

Form PTO-1595 (Rev. 03-09)
OMB No. 0651-0027 (exp. 03/31/2009)

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(ies)

UTC Fuel Cells, LLC

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance/Execution Date(s):

Execution Date(s) January 1, 2007

- ☐ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Joint Research Agreement
☐ Government Interest Assignment
☐ Executive Order 9424, Confirmatory License
☒ Other Conversion to Corporation

2. Name and address of receiving party(ies)

Name: UTC Power Corporation

Internal Address: _____

Street Address: 195 Governor's Highway

City: South Windsor

State: CT

Country: USA Zip: 06074

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application or patent number(s):

☐ This document is being filed together with a new application.

A. Patent Application No.(s)

10/765,737 filed 1/27/04

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Andrew D. Gathy

Internal Address: UTC Power Corporation

Intellectual Property Department, MS 601-03

Street Address: 195 Governor's Highway

City: South Windsor

State: CT Zip: 06074

Phone Number: 860-727-2153

Fax Number: 860-998-0796

Email Address: Andrew.Gathy@utcpower.com

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40
(Order No. C-3363)

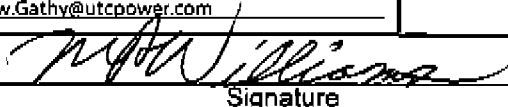
- ☒ Authorized to be charged to deposit account
☐ Enclosed
☐ None required (government interest not affecting title)

8. Payment Information

Deposit Account Number 50-1307

Authorized User Name M. P. Williams

9. Signature:


Signature

8/4/10

Date

M. P. Williams

Name of Person Signing

Total number of pages including cover sheet, attachments, and documents:

4

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

CH \$40.00 501307 10765737

Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE DO HEREBY CERTIFY THAT THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF CONVERSION OF A DELAWARE LIMITED LIABILITY COMPANY UNDER THE NAME OF "UTC FUEL CELLS, LLC" TO A DELAWARE CORPORATION, CHANGING ITS NAME FROM "UTC FUEL CELLS, LLC" TO "UTC POWER CORPORATION", FILED IN THIS OFFICE ON THE TWENTY-FIRST DAY OF DECEMBER, A.D. 2006, AT 6:56 O'CLOCK P.M.

AND I DO HEREBY FURTHER CERTIFY THAT THE EFFECTIVE DATE OF THE AFORESAID CERTIFICATE OF CONVERSION IS THE FIRST DAY OF JANUARY, A.D. 2007, AT 12:02 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

2888666 8100V

061177662

*Harriet Smith Windsor*

Harriet Smith Windsor, Secretary of State

AUTHENTICATION: 5315644

DATE: 12-28-06

PATENT
REEL: 024793 FRAME: 0986

State of Delaware
Secretary of State
Division of Corporations
Delivered 06:56 PM 12/21/2006
FILED 06:56 PM 12/21/2006
SRV 061177662 - 2888666 FILE

CERTIFICATE OF CONVERSION
OF
A LIMITED LIABILITY COMPANY TO A CORPORATION

(UTC Fuel Cells, LLC, a Delaware limited liability company, to
UTC Power Corporation, a Delaware corporation)

Under Section 265 of the Delaware General Corporation Law and Section 18-216 of the Delaware Limited Liability Company Act:

It is hereby certified that:

1. The entity, a limited liability company organized under the laws of the State of Delaware, was formed on or about April 24, 1998.
2. The name of the entity immediately prior to the filing of this Certificate of Conversion is "UTC Fuel Cells, LLC."
3. The name of the corporation as set forth in its Certificate of Incorporation filed concurrently with this Certificate of Conversion in the office of the Secretary of State of Delaware is "UTC Power Corporation."
4. This Certificate of Conversion shall become effective at 12:02 a.m. on January 1, 2007.

[Remainder of page intentionally left blank]

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IN WITNESS WHEREOF, the undersigned has executed this Certificate this 21st day of December, 2006.

UTC FUEL CELLS, LLC

United Technologies Corporation,

Its: Sole Member

By: 

Name: Debra A. Valentine
Authorized Person