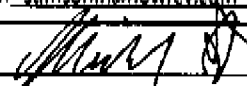


Form PTO-1595 (Rev. 03-09)  
OMB No. 0651-0027 (exp. 03/31/2009)U.S. DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office

## RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office. Please record the attached documents or the new address(es) below.

<b>1. Name of conveying party(ies)</b>  American Stock Exchange LLC  Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<b>2. Name and address of receiving party(ies)</b> Name: <u>NYSE Alternext US LLC</u> Internal Address: _____ _____ Street Address: <u>20 Broad Street</u> _____ City: <u>New York</u> State: <u>New York</u> Country: <u>USA</u> Zip: <u>10005</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>3. Nature of conveyance/Execution Date(s):</b> Execution Date(s) <u>September 30, 2008</u> <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____	<b>4. Application or patent number(s):</b> <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s)  <u>12/823,644</u>  B. Patent No.(s)  Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>5. Name and address to whom correspondence concerning document should be mailed:</b> Name: <u>Michael J. Stimson</u> Internal Address: <u>HOWREY LLP</u> _____ Street Address: <u>4 Park Plaza, Suite 1700</u> _____ City: <u>Irvine</u> State: <u>CA</u> Zip: <u>92614</u> Phone Number: <u>(949) 759-3961</u> Fax Number: <u>(949) 759-6910</u> Email Address: <u>stimsonm@howrey.com</u>	<b>6. Total number of applications and patents involved:</b> _____ <b>7. Total fee (37 CFR 1.21(h) &amp; 3.41) \$</b> _____ <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title)
<b>9. Signature:</b>  _____ Signature Michael J. Stimson Name of Person Signing	<b>8. Payment information</b>  Deposit Account Number <u>08-3038</u> Authorized User Name <u>Howrey LLP</u>  Date <u>July 13, 2010</u> Total number of pages including cover sheet, attachments, and documents: <span style="border: 1px solid black; padding: 2px;">5</span>

Documents to be recorded (including cover sheet) should be faxed to (671) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1460, Alexandria, V.A. 22313-1460

CH \$40.00 083038 12823641

# Delaware

PAGE 1

## *The First State*

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF MERGER, WHICH MERGES:

"AMERICAN STOCK EXCHANGE LLC", A DELAWARE LIMITED LIABILITY COMPANY,

WITH AND INTO "AMERICAN STOCK EXCHANGE 2, LLC" UNDER THE NAME OF "NYSE ALTERNEXT US LLC", A LIMITED LIABILITY COMPANY ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF DELAWARE, AS RECEIVED AND FILED IN THIS OFFICE THE THIRTIETH DAY OF SEPTEMBER, A.D. 2008, AT 5:34 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF MERGER IS THE FIRST DAY OF OCTOBER, A.D. 2008, AT 1 O'CLOCK A.M.



4486791 8100M

081001119

You may verify this certificate online  
at [corp.delaware.gov/authvar.shtml](http://corp.delaware.gov/authvar.shtml)

A handwritten signature in cursive script that reads "Harriet Smith Windsor".

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 6885879

DATE: 09-30-08

PATENT  
REEL: 024696 FRAME: 0361

State of Delaware  
Secretary of State  
Division of Corporations  
Delivered 05:34 PM 09/30/2008  
FILED 05:34 PM 09/30/2008  
SRV 081001119 - 4486791 FILE

**CERTIFICATE OF MERGER****OF****AMERICAN STOCK EXCHANGE LLC  
(a Delaware Limited Liability Company)****INTO****AMERICAN STOCK EXCHANGE 2, LLC  
(a Delaware Limited Liability Company)****(Pursuant to Section 18-209 of the Delaware Limited Liability Company)**

IT IS HEREBY CERTIFIED THAT:

FIRST: The name and jurisdiction of formation of each of the domestic limited liability companies in the Merger are as follows:

- (a) American Stock Exchange LLC ("Amex"), a limited liability company organized under the laws of the State of Delaware; and
- (b) American Stock Exchange 2, LLC ("Amex 2"), a limited liability company organized under the laws of the State of Delaware.

SECOND: An Agreement and Plan of Merger (the "Merger Agreement") dated as of January 17, 2008, by and among NYSE Euronext, Amsterdam Merger Sub, The Amex Membership Corporation, AMC Acquisition Sub, Inc., American Stock Exchange Holdings, Inc., Amex and Amex 2 (the "Merger Agreement"), setting forth the terms and conditions of the merger of Amex into Amex 2 (the "Merger"), was approved and executed by each of Amex and Amex 2.

THIRD:

- (a) The name of the surviving company in the merger herein certified is "American Stock Exchange 2, LLC";
- (b) At the effective time of this Certificate of Merger, the name of the surviving limited liability company shall be changed to "NYSE Alternext US LLC"; and
- (c) Article 1 of the Certificate of Formation of the surviving limited liability company is hereby amended as of the effective time to read in its entirety as follows:

"1. Name: The name of the limited liability company is NYSE Alternext US LLC."

NY1 43478502

**PATENT  
REEL: 024696 FRAME: 0362**

FOURTH: The Merger Agreement is on file at the place of business of the surviving domestic limited liability company in the Merger, American Stock Exchange 2, LLC, 86 Trinity Place, New York, New York 10006.

FIFTH: A copy of the Merger Agreement will be furnished by American Stock Exchange 2, LLC, on request and without cost, to any member of American Stock Exchange LLC or American Stock Exchange 2, LLC.

SIXTH: The Merger shall be effective on October 1, 2008, at 1:00 a.m. (New York time).

NY1#3478502

IN WITNESS WHEREOF, the undersigned has caused this Certificate to be signed this 30th day of September, 2008.

AMERICAN STOCK EXCHANGE 2, LLC  
By American Stock Exchange Holdings, Inc.  
As Managing Member

By: /s/ Claire P. McGrath  
Name: Claire P. McGrath  
Title: President

NYI #3478502

PATENT  
REEL: 024696 FRAME: 0364

**HOWREY**<sub>LLP</sub>4 PARK PLAZA  
SUITE 1700  
IRVINE, CA 92614

PHONE: 949.721.6900 • FAX: 949.721.6910

**FACSIMILE COVER SHEET**

**DATE:** July 15, 2010

**TO:** **NAME:** Assignment Services Division

**COMPANY:** U.S. PATENT AND TRADEMARK OFFICE

**FAX NUMBER:** (571) 273-0140 **PHONE NUMBER:** (571) 272-3350

**CITY:** Alexandria, VA

**FROM:** **NAME:** Michael J. Stimson

**DIRECT DIAL NUMBER:** (949) 759-3906 **USER ID:** 5500

**NUMBER OF PAGES, INCLUDING COVER:** 6 **CHARGE NUMBER:** 00322.0007.DVUS03

 **ORIGINAL WILL FOLLOW VIA:** **REGULAR MAIL** **OVERNIGHT DELIVERY** **HAND DELIVERY** **OTHER:** \_\_\_\_\_ **ORIGINAL WILL NOT FOLLOW****SUPPLEMENTAL MESSAGE:**

This is the 2nd of 3 faxes in connection with Application No.: 12/823,633

THE INFORMATION CONTAINED IN THIS TRANSMISSION IS PRIVILEGED AND CONFIDENTIAL. IT IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY NAMED ABOVE. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

IF THERE ARE ANY QUESTIONS OR PROBLEMS WITH THE TRANSMISSION OF THIS FACSIMILE, PLEASE CALL 949.721.6900.

RECORDED: 07/15/2010

PATENT  
REEL: 024696 FRAME: 0365