

Form PTO-1595 (Rev. 01-09)  
OMB No. 0651-0027 (exp. 02/28/2009)

U.S. DEPARTMENT OF COMMERCE  
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### RECORDATION FORM COVER SHEET PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

#### 1. Name of conveying party(ies)

Dung T. Duong  
Paul N. Winberg  
Matthew R. Thomas

Additional name(s) of conveying party(ies) attached?  Yes  No

#### 2. Name and address of receiving party(ies)

Name: Illumitex, Inc.

Internal Address: \_\_\_\_\_

Street Address: 5307 Industrial Oaks Blvd.

Suite 100

City: Austin

State: Texas

Country: USA

Zip: 78735

Additional name(s) & address(es) attached?  Yes  No

#### 3. Nature of conveyance/Execution Date(s):

Execution Date(s) December 28, 2006

- Assignment  Merger
- Security Agreement  Change of Name
- Joint Research Agreement
- Government Interest Assignment
- Executive Order 9424, Confirmatory License
- Other \_\_\_\_\_

#### 4. Application or patent number(s):

This document is being filed together with a new application.

A. Patent Application No.(s)

12/788,094  
(Attorney Docket No. ITEX1110-2)

B. Patent No.(s)

Additional numbers attached?  Yes  No

#### 5. Name and address to whom correspondence concerning document should be mailed:

Name: Sprinkle IP Law Group (Cust. No. 44654)

Internal Address: \_\_\_\_\_

Street Address: 1301 W. 25th Street, Suite 408

City: Austin

State: Texas Zip: 78705

Phone Number: 512-637-9220

Fax Number: 512-371-9088

Email Address: \_\_\_\_\_

#### 6. Total number of applications and patents involved: 1

#### 7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

- Authorized to be charged to deposit account
- Enclosed
- None required (government interest not affecting title)

#### 8. Payment Information

Deposit Account Number: 503183

Authorized User Name: John L. Adair

#### 9. Signature:

John L. Adair, Reg. No. 48,828

Name of Person Signing

Signature

Date

7-26-2010

Total number of pages including cover sheet, attachments, and documents:

**5**

Documents to be recorded (including cover sheet) should be faxed to (571) 273-0140, or mailed to:  
Mail Stop Assignment Recordation Services, Director of the USPTO, P.O.Box 1450, Alexandria, V.A. 22313-1450

**PATENT**

CH \$40.00 503183 12788094

ATTORNEY DOCKET  
ITEX1110-1

PATENT APPLICATION

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ASSIGNMENT

This Assignment is made by **Dung T. Duong, of Garland, Texas, Paul N. Winberg, of Rollingwood, TX and Matthew R. Thomas, of Austin, Texas** ("Assignors").

WHEREAS, Assignors have invented a new and useful invention entitled **SEPARATE OPTICAL DEVICE FOR DIRECTING LIGHT FROM AN LED**, for which an application for United States Patent is made, said application being submitted concurrently herewith; and

WHEREAS, Assignors believe themselves to be the original inventors of the invention including any and all improvements disclosed in said application ("Invention"); and

WHEREAS, the parties desire to have a recordable instrument assigning the entire right, title and interest in and to said Invention, said application, on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application for protection ("Applications"), and any patents, invention registrations or other forms of protection ("Patents") that may be granted for said Invention from any Applications in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the Invention and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignors hereby sell, assign, and transfer to **illumitex** having a principal place of business at **4717 Eagle Feather Drive, Austin, Texas, 78735** (hereinafter referred to as "Assignee"), the entire right, title, and interest in and to said Invention, said Applications and any Patents that may be granted for said Invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignors agree that, upon request and without further compensation, but at no expense to Assignors, they and/or their legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing the Patents in the United States and throughout the world for said Invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said Invention, said application, and any Patents granted for said Invention in the United States and throughout the world.

Assignors represent and warrant that they have not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignors authorize and request the Commissioner for Patents of the United States and of all foreign countries to issue any Patents granted for said Invention, whether on

**PATENT**

**REEL: 024821 FRAME: 0557**

Assignor(s) authorize and request the Commissioner (Patents) of the United States and of all foreign countries to issue any Patents granted for said Invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application, to Assignee, its successors and assigns, as the assignee of the entire interest in said Invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates provided below.

Name of Sole/First Inventor:	Dung T. Duong
Signature:	
Date:	12/22/2006

Name of Second Inventor:	Paul N. Winberg
Signature:	_____
Date:	_____

Name of Third Inventor:	Matthew R. Thomas
Signature:	_____
Date:	_____

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ITEX1110-1

PATENT APPLICATION

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said application or on any subsequently filed divisional, continuation, continuation-in-part, re-examination, ~~reissue or other application to~~ Assignors, successors and assigns, as the assignee of the entire interest in said Invention

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates provided below.

Name of Sole/First Inventor:	<u>Dung T. Duong</u>
Signature:	_____
Date:	_____

Name of Second Inventor:	<u>Raul N. Winberg</u>
Signature:	<u>Raul N. Winberg</u>
Date:	<u>December 28, 2006</u>

Name of Third Inventor:	<u>Matthew R. Thomas</u>
Signature:	_____
Date:	_____

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ITEX1110-1

PATENT APPLICATION

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said application or on any subsequently filed divisional, continuation, continuation-in-part, re-examination, reissue or other application, to Assignee, its successors and assigns, as the assignee of the entire interest in said Invention.

IN WITNESS WHEREOF, Assignors have executed this Assignment on the dates provided below.

Name of Sole/First Inventor: Dung T. Duong

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Name of Second Inventor: Paul N. Winberg

Signature: \_\_\_\_\_

Date: \_\_\_\_\_

Name of Third Inventor: Matthew R. Thomas

Signature: *Matthew R. Thomas*

Date: 28-Dec-06