize my attorneys to insert on this deed the filing date and application number of said application when known.

May 16 - 2007
 (Date)

(Signature)

State of _____) SS:
 County of _____)

On this _____ day of _____, _____ before me personally came the above-named Thierry Millard, to me personally known as the individual who executed the foregoing instrument, who acknowledged to me that the same was executed by him/her of his/her own free will for the purposes therein set forth.

(Notary Public)

☒ *Total of 4 forms are submitted.

LD-528A

702030-1

PATENT

RECORDED: 06/30/2010

REEL: 024634 FRAME: 0650