

Form PTO-1595 (Rev. 03-09)  
OMB No. 0651-0027 (exp. 03/31/2009)

U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

**1. Name of conveying party(ies)**

Timothy J. O'Brien

Additional name(s) of conveying party(ies) attached?  Yes  No

**3. Nature of conveyance/Execution Date(s):**

Execution Date(s) August 25, 2000

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other CORRECTIVE ASSIGNMENT (011069/0642)

**2. Name and address of receiving party(ies)**

Name: Board of Trustees of the University of Arkansas

Internal Address: \_\_\_\_\_

Street Address: 2404 North University Avenue

City: Little Rock

State: Arkansas

Country: USA Zip: 72207-3608

Additional name(s) & address(es) attached?  Yes  No

**4. Application or patent number(s):**

This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

6,268,165

Additional numbers attached?  Yes  No

**5. Name and address to whom correspondence concerning document should be mailed:**

Name: Benjamin Aaron Adler, Ph.D., J.D.

Internal Address: \_\_\_\_\_

Street Address: 8011 Candle Lane

City: Houston

State: Texas Zip: 77071

Phone Number: (713) 270-5391

Fax Number: (713) 270-5361

Email Address: benjamin.adler@mac.com

**6. Total number of applications and patents involved: one**

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

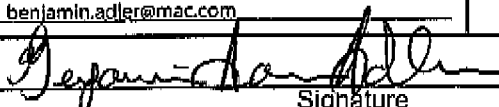
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

**8. Payment Information**

Deposit Account Number \_\_\_\_\_

Authorized User Name \_\_\_\_\_

**9. Signature:**



Signature

July 8, 2010

Date

Benjamin Aaron Adler, Ph.D., J.D.

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

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DEPT OF COMMERCE  
Trademark Office

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**Recordation Form Cover Sheet**

To the Honorable Commissioner of Patents and Trademarks. Please record the attached documents.

- 1. Name of conveying party(ies):  
**Timothy J. O'Brien**
- 2. Name and address of receiving party(ies):  
Name: **The University of Arkansas  
for Medical Sciences**  
Address: **4301 West Markham  
Slot 518  
Little Rock, Arkansas 77205-7199**

Additional names of conveying party(ies) attached?  
 NO  YES

- 3. Nature of Conveyance:  Assignment  Merger Additional names & addresses attached?  
 Security Agreement  Change of Name  NO  YES  
 OTHER \_\_\_\_\_

Execution Date: **August 25, 2000.**

- 4. Application number(s) or patent number(s): **09/510,738**  
Additional numbers attached?  NO  YES

- 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Dr. Benjamin Adler  
McGREGOR & ADLER**      Address: **8011 Candle Ln.  
Houston, Texas 77071**

- 6. Total number of applications and patents involved: 1
- 7. Total Fee (37 CFR 3.412):.....**\$40.00**     enclosed     authorized to charge deposit account
- 8. Deposit Account number: \_\_\_\_\_ (duplicate copy attached if paying by deposit account)
- 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Benjamin Aaron Adler, Ph.D., J.D.**  
Name of person signing

Signature 8/30/00  
Date

Total number of pages comprising cover sheet: 1

09/19/2000 MTHA11 00000151 09510738  
01 FC:581 40.00 DP

D6223CIP/A

## ASSIGNMENT

WHEREAS, I, Timothy J. O'Brien, of 2610 North Pierce, Little Rock, AR 72207, (hereinafter collectively called "ASSIGNOR"), is inventor and owner of certain new and useful improvements in *Compositions and Methods for the Early Diagnosis of Cancer*, an application for United States Letters Patent as filed February 22, 2000 as United States Serial No. 09/510,738.

WHEREAS, ~~The~~ Board of Trustees of the University of Arkansas ~~System~~, of 2404 North University Avenue, Little Rock, AR 72207-3608, (hereinafter called "ASSIGNEE"), is desirous of acquiring, and ASSIGNOR are desirous of assigning to ASSIGNEE, all right, title and interest in and to said invention and application identified above.

NOW, THEREFORE, ASSIGNOR, for and in consideration of Ten Dollars (\$10.00) to us in hand paid, and for other good and valuable consideration, the receipt of all of which is hereby acknowledged, do hereby sell, assign and convey upon ASSIGNEE and its successors the entire right, title and interest throughout the world in the inventions and improvements which are the subject of an application for United States Patent signed by me, this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and I authorize the Assignee to apply in all countries in my name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and I agree for me and my respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

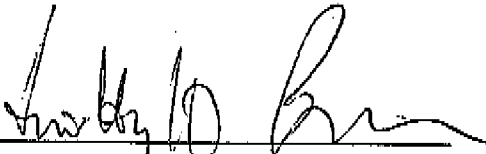
And I do further covenant and agree that I will, at any time upon request, communicate to the Assignee, its successors, assigns or other legal representative, such facts relating to said invention and Letters Patent or the file history thereof as may be known to us, and testify as to the same

PATENT

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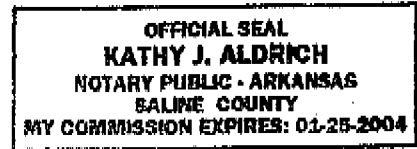
in any interference or other litigation when requested, without further compensation but at the expense of said Assignee, its successors or other legal representatives.

DATE: 8 25.00

  
Timothy J. O'Brien

STATE OF Arkansas :

COUNTY OF Saline :



Before me this 25<sup>th</sup> day of August, 2000, personally appeared Timothy J. O'Brien known to me to be the person whose name is subscribed to the foregoing Assignment and acknowledged that he executed the same as his free act and deed for the purposes therein contained.

Notary Public 

My Commission Expires: 1-25-2004