

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

Geoffrey Nicholas Maksym
Ewan Kearney MacKenzie
Christopher Gordon Estkowski
Guy Drapeau

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) 6/14/2010 6/25/2010

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☐ Other _____

2. Name and address of receiving party(ies)

Name: Dalhousie University

Internal Address: Office of the President

Arts and Administration Building

Street Address: 6299 South Street

City: Halifax

State: Nova Scotia

Country: Canada

Zip: B3H 4H6

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

B. Patent No.(s)

29/361,420

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Fernando Alberdi, Ph.D.

Internal Address: _____

Street Address: Honigman Miller Schwartz and Cohn LLP

350 East Michigan Avenue, Suite 300

City: Kalamazoo

State: Michigan Zip: 49007-3800

Phone Number: 269-337-7700

Fax Number: 269-337-7701

Email Address: falberdi@honigman.com

6. Total number of applications and patents involved: _____

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 503145

Authorized User Name Fernando Alberdi, Ph.D.

9. Signature:



Signature

July 21, 2010

Date

Fernando Alberdi, Ph.D.
Name of Person Signing

Total number of pages including cover
sheet, attachments, and documents:

5

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1450, Alexandria, V.A. 22313-1450

ASSIGNMENT

I/We,

(1) Geoffrey Nicholas Maksym, of 60 Old Ferry Road, Dartmouth, Nova Scotia, B2Y 2E9;

(2) Ewan Kearney MacKenzie, of 5221 Cornwallis St., #411, Halifax, Nova Scotia, B3K 0B6;

(3) Christopher Gordon Estkowski, of 6015 -105 Avenue, Pullman, Michigan 49450; and

(4) Guy Drapeau, of 26 B Blink Bonnie Terrace, Dartmouth, Nova Scotia, B2Y 2E1;

for good and valuable consideration, receipt of which is hereby acknowledged, have assigned, sold and transferred to, by virtue of our agreement with Dalhousie University and do hereby assign, sell and transfer to, Dalhousie University, a university having an office and a place of business at Dalhousie University, Office of the President, Arts and Administration Building, 6299 South Street, Halifax, Nova Scotia, Canada B3H 4H6, its successors and assigns, all hereinafter referred to as the ASSIGNEE:

(1) the entire right, title and interest in the United States and in all countries throughout the world in and to any and all of our inventions and discoveries disclosed in the United States Design Application for Patent entitled Respiratory Device Filter, and filed in the United States Patent and Trademark Office on May 11, 2010, under Application Number 29/361,420 and to any applications claiming priority therefrom;

(2) the full and complete right to file patent applications in the name of the ASSIGNEE, its designee, or in my/our names at the election of the ASSIGNEE or its designee, on the aforesaid inventions, discoveries and applications in the United States and in any other country of the world;

(3) the entire right, title and interest in and to any Letters Patent which may issue thereon in the United States or in any other country of the world, and in and to any renewals, revivals, reissues, reexaminations, extensions, continuations and divisions thereof; and

(4) the entire right, title and interest in and to all Convention and Treaty Rights of all kinds thereon, including without limitation all rights of priority in any country of the world, in and to the above inventions, discoveries and applications.

I/We hereby authorize and request the competent authorities to grant and to issue any and all such Letters Patent in the United States and throughout the world to the ASSIGNEE of the entire right, title and interest therein, as fully and entirely as the same would have been held and enjoyed by me/us had this assignment, sale and transfer not been made.

I/We agree, at any time, upon the request of the ASSIGNEE to execute and to deliver to the ASSIGNEE any additional applications for patents for said inventions and discoveries, or any part or parts thereof, and any applications for patents of confirmation, registration and importation based on any Letters Patent issuing on said inventions, discoveries or applications, and divisions, continuations, renewals, revivals, reissues, reexaminations and extensions thereof.

I/We further agree at any time to execute and to deliver upon request of the ASSIGNEE such additional documents, if any, as are necessary or desirable to secure patent protection on said inventions, discoveries and applications throughout all countries of the world, and otherwise to do the necessary to give full effect to and to perfect the rights of the ASSIGNEE under this Assignment, including the execution, delivery and procurement of any and all further documents evidencing this assignment, transfer and sale as may be necessary or desirable.



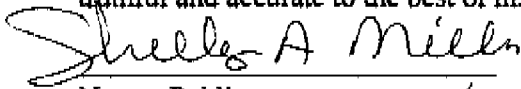
(3) Christopher Gordon Estkowski

Date: JUNE 14, 2010

State of Michigan

County of Van Buren

On this 14 day of June, 2010, before me, the undersigned notary public, personally appeared **Christopher Gordon Estkowski**, proved to me through satisfactory evidence of identification which was/were: ☐ personal knowledge of identity, ☒ a driver's license, ☐ other (please identify) _____, to be the person whose name is signed on this document, and who swore or affirmed to me that the contents of the document are truthful and accurate to the best of his/her knowledge and belief.



Notary Public

My Commission Expires: 4/20/2012

SHELLEY A. MILLER
NOTARY PUBLIC, STATE OF MI
COUNTY OF VAN BUREN
MY COMMISSION EXPIRES APR 20, 2012
ACTING IN COUNTY OF Van Buren



(4) Guy Drapeau

Date: June 25 2010

Canada

Province of Nova Scotia

On this 25th day of June, 2010, before me, the undersigned notary public, personally appeared **Guy Drapeau**, proved to me through satisfactory evidence of identification which was/were: ☐ personal knowledge of identity, ☒ a driver's license, ☐ other (please identify) _____, to be the person whose name is signed on this document, and who swore or affirmed to me that the contents of the document are truthful and accurate to the best of his/her knowledge and belief.



Notary Public

My Commission Expires: _____

ANNARBOR.121715.1

Geoff Maksym

(1) Geoffrey Nicholas Maksym

Date: 25 June 2010

Canada

Province of Nova Scotia

On this 25th day of June, 2010, before me, the undersigned notary public, personally appeared **Geoffrey Nicholas Maksym**, proved to me through satisfactory evidence of identification which was/were: ☐ personal knowledge of identity, ☒ a driver's license, ☐ other (please identify) _____, to be the person whose name is signed on this document, and who swore or affirmed to me that the contents of the document are truthful and accurate to the best of his/her knowledge and belief.

Erica Jason

Notary Public

My Commission Expires: _____

Ewan MacKenzie

(2) Ewan Kearney MacKenzie

Date: 25 June 2010

Canada

Province of Nova Scotia

On this 25th day of June 2010, before me, the undersigned notary public, personally appeared **Ewan Kearney MacKenzie**, proved to me through satisfactory evidence of identification which was/were: ☐ personal knowledge of identity, ☒ a driver's license, ☐ other (please identify) _____, to be the person whose name is signed on this document, and who swore or affirmed to me that the contents of the document are truthful and accurate to the best of his/her knowledge and belief.

Erica Jason

Notary Public

My Commission Expires: _____