

Doc. ID No. 700437176

Docket No.: 66956-002-7

FORM PTO-1595 (Modified)  
(Rev. 03-09)  
OMB No. 0651-0027 (exp. 3/31/2009)  
P03/REV06

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

PATENTS ONLY

To the Director of the United States Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Gray Matter (Alpha) Limited

2. Name and address of receiving party(ies):

Name: BLOOMRIVER HOLDING S.a.r.l.

Internal Address:

Street Address: 46A, Avenue J. F. Kennedy

City: LUXEMBOURG

State:

Country: LUXEMBOURG

ZIP: L-1855

Additional names(s) of conveying party(ies) attached?  Yes  No

Additional name(s) & address(es) attached?  Yes  No

This document is being filed together with a new application.

B. Patent No.(s)

3. Nature of conveyance/Execution Date(s):

Execution Date(s): Jan. 20, 2010

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other

4. Application or patent numbers(s):

A. Patent Application No.(s)

10/529,773

Additional numbers attached?  Yes  No

5. Name and address to whom correspondence concerning document should be mailed:

Name: RICHARD H. TUSHIN, Reg.#27,297

Internal Address: DYKEMA GOSSETT PLLC

SUITE 300 WEST

Street Address: 1300 I STREET, NW

City: WASHINGTON

State: DC

ZIP: 20005

Phone Number: 202-906-8680

Fax Number:

Email Address:

6. Total number of applications and patents involved: ONE

7. Total fee (37 CFR 1.21(h) & 3.41): \$40.00

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information:

Deposit Account Number 04-2223

Authorized User Name Richard Tushin

9. Signature:

Signature

RICHARD H. TUSHIN

Name of Person Signing

July 29, 2010

Date

Total number of pages including cover sheet, attachments, and document:

13

700442500

MAY 18 2010 4:07 PM FR DYKEMA GOSSETT, 202 906 8671 TO 4195#66956#002#1 P.01

05/18/2010  
700437176

Docket No.: 66956-002-7

FORM PTO-1685 (Modified)  
(Rev. 03-08)  
OMB No. 0651-0027 (exp. 03/31/2008)  
PATENT

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

PATENTS ONLY

To the Director of the United States Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies):

Gray Matter (Alpha) Limited

2. Name and address of receiving party(ies):

Name: BLOOMRIVER HOLDING S.a.r.l.

Internal Address: \_\_\_\_\_

Additional names(s) of conveying party(ies) attached?  Yes  No

3. Nature of conveyance/Execution Date(s):

Execution Date(s): JANUARY 2010

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other

Street Address: 46A, Avenue J. F. Kennedy

City: LUXEMBOURG

State: \_\_\_\_\_

Country: LUXEMBOURG ZIP: L-1855

Additional name(s) & address(es) attached?  Yes  No

4. Application or patent numbers(s):

A. Patent Application No.(s)

10/529,773

This document is being filed together with a new application.

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address to whom correspondence concerning document should be mailed:

Name: RICHARD H. TUSHIN, Reg.#27,297

Internal Address: DYKEMA GOSSETT PLLC

SUITE 300 WEST

Street Address: 1300 I STREET, NW

City: WASHINGTON

State: DC ZIP: 20005

Phone Number: 202-906-8680

Fax Number: \_\_\_\_\_

Email Address: \_\_\_\_\_

6. Total number of applications and patents involved:

ONE

7. Total fee (37 CFR 1.21(h) & 3.41):

\$ 40.00

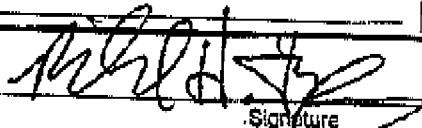
- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

8. Payment Information:

Deposit Account Number 04-2223

Authorized User Name Richard Tushin

9. Signature:



Signature

18 May 2010

Date

RICHARD H. TUSHIN

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

**13**

Documents to be recorded (including cover sheet) should be filed to (774)273-0740, or mailed to: Mail Stop Assignment Recordation Services, Director of the USPTO, P.O. Box 1480, Alexandria, VA 22313-1480

**Dated**

**January 2010**

**Patent assignment**

between

**GRAY MATTER (ALPHA) LIMITED**

**ANDREW PETER PARKINSON GRAY**

and

**BLOOMRIVER HOLDING S.A.R.L.**

(in the process of changing its name to **RADLOK S.A.R.L.**)

THIS ASSIGNMENT is dated January 2010

#### PARTIES

- (1) **GRAY MATTER (ALPHA) LIMITED** incorporated and registered in England and Wales with company number 03562464 whose registered office is at Ivy Cottage Estate, Main Street, Shipton by Beningbrough, York, YO30 1AB and **ANDREW PETER PARKINSON GRAY** of Ivy Cottage Estate, Main Street, Shipton by Beningbrough, York, YO30 1AB on the one part (the "Assignors"); and
- (2) **BLOOMRIVER HOLDING S.A.R.L.** (in the process of changing its name to **RADLOK S.A.R.L.**) incorporated and registered in Luxembourg whose registered office is at 46A, avenue J.F. Kennedy, L-1855 Luxembourg, the Grand Duchy of Luxembourg on the other (the "Assignee").

#### BACKGROUND

- (A) The Assignors are the proprietors of or applicants for the patents and patent applications listed in **Annex 1** to this assignment.
- (B) The patents and patent applications listed in **Annex 1** together with any other patents relating to Radlok devices, Cartcop devices or other trolley retention devices which make use of magnetic, infrared or electronic triggering systems (including such devices triggered by any form of magnetic, electrical or electromagnetic field or waves) devised, invented or developed by, for or behalf of the Assignors before the date of this assignment are collectively referred to below as the "Patents".

#### AGREED TERMS

##### 1. ASSIGNMENT

In consideration of the Assignee paying the Assignors the sums set out in the separate agreement between the parties and settlement of the litigation and disputes between them, the Assignors hereby assign to the Assignee with full title guarantee

- 1.1 the Patents and the full and exclusive benefit of the Patents including:
  - (a) the right to recover damages, accounts of profits and/or any other relief and take all such proceedings as may be necessary for the recovery of damages or otherwise in respect of all infringements of any of the Patents, whether committed before or after the date of this assignment;
  - (b) the absolute entitlement to any patents granted pursuant to, claiming priority from or otherwise deriving from any of the applications comprised in the Patents, for the full term of such patents and any extensions thereof; and
  - (c) the right to apply for, prosecute and obtain patent or similar protection in the UK and all other countries of the world for an invention embodied by or disclosed in any of the applications comprised in the Patents or any patent or patent application claiming priority from or deriving from the Patents, including the right to claim priority from such applications.

1.2 all intellectual property rights (patents, design rights, copyrights, database rights, rights in software, rights in confidence, know how or other intellectual or industrial property rights of any kind whatsoever) in or relating to the Patents.

2. WARRANTIES

2.1 The Assignors warrant that the Patents are free from encumbrances and adverse rights.

2.2 The Assignors warrant that as of the date of this assignment there were no outstanding renewal or other official fees in respect of the Patents.

3. FURTHER ASSURANCE

The Assignors hereby covenant with the Assignee that they shall, at the expense of the Assignee, execute and sign all such instruments, applications and documents and do all such acts and things as may reasonably be required by the Assignee to enable the Assignee or its nominee to enjoy the full benefit of the rights assigned by this agreement including:

4.1 instructing the Defendants' patent agents to transfer to Simon Raynor at the Milton Keynes office of Urquhart-Dykes & Lord (or any other patent agents nominated by the Assignee) the patent prosecution, maintenance and management files for the Patents and notify those patent agents in writing of any deadlines which are imminent or will arise in the next year in such a manner that they can be met (for the avoidance of doubt, in order to do so, the Assignors hereby instruct their patent agents or similar agents throughout the world to carry out the Assignee's instructions in that regard and liaise with any patent agents or similar agents instructed by the Assignee or its nominee for that purpose);

4.2 executing such individual assignments, instruments, applications and documents as the Assignee or its nominee may request in order to record or register the rights hereby acquired around the world;

4.3 assisting the Assignee or its nominee to enforce any of the rights hereby assigned and/or resist any attack upon the existence, validity or enforceability of those rights.

4.4 Without prejudice to the above obligations, the Assignors hereby irrevocably appoint the Assignee or its nominee as their attorney in their names or in either of their names to execute any document and do any act or thing which may be necessary to comply with the above.

4. GOVERNING LAW AND JURISDICTION


4.2 This assignment and any dispute or claim arising out of or in connection with it or its subject matter or formation (including non-contractual disputes or claims) shall be governed by and construed in accordance with the law of England.

4.2 The parties irrevocably agree that the courts of England shall have exclusive jurisdiction to settle any dispute or claim that arises out of or in connection with this

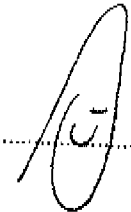
assignment or its subject matter or formation (including non-contractual disputes or claims).

This assignment has been entered into on the date stated at the beginning of it.


Signed by Andrew Gray  
for and on behalf of  
**GRAY MATTER (ALPHA) LIMITED**

  
.....  
Director

Signed by  
**ANDREW PETER PARKINSON GRAY**

  
.....

Signed by Mikael Gustavsson as attorney  
for **BLOOMRIVER HOLDING S.A.R.L.**  
(in the process of changing its name to  
**RADLOK S.A.R.L.**) under a Power of  
Attorney dated 20 January 2010

  
.....  
Director

ANNEX I

Schedule of Patents and Patent Applications in the name of Gray Matter (Alpha) Limited and Andrew Gray

Application no. / Patent no.	Applicant	Country	Catchword	Corresponding entries in Annex 3 to the Radlink P/C and Annexes 3/5 to the Cartcop P/C	Bundle Ref
1. Original patent application				1. Original patent application: (1) Priority Document: UK Patent Application GB 9104555.9 (5 March 1991) (2) International patent application PCT/GB92/00382 published as WO 92/15476 (3) EP 0 575 414 (DE ES FR GB IT NL) (4) US 5 394 962	
1.1 69212304.0 / 0575414 (based upon EP application 92906418.6)	Andrew Peter Parkinson Gray	DE	Improvements Relating to Braking Devices	(3) EP 0 575 414 (DE ES FR GB IT NL)	9/1
1.2 2092099 / 0575414 (based upon EP application 92906418.6)	Andrew Peter Parkinson Gray	ES	Improvements Relating to Braking Devices	"	9/1
1.3 * / EP0575414 (based upon EP application 92906418.6)	Andrew Peter Parkinson Gray	GB	Improvements Relating to Braking Devices	"	9/1
1.4 EP 0 575 414 (FR)		FR		"	9/1
1.5 EP 0 575 414 (IT)		IT		"	9/1
1.6 EP 0 575 414 (NL)		NL		"	9/1
1.7 US Patent No. 5,394,962	Gray Matter (Alpha) Limited	US	Braking Devices	(4) US 5 394 962	9/3
1.8 PCT/GB92/00382 published as WO 92/15476					9/2
1.9 UK Patent Application GB 9104555					



Application no. / Patent no.	Applicant	Country	Catchword	Corresponding entries in Annex 3 to the Radlok P/C and Annexes 3-5 to the Cantop P/C	Bundle Ref
2. Improvements in triggering system patent application				2. Improvements in triggering system patent application: (1) Priority Document: UK Patent Application GB 9 607 138 (4 April 1996) (2) International patent application PCT:GB97/00847 published as WO 97/37883 (3) EP 0 889 819 (DE ES FR GB IT)	
2.1 69713307.9-08 / 0889819 (based upon EP application 97914446.6 and PCT:GB97/00847)	Gray Matter (Alpha) Limited	DE	Automatically Braking Device	(3) EP 0 889 819 (DE ES FR GB IT)	9/4
2.2 2180033 / 0889819 (based upon EP application 97914446.6 and PCT:GB97/00847)	Gray Matter (Alpha) Limited	ES	Automatically Braking Device	"	9/4
2.3 * / EP0889819 (based upon EP application 97914446.6 and PCT:GB97/00847)	Gray Matter (Alpha) Limited	GB	Automatically Braking Device	"	9/4
2.4 EP 0 889 819 (FR)		FR		"	9/4
2.5 EP 0 889 819 (IT)		IT		"	9/4
2.6 US Patent No. 6,173,817	Gray Matter (Alpha) Limited	US	Automatically braking device when leaving a protected area.	"	9/6
2.7 PCT/GB97/00847 published as WO 97/37883					
2.8 UK Patent Application GB 9 607 138					

Application no. / Patent no.	Applicant	Country	Catchword	Corresponding entries in Annex 3 to the Radlok P/C and Annexes 3/5 to the Cartopy P/C	Bundle Ref
3. Bigfoot braking method patent application					
3.1 60022607.7-08 / 1101685 (based upon EP application number 00310026.0)	Gray Matter (Alpha) Limited	DE	Braking Assembly	3. Bigfoot braking method patent application: (1) Priority Document: UK Patent Application GB 9926992 (15 November 1999) (2) EP 0 1 101 685 (DE ES FR GB) (3) US 6374955	9/7
3.2 2249236 / 1101685 (based upon EP application number 00310026.0)	Gray Matter (Alpha) Limited	ES	Braking Assembly	"	9/7
3.3 * / 1101685 (based upon EP application number 00310026.0)	Gray Matter (Alpha) Limited	FR	Braking Assembly	"	9/7
3.4 * / EP 1101685 (based upon EP application number 00310026.0)	Gray Matter (Alpha) Limited	GB	Braking Assembly	"	9/7
3.5 09711888 / 6,374,955 (US Patent No. 6,374,955)	Gray Matter (Alpha) Limited	US	Braking Assembly	(3) US 6374955	9/8
3.6 UK Patent Application GB 9926992					
				[duplicate entry in HCF table]	

Application no. / Patent no.	Applicant	Country	Catchword	Corresponding entries in Annex 3 to the Radlox P/C and Annexes 3.5 to the Cartcop P/C	Bundle Ref
4. Original CartCop patent application				4. Original Cart Cop patent application: (1) Priority Document: UK Patent Application GB 0222672.8 (1 October 2002) (2) International patent application PCT/GB2003/004095 published as WO 2004/031017 (3) EP 1545956 (4) US 20056279589 [sic 2005:0279589] (5) CA 2500139 (6) AU 2003274300	
4.1 2003274300 / *	Gray Matter (Alpha) Limited	AU	IR Brake Assembly	(6) AU 2003274300	
4.2 2,500,139 / *	Gray Matter (Alpha) Limited	CA	IR Brake Assembly	(5) CA 2500139	
4.3 03758290.5 / * (EP1545956 A0) (based on PCT/GB2003/004095)	Gray Matter (Alpha) Limited	EP	IR Brake Assembly	(3) EP 1545956	9/10
4.4 US Patent Appln. 10/529,773	Gray Matter (Alpha) Limited	US	Braking Assembly	(4) US 20050279589 [sic 2005:0279589]	
4.5 PCT/GB2003/004095 published as WO 2004/031017					
4.6 UK Patent Application GB 0222672					9/9

Application no. / Patent no.	Applicant	Country	Catchword	Corresponding entries in Annex 3 to the Radlok P/C and Annexes 3/5 to the Cartcop P/C	Bundle Ref
5. Spin off CartCop patent applications				5. Spin off CartCop patent applications: (1) UK Patent Application GB 0605829.1 & Worldwide Patent Application WO 2007/110573 (entitled 'Common Wheel Movement') (2) UK Patent Application GB 0605845.7 & Worldwide Patent Application WO 2007/110571 (entitled 'Power Generation') (3) UK Patent Application GB 0605840.0 & Worldwide Patent Application WO 2007/110572 (entitled 'Latching Mechanism')	
(f) Common Wheel Movement					
5.1 07731987.9 / * (EP 2 001 691 A0) (based on PCT/GB2007/000775)	Gray Matter (Alpha) Limited	EP	Castor Wheel Movement	(1) UK Patent Application GB 0605829.1 & Worldwide Patent Application WO 2007/110573 (entitled 'Common Wheel Movement') ?	9/12
5.2 AU 2007231175		AU		Cartcop Action P/C, Annex 3, E3	
5.3 CA 2647010		CA		Cartcop Action P/C, Annex 3, E4	
5.4 US 12294264		US		Cartcop Action P/C, Annex 3, E5	
5.5 PCT:GB/2007/000775 published as WO 2007/110573				Cartcop Action P/C, Annex 3, E2 (see also 5.1)	9/11
5.6 UK Patent Application GB 0605829				Cartcop Action P/C, Annex 3, E1 (see also 5.3)	

Application no. / Patent no.	Applicant	Country	Catchword	Corresponding entries in Annex 3 to the Radlok P/C and Annexes 3/5 to the Cartoop P/C	Bundle Ref
<b>(II) Power Generation</b>					
5.7 2007231173 / *					
(based on PCT/GB2007/000766)	Gray Matter (Alpha) Limited	AU	Power Generation	(2) UK Patent Application GB 0605845.7 & Worldwide Patent Application WO 2007/110571 (entitled 'Power Generation') ?	
5.8 2,646,646 / *					
(based on PCT/GB2007/000766)	Gray Matter (Alpha) Limited	CA	Power Generation	"	
5.9 07731983.8 / * (EP 1 999 003 A0)					
(based on PCT/GB2007/000766)	Gray Matter (Alpha) Limited	EP	Power Generation	"	9/14
5.10 12/294,420 / 20090315302					
(based on PCT/GB2007/000766)	Gray Matter (Alpha) Limited	US	Power Generation	"	
5.11 PCT/GB2007/000766					
published as WO 2007/110571				"	9/13
5.12 UK Patent Application GB 0605845				"	
<b>(III) Latching Mechanism</b>					
5.13 07731984.6 / * (EP 2 001 690 A0)					
(based on PCT/GB2007/000769)	Gray Matter (Alpha) Limited	EP	Latching Mechanism and Method for Latching	(3) UK Patent Application GB 0605844.0 & Worldwide Patent Application WO 2007/110572 (entitled 'Latching Mechanism')	9/16
5.14 Appln No. 2,647,009					
	Gray Matter (Alpha) Limited	CA (Canada)	Latching Mechanism	"	
5.15 AU 2007231174					
		AU		"	

Application no. / Patent no.	Applicant	Country	Catchword	Corresponding entries in Annex 3 to the Radlex PIC and Annexes 3/5 to the Cartoop PIC	Bundle Ref
S.16 Appln No. 12294265 published as US 2009/231095	Gray Mauer (Alpha) Limited	US		"	
S.17 PCT/GB2007/00769 published as WO 2007/110572				"	9/15
S.18 UK Patent Application GB 0605844				"	

\* Defendants have indicated that application doesn't receive new application number / has not yet granted.  
[entries moved to main part of table - see section I]